



Regulatory Science Symposium

# Innovation to Translation: Role of Genomics in Medical Product Development

FRIDAY, SEPT. 24, 2021  
9AM - 4PM PDT  
HOSTED ONLINE VIA ZOOM

## Agenda

9:00 AM PDT	<p><b>Introduction</b> <i>Eunjoo Pacifici, PharmD, PhD</i> USC, SC-CTSI, School of Pharmacy I Chair &amp; Associate Professor, Dept. of Reg. &amp; Quality Sciences Associate Director, DK Kim International Center for Regulatory Science</p>
9:15 AM PDT	<p><b>Genomics and Regulation</b> <i>Nancy Pire-Smerkanich, DRSc</i> USC, SC-CTSI, School of Pharmacy I Assistant Professor, Dept. of Reg. &amp; Quality Sciences</p>
10:00 AM PDT	<p><b>Novel Regulatory Science Paradigms Based on Data and Analytics</b> <i>Klaus A. Romero Cepeda, MD, MS, FCP</i> C-Path Institute I Chief Science Officer &amp; Executive Director, Clinical Pharmacology and Quantitative Medicine</p>
11:00 AM PDT	<p><b>Break</b></p>
11:15 AM PDT	<p><b>Pharmacogenomics</b> <i>Scott Mosley, PharmD</i> USC, School of Pharmacy I Assistant Professor of Titus Department of Clinical Pharmacy</p>
12:15 AM PDT	<p><b>Lunch</b></p>
1:15 PM PDT	<p><b>Big Data and Genomics</b> <i>Jerry SH Lee, PhD</i> USC, Keck School of Medicine &amp; Viterbi School of Engineering I Associate Professor USC, Lawrence J. Ellison Institute for Transformative Medicine I Chief Science and Innovation Officer</p>
2:15 PM PDT	<p><b>Applied Genomics and Target Identification</b> <i>Robert Pacifici, PhD</i> CHDI Foundation I Chief Scientific Officer</p>
3:15 PM PDT	<p><b>Break</b></p>
3:30 PM PDT	<p><b>Panel Discussion</b></p>
4:00 PM PDT	<p><b>Wrap-Up</b> <i>Eunjoo Pacifici, PharmD, PhD</i> USC, SC-CTSI, School of Pharmacy I Chair &amp; Associate Professor, Dept. of Reg. &amp; Quality Sciences Associate Director, DK Kim International Center for Regulatory Science</p>

# Regulatory Science Symposium: *Innovation to Translation: Role of Genomics in Medical Product Development*

## Speaker Bios

**Eunjoo Pacifici, PharmD, PhD**, is the Chair and Associate Professor of Regulatory and Quality Sciences and Associate Director of the International Center for Regulatory Science at USC. Dr. Pacifici received a BS in Biochemistry from the University of California Los Angeles followed by a PharmD and PhD in Toxicology from USC. She conducted her graduate research in the laboratory of Dr. Alex Sevanian in the Institute for Toxicology where she studied the mechanism of oxidative damage and repair in endothelial cell membrane. Before returning to USC as faculty, Dr. Pacifici worked at Amgen and gained experience in conducting clinical research with a special focus on the Asia Pacific and Latin America regions. She initially worked in the clinical development group managing U.S. investigational sites and central laboratories and then went on to work in the Asia Pacific / Latin America group interfacing with local clinical and regulatory staff in Japan, the People's Republic of China, Taiwan, and Mexico. She represented regional clinical and regulatory views on therapeutic product development teams and led satellite task forces in order to align local efforts with U.S. activities. Her additional professional experiences include community pharmacy practice in various settings and clinical pharmacy practice at the Hospital of the Good Samaritan in Los Angeles. Her current focus is on developing the next generation of regulatory scientists and pharmacy professionals with the knowledge, tools, and skills to expedite the development of innovative, safe, and effective biomedical products. [epacific@usc.edu](mailto:epacific@usc.edu)



**Nancy Smerkanich, DRSc, MS**, is an Assistant Professor in the Department of Regulatory and Quality Sciences, School of Pharmacy at USC. Dr. Smerkanich holds a Doctorate and master's degree in Regulatory Science from USC and a Bachelor of Science Degree in Microbiology and a Bachelor of Arts in Russian from the University of Connecticut. Dr. Smerkanich received her faculty appointment after successfully completing her Doctoral Dissertation on "Benefits Risk Frameworks – Implementation in Industry" in 2015. In addition to teaching courses related to drug development and clinical trials, she provides regulatory guidance to industry peers. Nancy brings many years of practical regulatory knowledge and experience to academia where she participated in all regulatory aspects of product development, having served as Regulatory Liaison, US Agent, and Global Regulatory Lead across varied therapeutic areas. Known for her dedication to education and mentoring across industry, Nancy continues to be recognized for her ability to provide accurate, relevant and dynamic instruction on both the technical and strategic aspects of global regulatory affairs and for her service to professional organizations such as the Drug Information Association (DIA) and The Organization for Professionals in Regulatory Affairs (TOPRA). [piresmer@usc.edu](mailto:piresmer@usc.edu)



**Klaus Romero, MD, MS, FCP**, is a Chief Science Officer and Executive Director of Clinical Pharmacology and Quantitative Medicine at the Critical Path Institute. Dr. Romero, a clinical pharmacologist and epidemiologist with more than 17 years of experience in academic and pharmaceutical clinical research, translational sciences, pharmacometrics, modeling and simulation and pharmacoepidemiology, has been with C-Path since December 2007 where he has helped lead clinical pharmacology, pharmacoepidemiology and modeling and simulation projects in Alzheimer's disease, polycystic kidney disease (PKD), tuberculosis, type 1 diabetes, Parkinson's disease, Duchenne muscular dystrophy, kidney transplantation, Huntington's disease, and cardiovascular drug safety. His work has helped to achieve major milestones, including the first regulatory endorsement by the U.S. Food and Drug Administration and European Medicines Agency of a clinical trial simulation tool for mild and moderate Alzheimer's disease and the regulatory qualification of the first imaging biomarker for PKD. Dr. Romero is a fellow of the American College of Clinical Pharmacology, a founding member of the International Society of Pharmacometrics, as well as a member of the American Society for Clinical Pharmacology and Therapeutics, and the International Society for Pharmacoepidemiology. Dr. Romero is also a Research Associate Professor at the University of Arizona College of Medicine, Adjunct Professor at the College of Health Solutions at Arizona State University, Adjunct Professor at the USC School of Pharmacy and serves on the Scientific Board of Pharos Dx. Dr. Romero received his medical degree from Pontifical Xavierian University, completed his training in Clinical Pharmacology at Columbia National University and holds an MS degree in Epidemiology from the Columbia School of Medicine. [kromero@c-path.org](mailto:kromero@c-path.org)



**Scott Mosley, PharmD**, is an Assistant Professor in the Titus Department of Clinical Pharmacy, School of Pharmacy at USC. His research is focused on pharmacogenomics implementation, which incorporates genetic information with other clinical factors to optimize drug selection. Dr. Mosley received a B.S. in Biochemical/Biophysical Science from the University of Houston in 2006, then worked as an analytical chemist in a core pharmacology lab for MD Anderson Cancer Center in Houston, TX. He received his PharmD degree from the University of Texas in 2013, and then took a position as a pharmacist with MD Anderson Cancer Center. Dr. Mosley completed a postdoctoral research fellowship at the University of Florida College of Pharmacy in the Center for Pharmacogenomics during which he carried out a research protocol to assess the value of utilizing CYP2D6 genotype to guide opioid selection for patients with cancer pain. [samosley@usc.edu](mailto:samosley@usc.edu)



**Jerry S.H. Lee, PhD** is the Chief Science and Innovation Officer for the Lawrence J. Ellison Institute for Transformative Medicine and Associate Professor of Clinical Medicine and Chemical Engineering & Material Sciences at the USC. Prior to USC, Dr. Lee served as a Health Sciences Director within the National Cancer Institute's Office of the Director. Through direct support and use of public-public/public-private partnerships, he deployed programs focused on the integration of advanced technologies, trans-disciplinary approaches, infrastructures, and standards, to accelerate the creation of publicly available, broadly accessible, multi-dimensional data, knowledge, and tools to empower the entire cancer research continuum for patient benefit. In 2016, Dr. Lee was assigned to Office of the Vice President to serve as the Director for Cancer Research and Technology for the White House Cancer Moonshot Task Force. A few key efforts he helped coordinate include the Applied Proteogenomics Organizational Learning and Outcomes (APOLLO) Network, international collaborations to share molecular characterization datasets, the Blood Profiling Atlas in Cancer pilot, as well as co-chairing an interagency group focused on cancer data and technology policy issues. Dr. Lee's research involves elucidating the interplay between biophysical and biochemical drivers of age-related diseases. He is a member of the Department of Veterans Affairs' National Research Advisory Council, the National Academies Board on Science, Technology, and Economic Policy's Innovation Policy Forum, and the Health and Environmental Sciences Institute's Board of Trustees. Dr. Lee earned his BS in biomedical engineering and PhD in chemical and biomolecular engineering from Johns Hopkins University. [dr.jerry@usc.edu](mailto:dr.jerry@usc.edu)



**Robert Pacifici, PhD**, is Chief Scientific Officer of CHDI Foundation, a private, not-for-profit research organization working to accelerate therapeutics development for Huntington's disease. Dr. Pacifici has served as Site Director and Chief Scientific Officer at the Research Triangle Park Laboratories of Eli Lilly where he oversaw the company's global screening and quantitative-biology efforts, and Vice President of Discovery Technologies at Xencor, a privately held biotechnology company that applied rational design principles to the development of protein therapeutics. At Amgen for nearly ten years, he held positions of increasing leadership for their automation, high throughput screening, and information technologies groups. Dr. Pacifici participates in several external boards and advisory committees including: an adjunct appointment at the USC Department of Molecular Pharmacology and Toxicology; Council member for National Institutes of Health (NIH)/National Institute of Neurological Disorders and Stroke (NINDS) from 2011 to 2014; and Panel of Experts for National Center for Advancing Translational Sciences at NIH. Dr. Pacifici previously served as Chair of the NIH/NINDS Spinal Muscular Atrophy Project's Scientific Steering Committee; Advisor to the Marigold Foundation for Myotonic Dystrophy, the Cooperative International Neuromuscular Group (CINRG), and the Center for Genetic Medicine Research at the Children's National Medical Center (CNMC); and Member of the Science Advisory Board for Edison Pharmaceuticals, the TREAT ALS Steering Committee; the Pathogenesis of Facioscapulohumeral Muscular Dystrophy advisory board, the Spinal Muscular Atrophy Foundation Scientific Advisory Board; and the DART Rx Scientific Advisory Board. He received a BS in Biochemistry from the University of Massachusetts, Amherst, and a PhD in Biochemistry from USC. [robert.pacifici@chdifoundation.org](mailto:robert.pacifici@chdifoundation.org)



# Regulatory Science Symposium

# Innovation to Translation: Role of Genomics in Medical Product Development

## Introduction

**Eunjoo Pacifici, PharmD, PhD**

Chair and Associate Professor, Regulatory and Quality Sciences  
Associate Director, DK Kim International Center for Regulatory Science



**Lily Jara, BS**  
Clinical Research  
Supervisor,  
COVID-19  
Biorepository  
Project Manager,  
CRS

**Contact  
Information:**  
[crs@sc-ctsi.org](mailto:crs@sc-ctsi.org)

# SC CTSI Clinical Research Support (CRS)

A single stop for accessing all services an investigator and research team needs to develop, activate, conduct, and report results for human subject research studies

Initial focus on investigator-initiated trials (non-cancer)

- **Services:**
  - Clinical research coordinators for hire
  - Research navigation
  - Recruitment support
  - Budget preparation support
- **Clinical Trials Unit (CTU):**
  - Skilled research and nursing staff
  - Services to support highly-complex human subjects research studies
  - Specimen processing lab
- **Voucher program:**
  - Awards up to \$3,000 to generate new data for development of clinical and/or community research projects

<https://sc-ctsi.org/about/groups/clinical-research-support>

# Clinical Trial Quality Training Series

USC School of Pharmacy  
Department of Regulatory and Quality Sciences

SCCTSI

## CLINICAL TRIAL QUALITY Training Series

### MODULE 2: AUDITING

Clinical Trial Quality Training Series  
Module II: Auditing of a Clinical Research Site

Now available for public use

### CLINICAL TRIAL QUALITY TRAINING SERIES

Brought to you by the University of Southern California (USC) Department of Regulatory and Quality Sciences and Southern California Clinical and Translational Science Institute (SC-CTSI), these self-study modules allow you to learn and familiarize yourself with the concepts of monitoring and auditing of clinical research.

#### TO ACCESS THIS FREE RESOURCE

1. Go to: <http://uscregsci.remote-learner.net>
2. Sign In/Create a new account
  - a. For new accounts, open your email and confirm
3. Select the module and click "Enroll Me"

### CATCH UP WITH MODULE 1: MONITORING

- Quizzes
- Templates
- Checklists
- SOPs
- Resources

Clinical Trial Quality Training Series  
Module I: Monitoring of a Clinical Trial Site

1. Go to: <https://uscregsci.remote-learner.net>
2. Click **create new account** (right-hand side)
3. Type in your information and click **Create my new account** (bottom of page)
4. Open your email and click the link to confirm your account
5. Click **courses** (middle of page)
6. Scroll down and click the desired module
7. Click **Enroll me** (middle of page)

# Georgia CTSA and SC CTSI: Online Course Catalog

- Free trainings for clinical research workforce
- Free, one-time registration to the first 400 registrants
- Registration provides unlimited access to all courses and programs in the Online Course Catalog
- Participants earn a certificate or badge with contact hours upon completion of a course or program
- Contact hours can be used for CRP certification renewal
- To get started:  
<https://twd.ce.emorynursingexperience.com/>



## Georgia CTSA Translational Workforce Development Announces Online Course Catalog with Free Trainings for Clinical Research Professionals

The Georgia Clinical and Translational Science Alliance (Georgia CTSA) and the University of Southern California Clinical and Translational Science Institute (SC CTSI) are collaborating on an exciting new educational venture geared toward clinical research professionals at every stage of their professional development. Through this partnership, Georgia CTSA has created a new Online Course Catalog with [free course and program offerings](#) available to clinical research professionals and principal investigators. These courses and programs are created and vetted by experts in cross-disciplinary fields such as instructional design, technology, workforce development, regulatory science, clinical and translational science, and operations.

*"We are fortunate to partner with USC SC CTSI to bring such a broad offering of high-quality trainings to our clinical research professionals."*

*Linda McCauley, RN, PhD, Program Director of the Georgia CTSA Translational Workforce Development and Dean of the Nell Hodgson Woodruff School of Nursing at Emory University*

*"This joint effort between Georgia CTSA and SC CTSI will create a wonderful resource to support training and career development of clinical research professionals at all levels. It will be a game changer, especially for people working in an academic setting."*

*Thomas Buchanan, MD, Director & Principal Investigator of the SC Clinical and Translational Science Institute*

*"It has been a pleasure to partner with Georgia CTSA team in our common goal to promote life-long learning for the clinical research workforce."*

*Eunjoo Pacifici, PharmD, PhD, Chair and Associate Professor in the Department of Regulatory and Quality Sciences and Associate Director of the DK Kim International Center for Regulatory Science at the USC School of Pharmacy*

Participants earn a certificate or badge with contact hours (continuing education) from an accredited provider upon completion of a course or a program (series of courses). Contact hours can be used to meet requirements for CRP certification renewal.

**Free, one-time registration to the Georgia CTSA Online Course Catalog is available to the first 400 registrants.** Registration provides unlimited access to all courses and programs in the Georgia CTSA Online Course Catalog. View the [Online Course Catalog](#) to get started.

The first program, *Legal Aspects for Conducting Clinical Trials*, is comprised of six courses. Individual courses in all programs receive a certificate, and completing the program earns a badge. The second program, *Clinical Trials with Medical Devices*, is comprised of seven courses of which completion of five of the seven courses will earn a badge. Be sure to check out the dashboard features as you build your professional career.

*Stay Tuned for More Courses and Programs as We Develop This Free Online Course Catalog!*



Find us on our website: <https://regulatory.usc.edu/>

The screenshot shows the USC Regulatory Science website. At the top, the USC University of Southern California logo is on the right, and the text 'REGULATORYSCIENCE' is prominently displayed in the center. Below this is a navigation menu with links for PROGRAMS, COURSES, ADMISSIONS, PROGRAM RESOURCES, CONTACT, D.K. KIM INTL CENTER, FAQs, and ABOUT. A search bar is located on the right side of the header.

The main banner features a red background with the text 'Help develop the next treatment. FIND YOUR CAREER PATH WITH THE USC REGULATORY SCIENCE PROGRAM.' and the USC School of Pharmacy logo. A 'LEARN MORE' button is positioned on a yellow diagonal bar. To the right of the text is a photograph of a diverse group of students in a classroom setting.

Below the banner, there are five program highlights, each with a colored dot and a title:

- Doctorate in Regulatory Science**: A professional program that cultivates research, leadership and global capabilities for students in more advanced career stages.
- MS in Regulatory Science**: Designed to enhance the competencies of science-trained students to manage regulated medical products and foods.
- MS in Medical Product Quality**: Prepares students for careers as Quality professionals, providing the knowledge and skills required to ensure the safety of medical products worldwide.
- MS in Management of Drug Development**: Brings together skills and knowledge related to the formulation, quality management and testing of drugs in animals and people.
- MS in Regulatory Management**: Designed to provide post-doctoral scientists and clinicians with the knowledge and skills necessary to become leaders in regulatory and clinical research.

The 'NEWS' section is located below the program highlights. It features a sub-header 'NEWS' and a list of news items:

- NEW! Clinical Trial Auditing online module now available**: We are excited to announce the launch of Module 2 of our Clinical Trial Quality Training Series: "Auditing of a Clinical Research Site". To access the module and the accompanying resources at no cost, sign in or create a new account at <http://uscregsci.remote-learner.net> and enroll yourself in the course.
- Congratulations, Town and Gown Scholar —Annie Ly!**: Town and Gown of USC is a non-profit philanthropic organization that supports USC through student scholarships, building and campus enhancements, and cultural programs.

On the right side of the news section, there are several menu items: 'NEW! UNDERGRAD MAJOR AND MINOR!', 'CONSULTING SERVICES', 'OUR DISTANCE PROGRAM', 'ARTICLES, WHITE PAPERS & DISSERTATIONS', and 'PROGRAM RESOURCES'.

# Degree Programs

## Five Graduate Streams

- DRSC
- **MS Regulatory Science**
- MS Regulatory Management
- MS Management of Drug Development
- MS Medical Product Quality

## Certificates

- Food safety
- Regulatory Science
- Early Drug Development
- **Clinical Design and Management**
- Patient and Product Safety



**Nancy Smerkanich**  
DRSc, MS

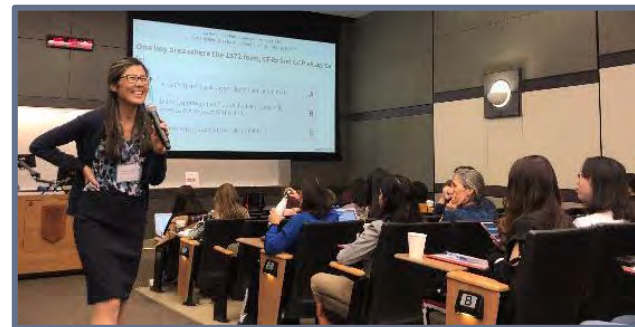
Assistant Professor  
Department of Regulatory  
and Quality Sciences

[piresmer@usc.edu](mailto:piresmer@usc.edu)

# Symposiums

- **2015** - Clinical Trial Hurdles
- **2016 Spring** - Clinical Trial Startup
- **2016 Fall** - Monitoring and Auditing
- **2017 Spring** - Clinical Trials in Special Populations
- **2017 Fall** - Clinical Trials in Era of Emerging Technologies and Treatments
- **2018 Spring** - Regulatory Aspects of Clinical Trial Design
- **2018 Fall** - Pharmacovigilance and Safety Reporting
- **2019 Spring** - Patient-Centered Drug Development and Real World Evidence/Data
- **2019 Summer** - Clinical Trials with Medical Devices
- **2019 Fall** - Legal Aspects of Conducting Clinical Trials
- **2020 Spring** - Quality by Design in Clinical Trials
- **2020 Fall** – Diversity in Clinical Trials in the Time of COVID-19
- **2021 Spring** – Clinical Research Career Pathways (half-day)
- **2021 Spring** – Principles of Global Clinical Research for Medical Devices
- **2021 Fall** – Innovation to Translation: Role of Genomics in Medical Product Development
- **2022 Spring** - TBD

Symposium recordings are easily accessible for viewing on the SC CTSI's online educational library <https://sc-ctsi.org/training-education/courses?audience=researchProfessionals>





**USC** School of Pharmacy  
*Department of Regulatory and Quality Sciences*



Regulatory Science Symposium

# Innovation to Translation: Role of Genomics in Medical Product Development

FRIDAY, SEPT. 24, 2021

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Presented by the USC School of Pharmacy International Center  
for Regulatory Science and the Southern California Clinical and  
Translational Science Institute

*This certifies that*

Before the end of today's symposium, you will  
receive a link to take the program evaluation.

**Follow this link to the Survey:**  
[Take the Survey](#)

Please complete the program evaluation to receive a  
certificate of completion by Friday, October 8, 2021.



Eunjoon Pacifici, PharmD, PhD  
Director  
International Center for Regulatory Science



Thomas A. Buchanan, MD  
Director  
Southern California Clinical and  
Translational Science Institute

USC School of Pharmacy  
*DK Kim International Center for Regulatory Science*



# Thank You!



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# Genomics and Regulation

Nancy Pire-Smerkanich, DRSc, MS



# *Agenda*

- 1. Regulations for the tests that are performed*
- 2. Regulations for the products developed based on testing/biomarkers*

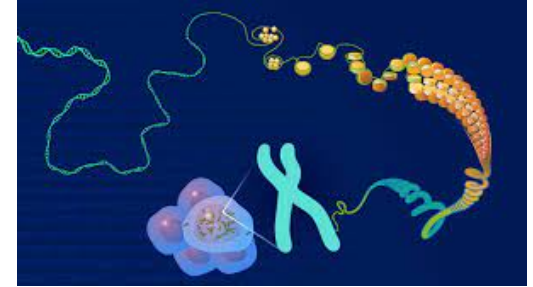
# Definitions

## Genomics

The branch of molecular biology concerned with the structure, function, evolution, and mapping of genomes (Oxford Dictionary)

## Personalized or Precision Medicine

Practice of medicine that uses an individual's genetic profile to guide decisions made in regard to the prevention, diagnosis, and treatment of disease (NIH)



# Definitions

**Genotype:**

What makes you – you!

**Genomic Biomarker:**

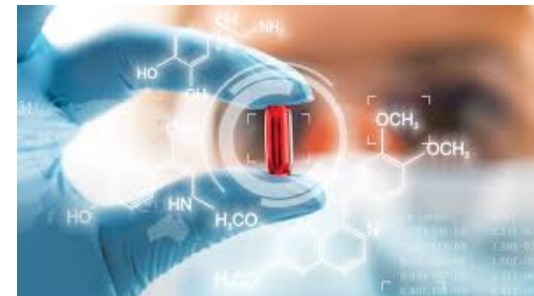
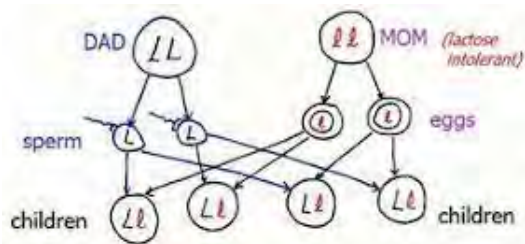
A measurable DNA and/or RNA characteristic that is an indicator of normal biologic processes, pathogenic processes, and/or response to therapeutic or other interventions.

**Pharmacogenomics(PGx):**

The study of how an individual's entire genetic makeup determines the body's response to drugs.

**Pharmacogenetics(PGt):**

The study of how sequence variation within specific candidate genes affects an individual's drug responses.



# The Testing We Will Be Talking About

In vitro diagnostic (IVD) products are those reagents, instruments, and systems intended for use in diagnosis of disease or other conditions, including a determination of the state of health, in order to cure, mitigate, treat, or prevent disease or its sequelae. Such products are intended for use in the collection, preparation, and examination of specimens taken from the human body. [21 CFR 809.3]

# Regulatory Authority

IVDs are devices as defined in section 201 (h) of the Federal Food, Drug, and Cosmetic Act, and may also be biological products subject to section 351 of the Public Health Service Act.

- Like other medical devices, IVDs are subject to premarket and post-market controls.
- IVDs are generally also subject to categorization under the Clinical Laboratory Improvement Amendments of 1988 (CLIA '88)

# Genetic Testing

## Different Types of IVD Testing

- In vitro diagnostics (IVDs) that are marketed directly to consumers without the involvement of a health care provider are called direct-to-consumer tests (also referred to as DTC).
- These tests generally require the consumer collect a specimen, such as saliva or urine, and send it to the company for testing and analysis.
- Direct-to-consumer testing is expanding the number of people who are able to get genetic testing of their DNA (or genome)  
(Example: 23andMe)

# Genetic Testing

## DCT Testing

- Direct-to-consumer tests have varying levels of evidence that support their claims.
  - Some direct-to-consumer tests have a lot of scientific and clinical data to support the information they are providing, while other tests do not have as much supporting data
- Some DCTs are reviewed by the FDA while others are not.
  - DCTs tests for non-medical, general wellness, or low risk medical purposes are not reviewed by the FDA before they are offered.
  - DCTs for moderate to high risk medical purposes are (generally) reviewed by the FDA to determine the validity of test claims

# DCT Genetic Testing

## FDA Review is based on...

- Whether a test can accurately and reliably measure what it claims to measure (analytical validity);
- Whether the measurement is predictive of a certain state of health (clinical validity); and
- What a company says about their test and how well it works (claims)



# Regulatory Pathway(s)

## Some specific/relevant examples:

### 1. Carrier Screening Tests (21 CFR 866.5940):

- ▶ These tests can be used to determine whether a healthy person carries a genetic variant that could be passed on to their potential future child(ren)
- ▶ Carrier screening tests are exempt from FDA premarket review, but they do need to follow specific requirements that are described in the regulation for this type of test.

### 2. Genetic Health Risk (GHR) Tests (21 CFR 866.5950):

- ▶ GHR tests are intended to provide information on an individual's genetic risk for certain medical diseases or conditions
- ▶ Companies that offer DTC GHR tests are required to obtain FDA clearance prior to offering their first test

# Regulatory Pathway(s)

## Some specific/relevant examples:

### 3. Cancer Predisposition Tests (CFR 21 866.6090):

- ▶ These tests provide information about an individual's risk of getting certain types of cancer
- ▶ These tests are considered moderate to high risk and are required to come to FDA for premarket review and clearance

### 4. Pharmacogenetics Tests (21 CFR 862.3364):

- ▶ Pharmacogenetics tests provide information regarding the role genetics may play in an individual's reaction to drug
- ▶ Companies that offer DTC pharmacogenetic tests are required to come to FDA for premarket review and clearance.
- ▶ Currently the FDA has not authorized any DTC pharmacogenetic tests that predict whether an individual is likely to respond to or have adverse reactions from any specific therapeutic drug.

# Proprietary/ Specialized Genetic Testing - Biomarkers

A biomarker is something that can be objectively measured and is a sign of a normal or abnormal process, or a condition or disease.

- A biomarker can be a molecule found in the blood or other body fluids or tissues.
- A biomarker is a genetic signature or “fingerprint”—a pattern of activity in a set of genes that reveals some biological condition

*“The development of biomarkers can benefit the availability of new medical therapies by helping translate scientific discoveries into clinical applications.”*

# Guidance for Biomarkers (US)

## **Guidance for Industry**

### **E16 Biomarkers Related to Drug or Biotechnology Product Development: Context, Structure, and Format of Qualification Submissions**

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

August 2011  
ICH

## FDA E16 (2011)

- Describes recommendations re: Context (of use),
- structure and format of regulatory submission for qualification (Ref: ICH E15)
- Notes that “qualification” is a conclusion

# Guidance for Biomarkers/PGx and PGt Data (EU)

 European Medicines Agency

November 2007  
EMEA/CHMP/ICH/437986/2006

**ICH Topic E15**  
Definition: for genomic biomarkers,  
pharmacogenomic, pharmacogenetic,  
genomic data and sample coding categories

Step 5

**NOTE FOR GUIDANCE ON DEFINITIONS FOR  
GENOMIC BIOMARKERS, PHARMACOGENOMICS, PHARMACOGENETICS,  
GENOMIC DATA AND SAMPLE CODING CATEGORIES**  
(EMEA/CHMP/ICH/437986/2006)

TRANSMISSION TO CHMP	November 2006
TRANSMISSION TO INTERESTED PARTIES	November 2006
DEADLINE FOR COMMENTS	February 2007
FINAL APPROVAL BY CHMP	November 2007
DATE FOR COMING INTO OPERATION	May 2008

## ICH Topic E15[EMEA 2007]:

- Definitions for genomic biomarkers, pharmacogenomics, pharmacogenetics, genomic data and sample coding categories
- Provides a harmonized definition for the coding of these samples and their associated data will facilitate use in research and development of new medicines.

## ICH E15 reflects EU Priority re: Confidentiality and Privacy

There are four general categories of coding:

- identified,
- coded,
- anonymized
- anonymous

Coded data or samples can be single or double coded

Table 1: Summary of Genomic Data and Sample Coding Categories

Sample Coding Category		LINK BETWEEN SUBJECT'S PERSONAL IDENTIFIERS AND GENOMIC BIOMARKER DATA	Traceability Back to the Subject (Actions possible, including e.g. sample withdrawal or return of individual genomic results at subject's request)	Ability to Perform Clinical Monitoring, Subject Follow-up, or Addition of New Data	Extent of Subject's Confidentiality and Privacy Protection
<i>Identified</i>		Yes (direct) Allows for subjects to be identified	Yes	Yes	Similar to general healthcare confidentiality and privacy
<i>Coded</i>	<i>Single</i>	Yes (indirectly) Allows for subjects to be identified (via single, specific coding key)	Yes	Yes	Standard for clinical research
	<i>Double</i>	Yes (very indirectly) Allows for subjects to be identified (via the two specific coding keys)	Yes	Yes	Added privacy and confidentiality protection over single code
<i>Anonymised</i>		No Does not allow for subjects to be re-identified as coding key(s) have been deleted	No	No	Genomic data and samples no longer linked to subject as coding key(s) have been deleted
<i>Anonymous</i>		No Identifiers never collected and coding keys never applied  Does not allow for subjects to be identified	No	No	Genomic data and samples never linked to subject

# Biomarker Development

There is guidance and a program for this!

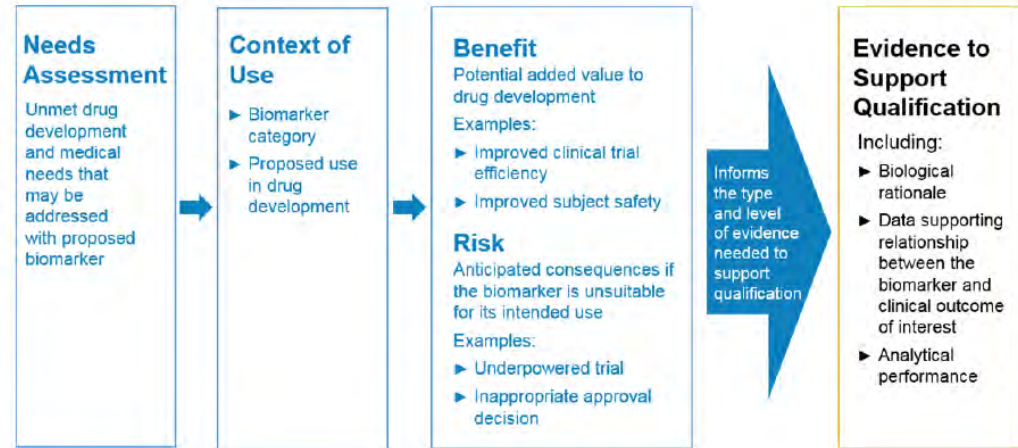
**Biomarker Qualification: Evidentiary Framework**  
Guidance for Industry and FDA Staff

Additional copies are available from:  
Office of Communications, Division of Drug Information  
Center for Drug Evaluation and Research  
Food and Drug Administration  
10001 New Hampshire Ave., Hillendale Bldg., 4<sup>th</sup> Floor  
Silver Spring, MD 20993-0002  
Phone: 855-543-7784 or 301-518-5400; Fax: 301-431-6153  
Email: [druginfo@fda.gov](mailto:druginfo@fda.gov)  
<http://www.fda.gov/Drugs/Development/Qualification/Information/QualificationFramework.htm>  
and/or  
Office of Communication, Outreach, and Development  
Center for Biologics Evaluation and Research  
Food and Drug Administration  
10901 New Hampshire Ave., Bldg. 71, Room 3128  
Silver Spring, MD 20993-0002  
Phone: 800-825-4709 or 240-612-8019; Email: [ocod@fda.gov](mailto:ocod@fda.gov)  
<https://www.fda.gov/Biologics/BioRegulatory/Qualification/Information/Guidance/QualificationFramework.htm>

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

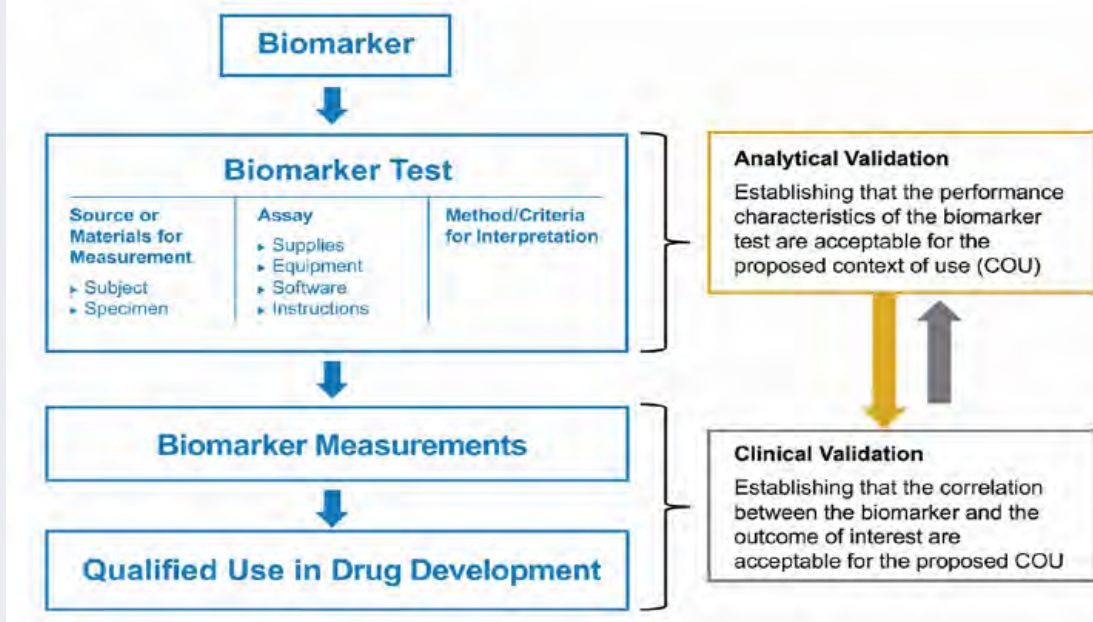
December 2018  
Drug Development Tools

Figure 1: Evidentiary Framework



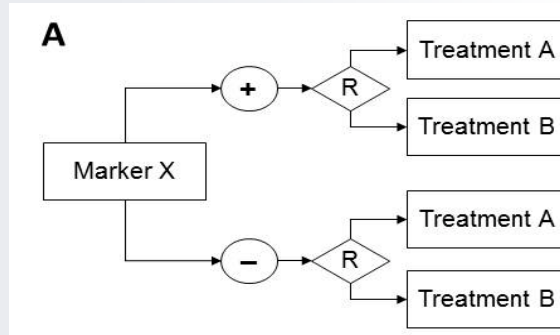
# Biomarker Development

Figure 2: Biomarker Validation Approach

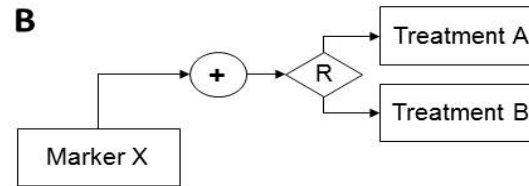




# Biomarkers based CT designs



- + Obtain information from all subjects
- + Assess predictive vs. prognostic
- + May include futility analysis
- + May stack enrollment toward biomarker positive (e.g., 80/20)
- + Large trial



- + More efficient (if biomarker is predictive or prognostic)
- + Potentially exposes fewer patients to ineffective therapy
- + May hinder enrollment
- + No information about marker-negative

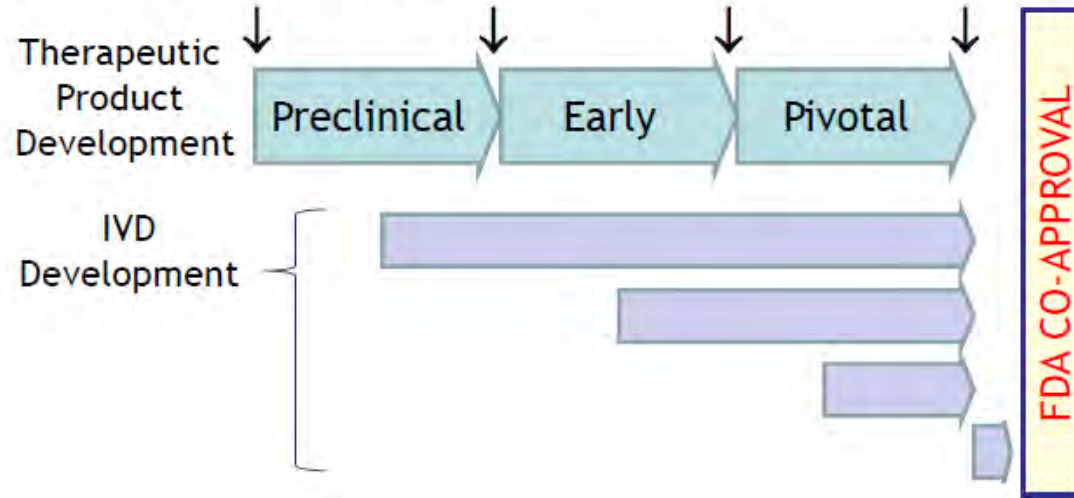
# Biomarkers v. Companion Diagnostics

How are companion diagnostic tests different from biomarkers?

- ▶ A companion diagnostic test is essentially a biomarker test that enables better decision making on the use of a therapy.
- ▶ It is a diagnostic test that is specifically linked to a therapeutic drug

# Biomarkers as CoDx

Biomarker discovery (↓) and test development can occur at any point during the therapeutic product development process.



# In Vitro/Companion Diagnostics

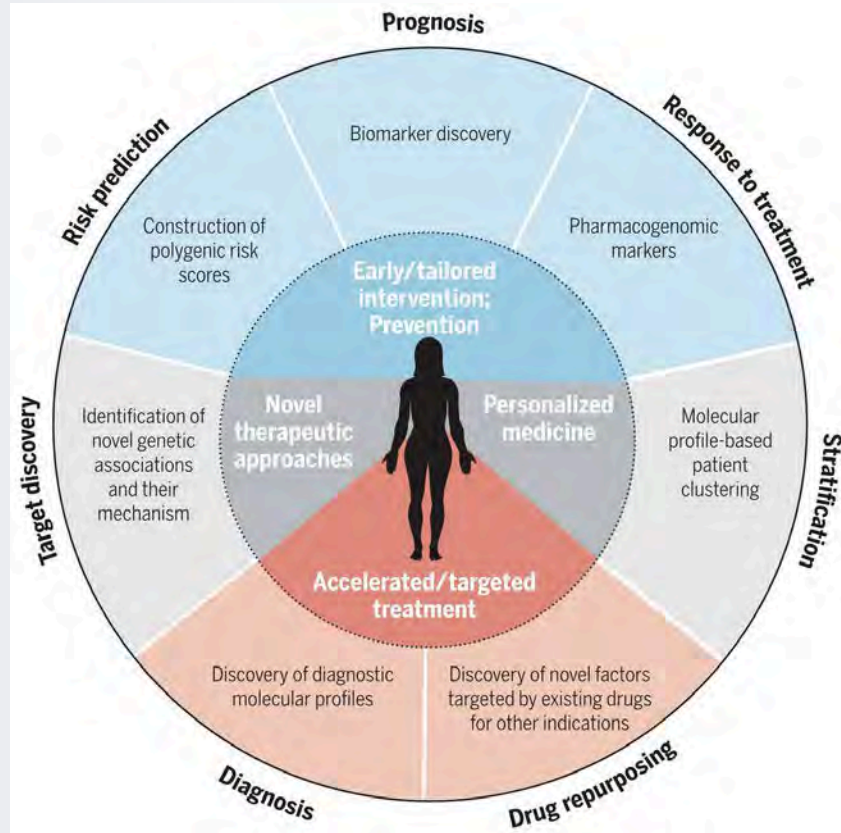
- ▶ The FDA issued final guidance “In Vitro Companion Diagnostic Devices” Aug. 2014
- ▶ Defined companion diagnostic (CoDx) as IVD that provides information that is essential for the safe and effective use of a corresponding therapeutic product
- ▶ Described CoDx uses:
  - ▶ Identify population most likely to benefit or most at risk of adverse reaction.
  - ▶ Monitor response to adjust treatment.
  - ▶ Identify population for whom product is known to be safe and effective.
- ▶ Clarified that, in general, the FDA expects contemporaneous regulatory approvals of the CoDx and therapeutic product
- ▶ Described other regulatory requirements (labeling, etc.)

# Companion Diagnostics

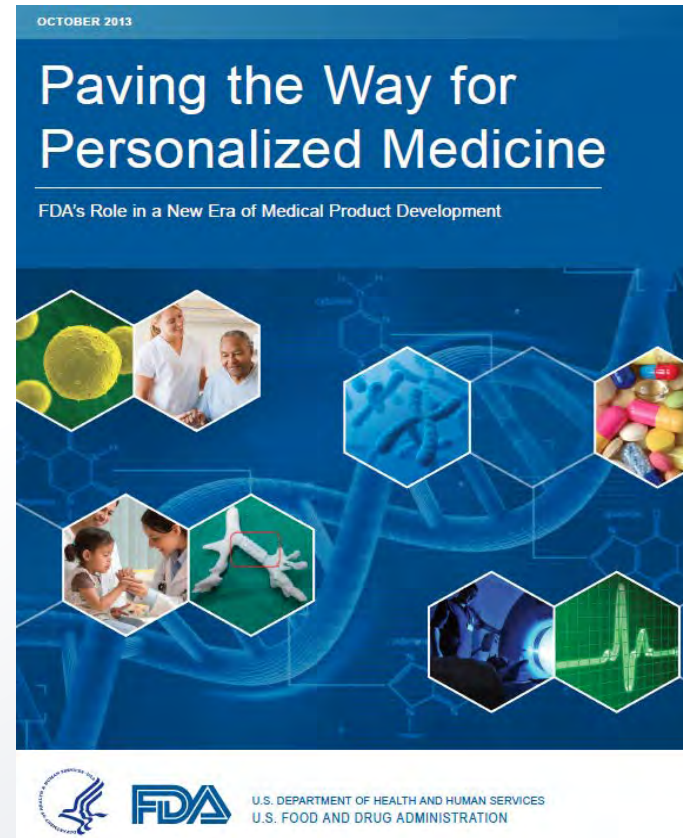
- When a clinical trial is properly designed to establish the safety and effectiveness of a therapeutic product in a population based on measurement or detection of a (bio)marker, the results of the clinical trial can also be used to establish the clinical validity of the IVD companion diagnostic
- Considerations:
  - Mechanistic rationale for selecting the marker
  - The nature of the disease
  - Level of characterization in the test-negative population
  - Prospective-retrospective analyses

# The translational potential of complex disease genomics

REF: Zeggini et al. Science 27Sept2019



FDA Report from 2013 describes the (still) evolving regulatory processes in response to scientific developments that are critical for the development of personalized therapeutics and diagnostics and how to bridge developments in genomics and other relevant sciences to clinical practice by advancing the tools necessary for evaluating targeted therapeutics and bringing them to market more efficiently.

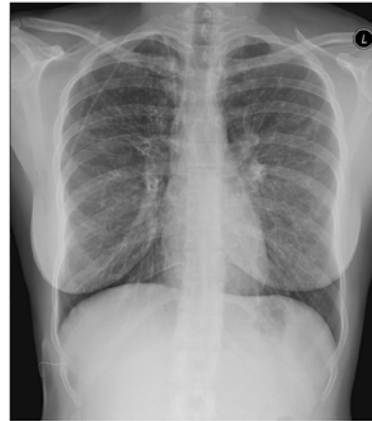


## CASE STUDY:

### Kalydeco™(ivacaftor)

- Approved January 2021 for Cystic Fibrosis patients with G551D genetic mutation
- This gene helps regulate the transport of salt and water in the body
- Ivacaftor restores the function of the protein that is made by the mutated gene allowing the proper flow of salt and water on the surface of the lungs and helps prevent the buildup of sticky mucus that occurs and can lead to life-threatening lung infections and digestive problems

**Before Kalydeco**



**After Kalydeco**





# PERSONALISED MEDICINE

## PREVENTION



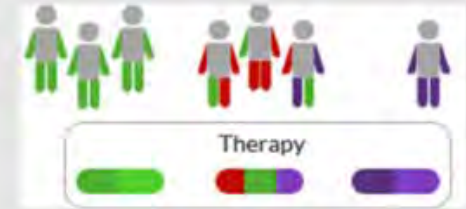
**Early detection of patients at risk, Improve preventive measures (individual/collective)**

## DIAGNOSIS



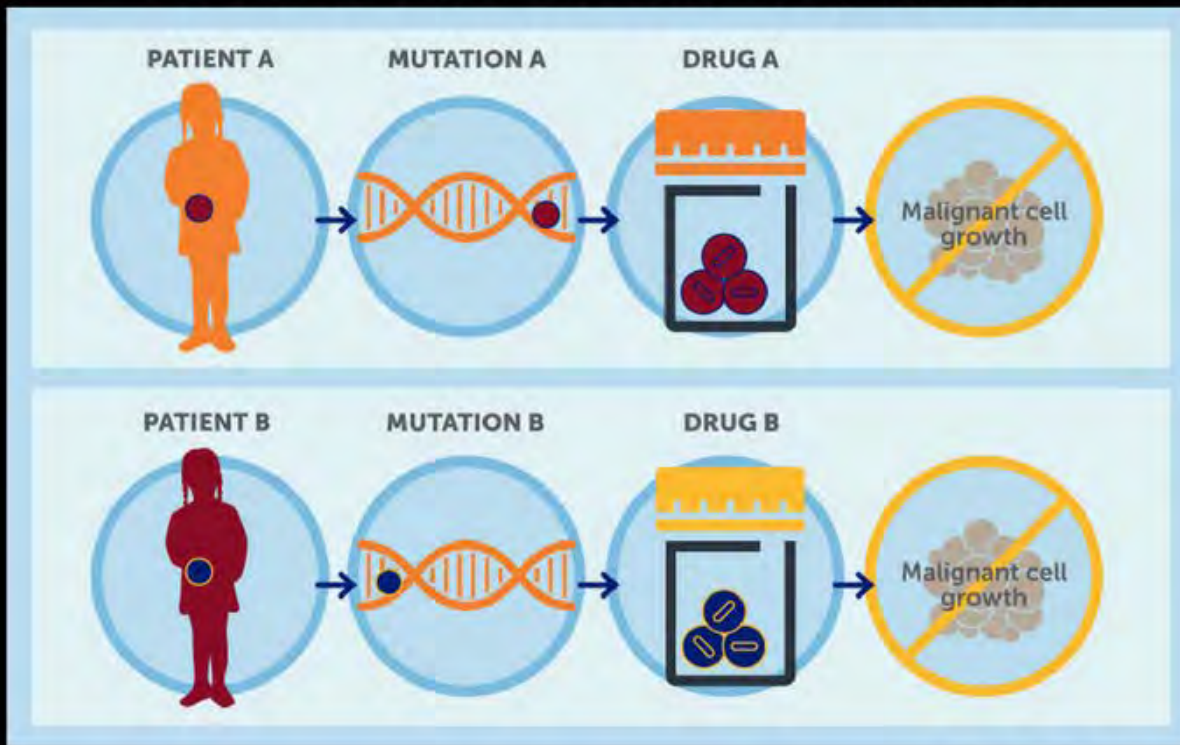
**Accurate disease diagnosis enabling individualized treatment strategy**

## TREATMENT



**Improved outcomes through targeted treatments and reduced side effects**

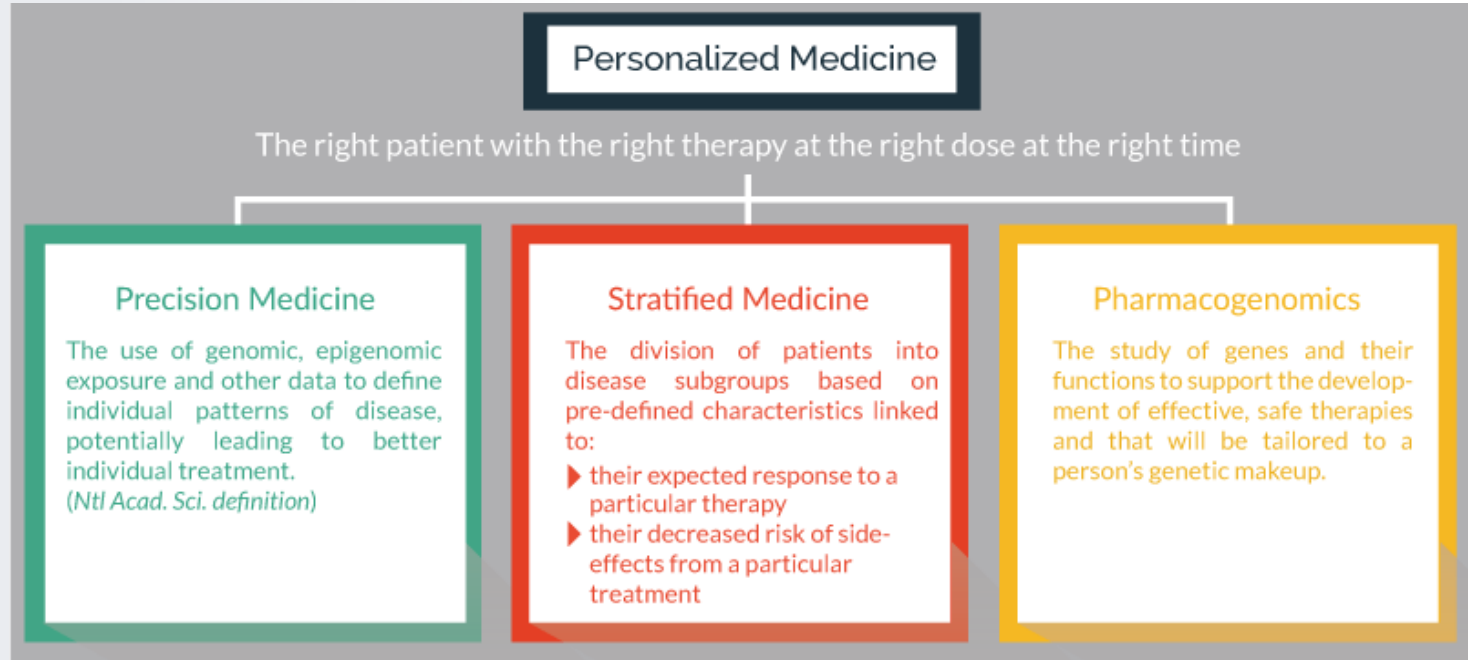
## Precision vs Personalized Medicine...synonymous?

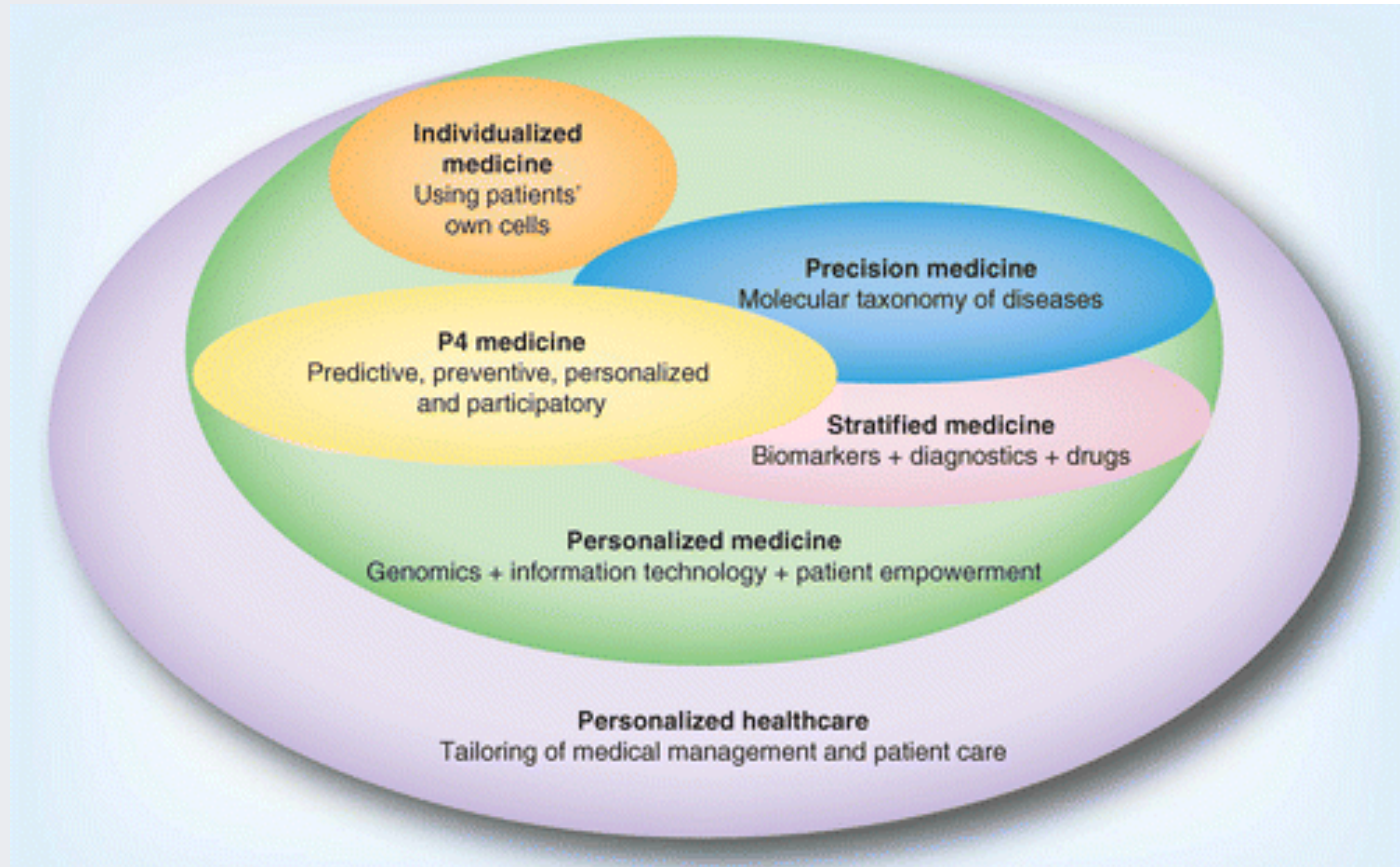


**PART I**

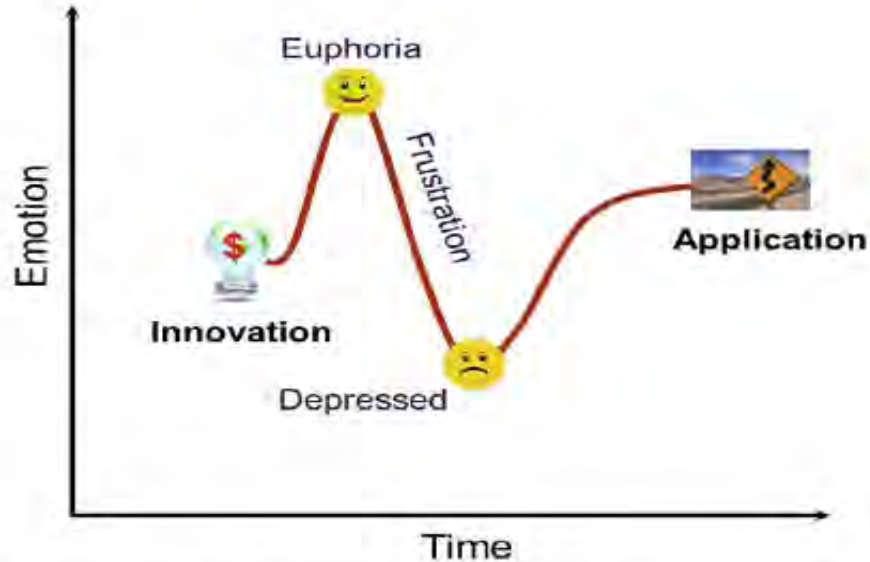
*Photo Source: Boston Children's Hospital*

# (More) Terminology – Clarified?





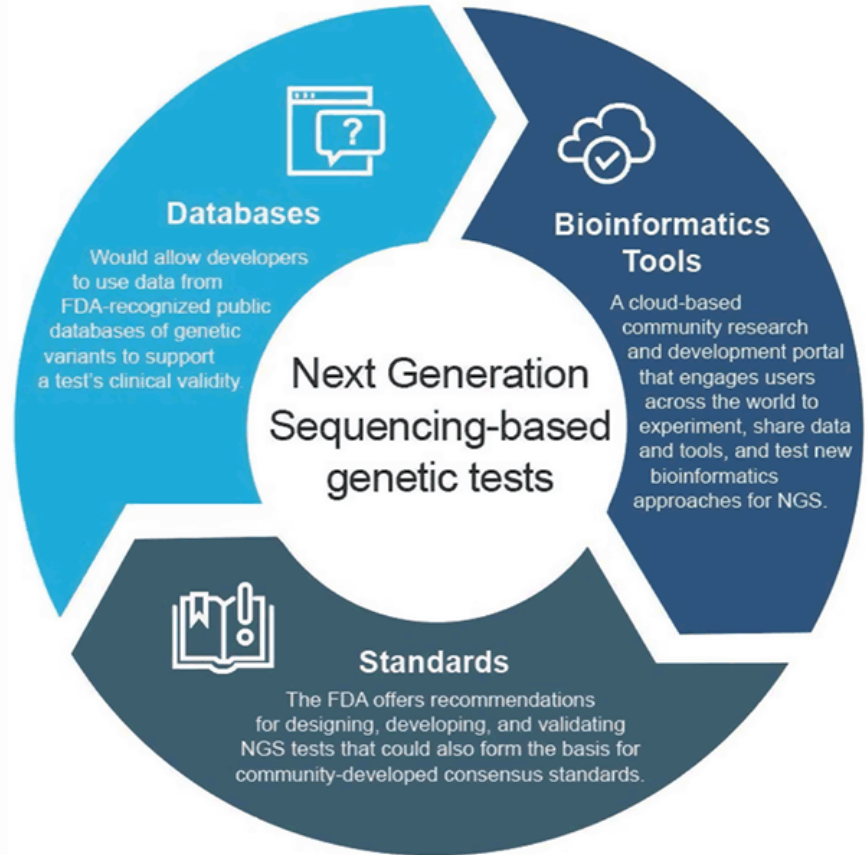
# The Innovation to Translational Science



Ref: Tong et al.,  
Regulatory Tox and Pharm(2015)

**Fig. 1.** An illustration of the innovation-to-application process. It usually takes 10–20 years to translate innovation to regulatory application. Therefore, one of the objectives of Regulatory Science Research is to expedite the translation process for innovation by optimizing its reproducibility, standardizing the analysis protocols and promoting data sharing.

# More to Follow from Our Other Speakers...







# Genomics and Personalized Medicine

A view from a drug development and regulatory science perspective

**Klaus Romero MD MS FCP**  
Chief Science Officer, Critical Path Institute





# How C-Path came about

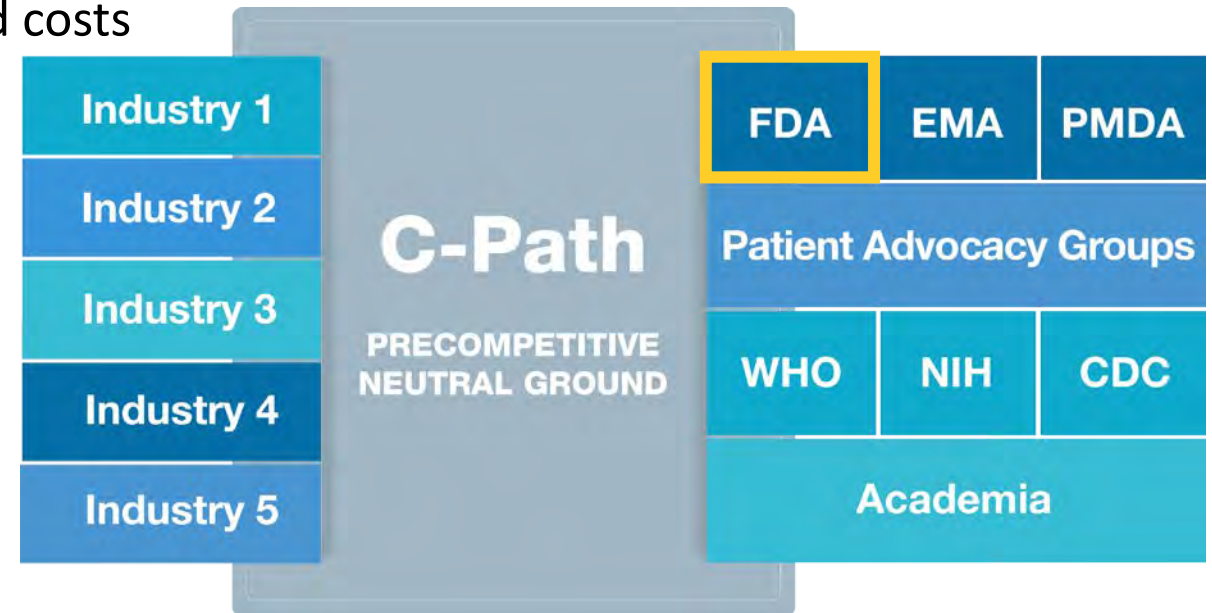
In response to the Critical Path Initiative, the **Critical Path Institute** was formed as an independent 501(c)3 in 2005

*“... to foster development of new evaluation tools to inform medical product development.”*



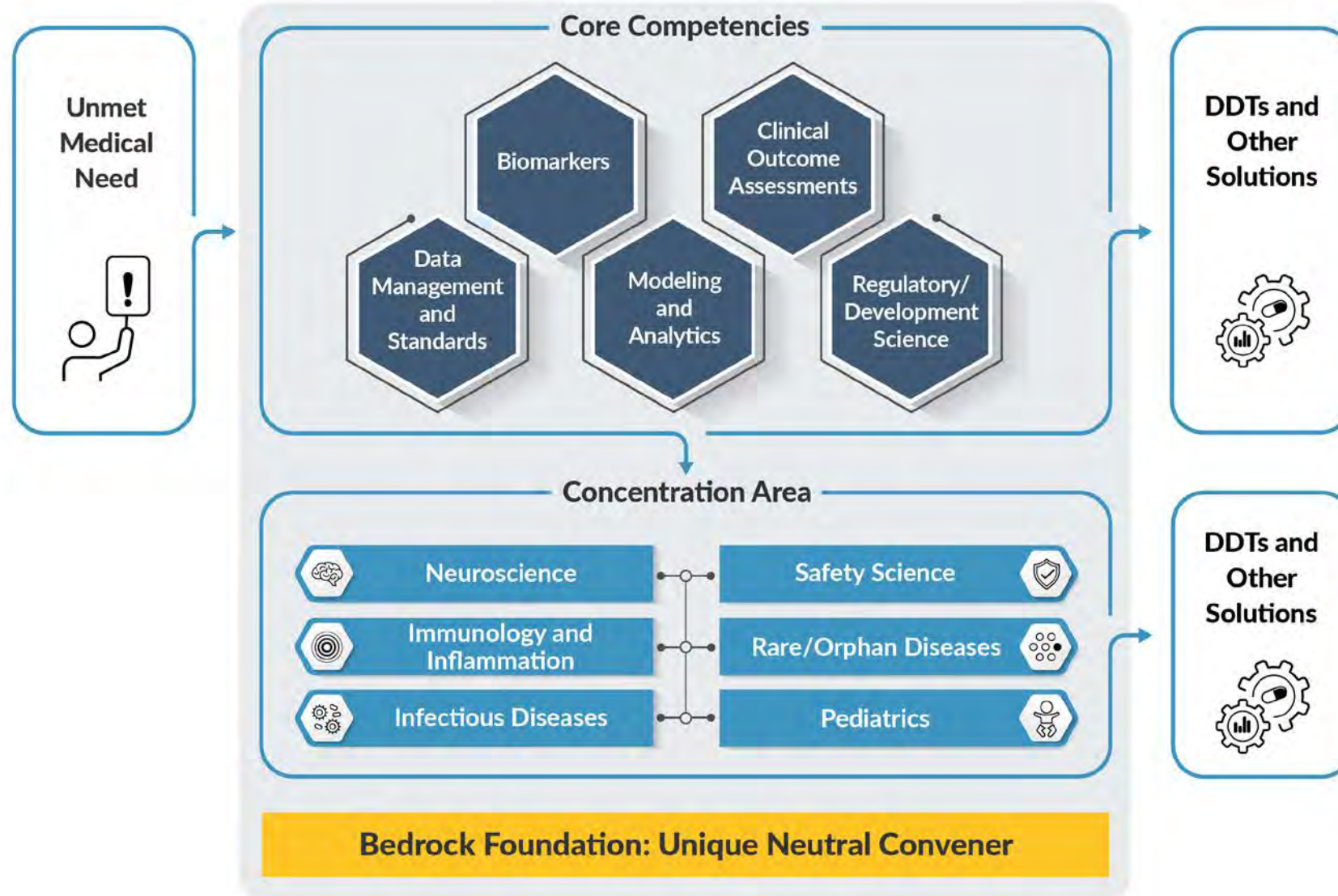
# How C-Path Works: Public-Private Partnerships

- Acts as a trusted, neutral third party
- Convenes scientific collaborations of industry, academia and government for sharing of data and expertise
  - ✓ The best science
  - ✓ Active consensus building
  - ✓ The broadest experience
  - ✓ Shared risk and costs
- Enable iterative FDA/EMA/PMDA participation in developing new methods to assess the safety and efficacy of medical products



**Official regulatory endorsement of novel methodologies and drug development tools**

# C-Path generates actionable solutions (in interesting packages)

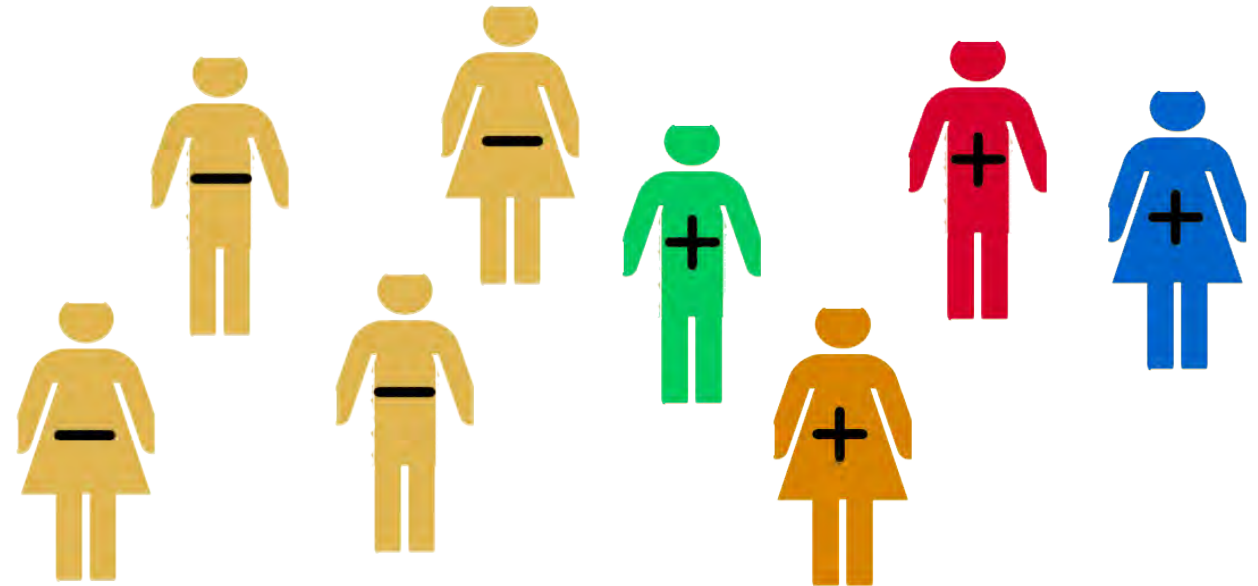


# Active C-Path Consortia & Programs

## Active Consortia/Programs

<b>BmDR</b>	Biomarker Data Repository	<b>ERA4TB</b>	European Regimen Accelerator for Tuberculosis*	<b>RD-COAC</b>	Rare Disease Clinical Outcome Assessment Consortium
<b>CDRC</b>	Cure Drug Repurposing Collaboratory	<b>HD-RSC</b>	Huntington's Disease Regulatory Science Consortium	<b>T1D</b>	Type 1 Diabetes Consortium
<b>CPAD</b>	Critical Path for Alzheimer's Disease	<b>INC</b>	International Neonatal Consortium	<b>TOMI-T1D</b>	Trial Outcome Markers Initiative in T1D Consortium
<b>CPP</b>	Critical Path for Parkinson's Disease	<b>MSOAC</b>	Multiple Sclerosis Outcome Assessment Consortium	<b>TTC</b>	Transplant Therapeutics Consortium
<b>CPTA</b>	Critical Path to Therapeutics for the Ataxias	<b>PKDOC</b>	Polycystic Kidney Disease Outcomes Consortium	<b>UNITE4TB</b>	Worldwide Accelerator for Tuberculosis*
<b>CPTR</b>	Critical Path to TB Drug Regimens	<b>PredicTox KE</b>	PredicTox Knowledge Environment		
<b>CP-SCD</b>	Critical Path for Sickle Cell Disease	<b>PRO Consortium</b>	Patient-Reported Outcome Consortium		
<b>DCC</b>	Data Collaboration Center	<b>PSTC</b>	Predictive Safety Testing Consortium		
<b>D-RSC</b>	Duchenne Regulatory Science Consortium	<b>QuantMed</b>	Quantitative Medicine		
<b>ePRO Consortium</b>	Electronic Patient-Reported Outcome Consortium	<b>RDCA-DAP</b>	Rare Disease Cures Accelerator- Data and Analytics Platform		

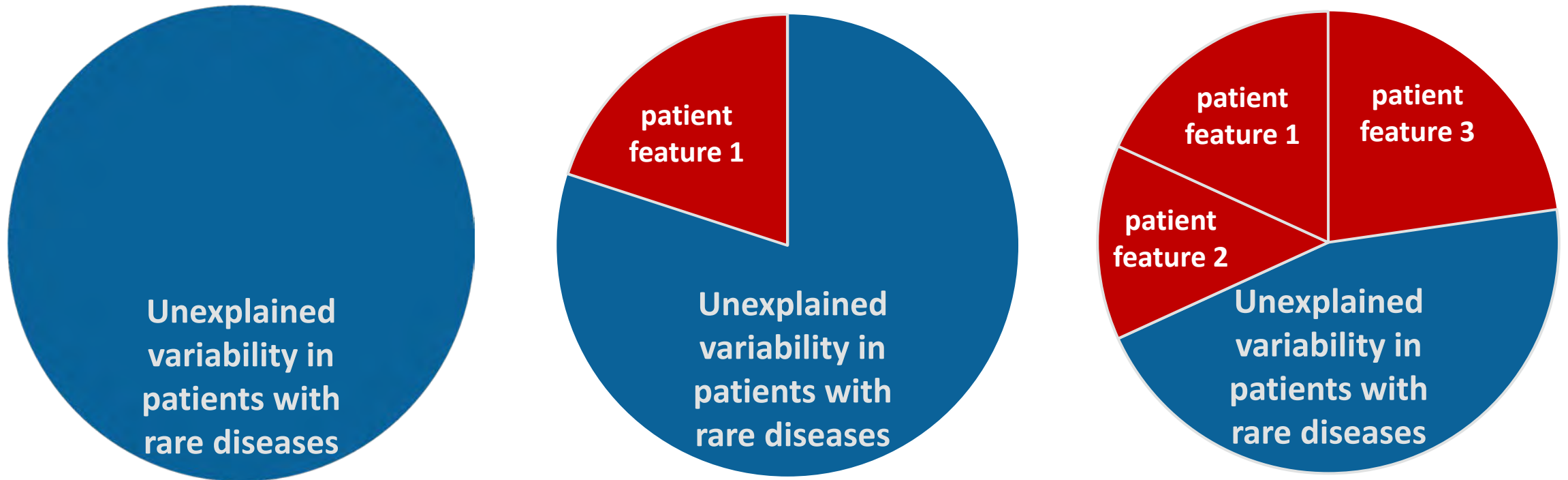
- How many patients should be recruited to properly power the trial?
- What should be the inclusion criteria?
- Can the control arm be optimized?
- What types of progression rates are expected for different subpopulations?
- What measures of progression are most adequate, at which stages of the disease continuum?
- How long should the trial duration be?
- How often should I assess?
- What is the time-varying probability of dropouts, and what are their predictors?



How should one go about providing sound quantitative answers to these questions?

# Answer 1: Quantifying variability

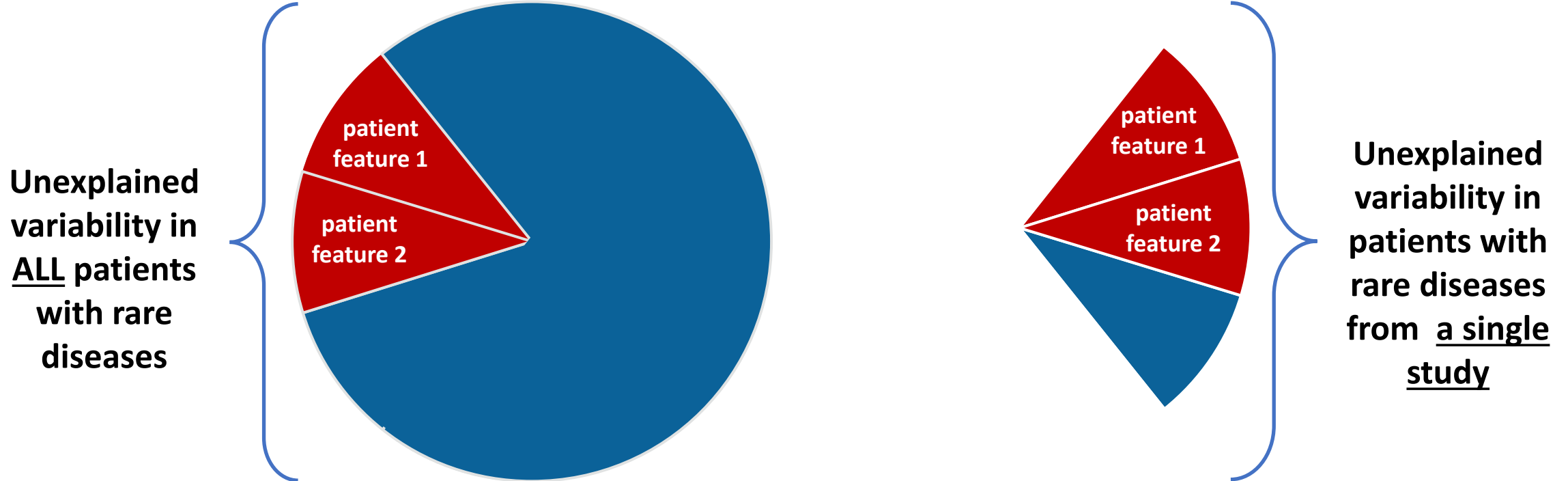
Quantifying multiple sources of variability simultaneously within the patient population reduces overall unexplained variability



Result: The ability to predict more accurate progression rates for heterogeneous subpopulations of patients in clinical trials

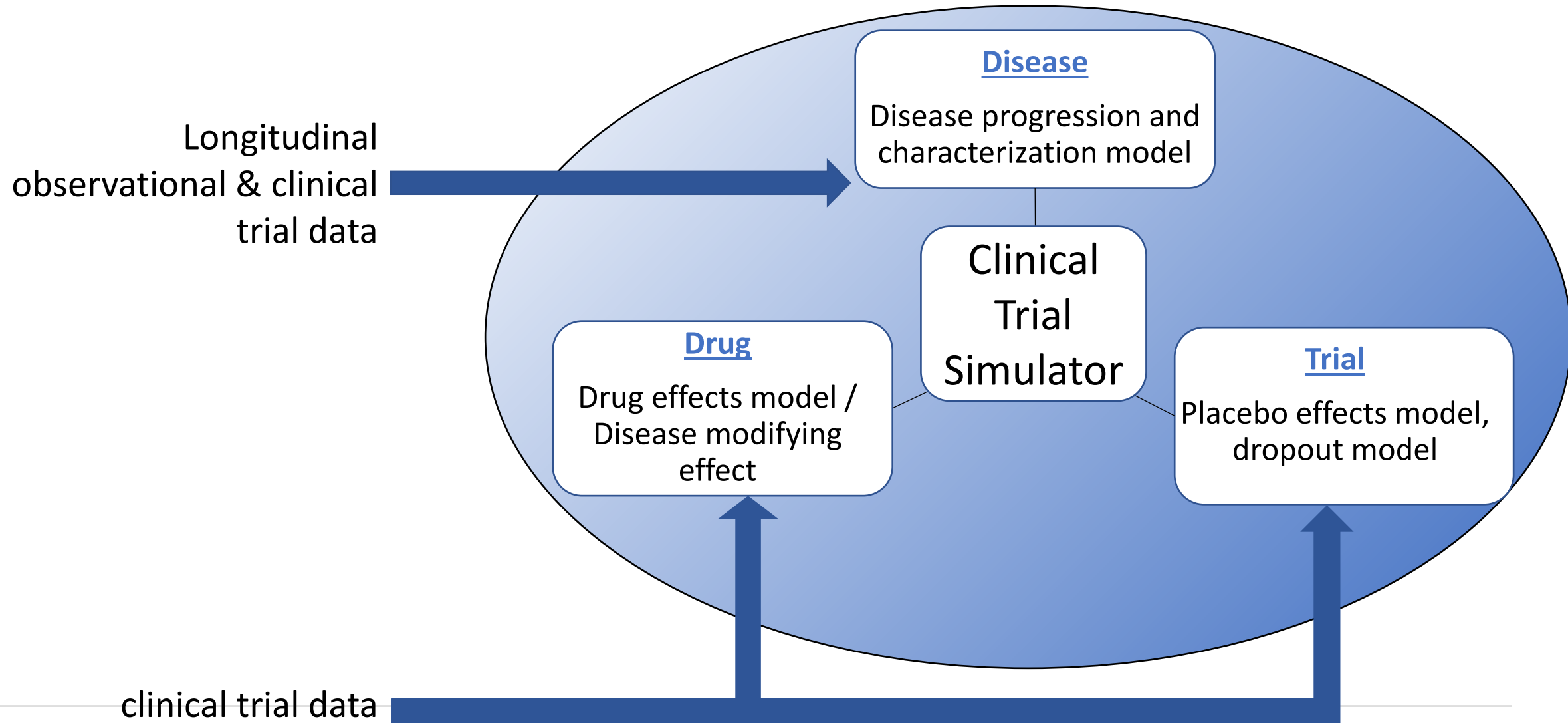
## Answer 2: Multiple data sources

Understanding the 'universe' of a given disease's heterogeneity



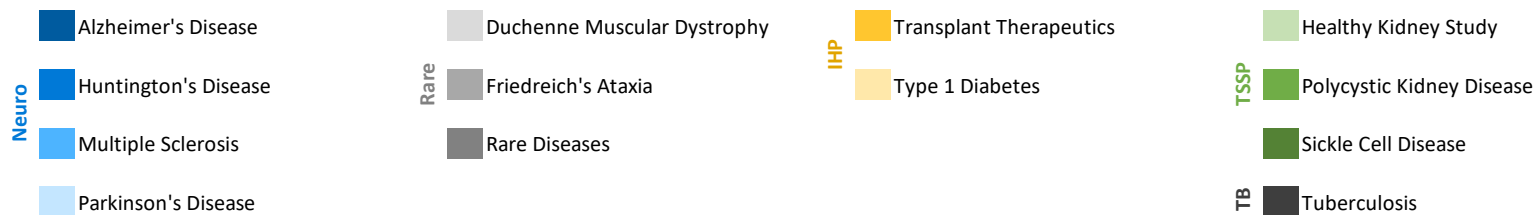
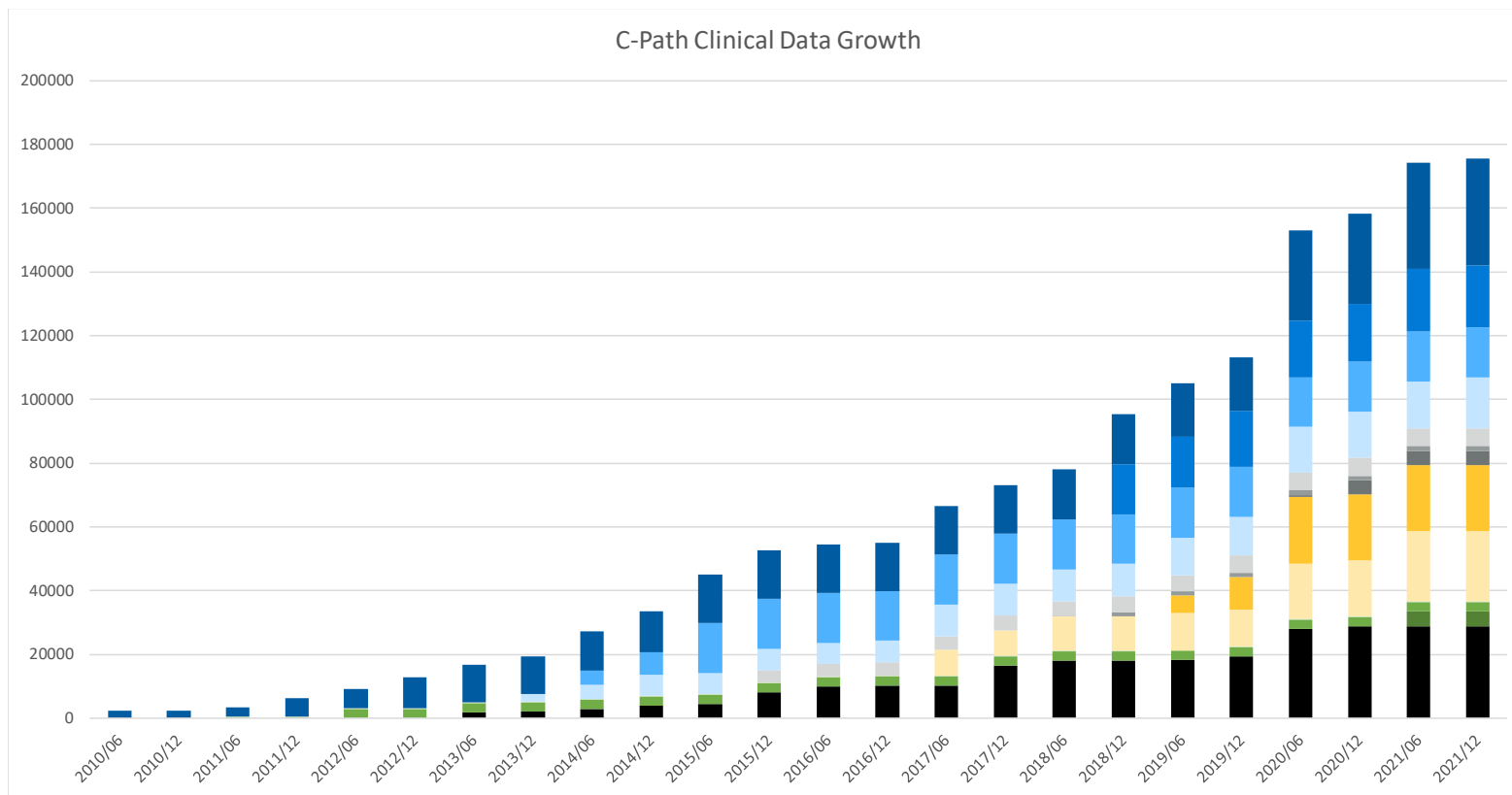
Result: The ability to more accurately account for the heterogeneity in rare diseases and avoid biased conclusions on few data sources

# Answer 3: Drug-disease-trial modeling



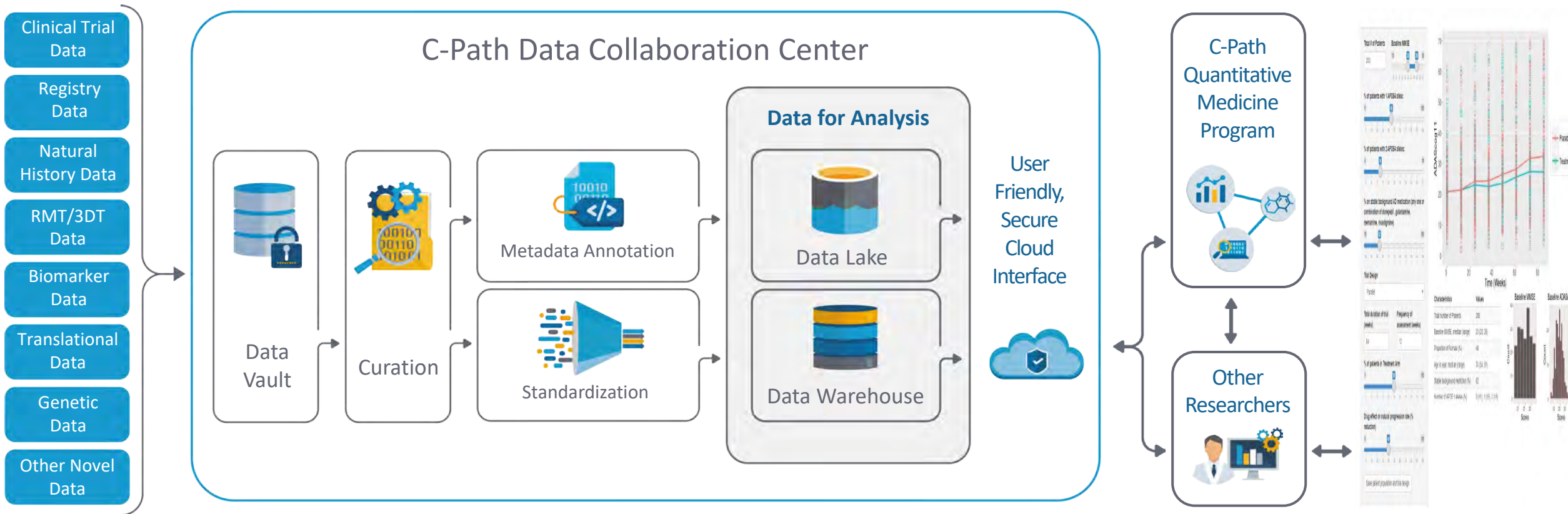


# Clinical Data Contributed to C-Path



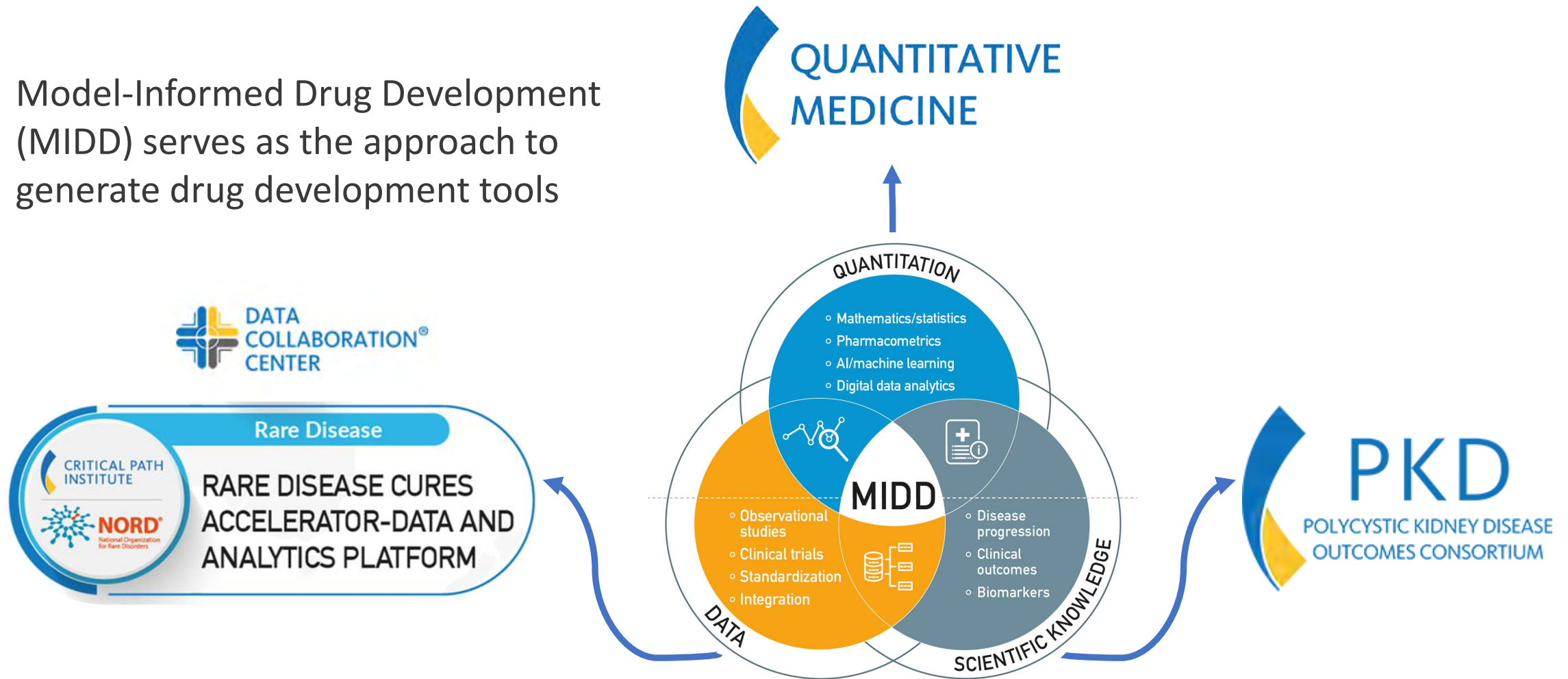
	Clinical Data	Nonclinical Data
Studies	235	162
Subjects	175,401	19,726
ReSeqTB isolates:		9,215

## Actionable drug development solutions

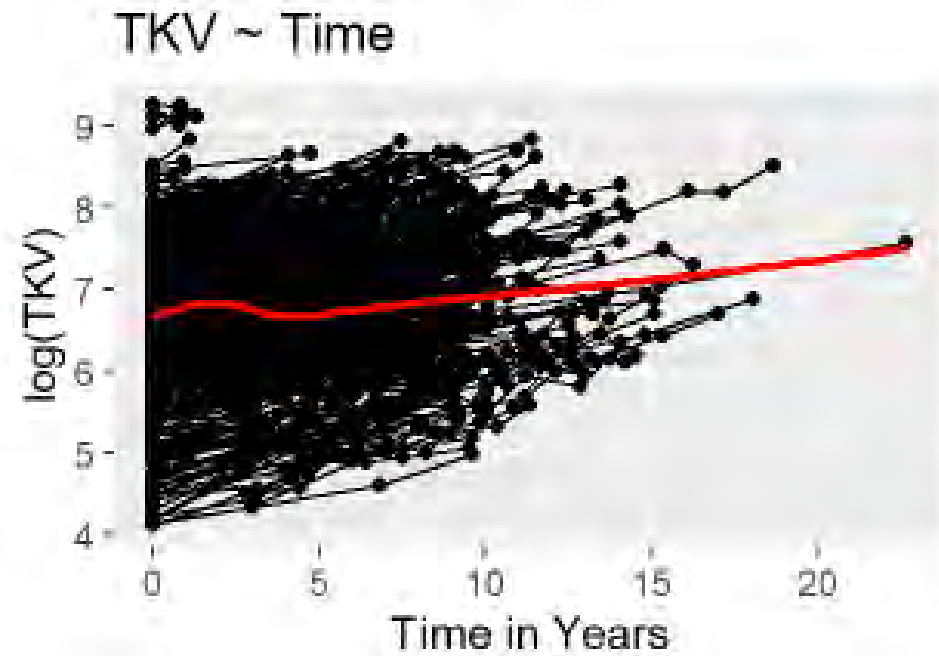
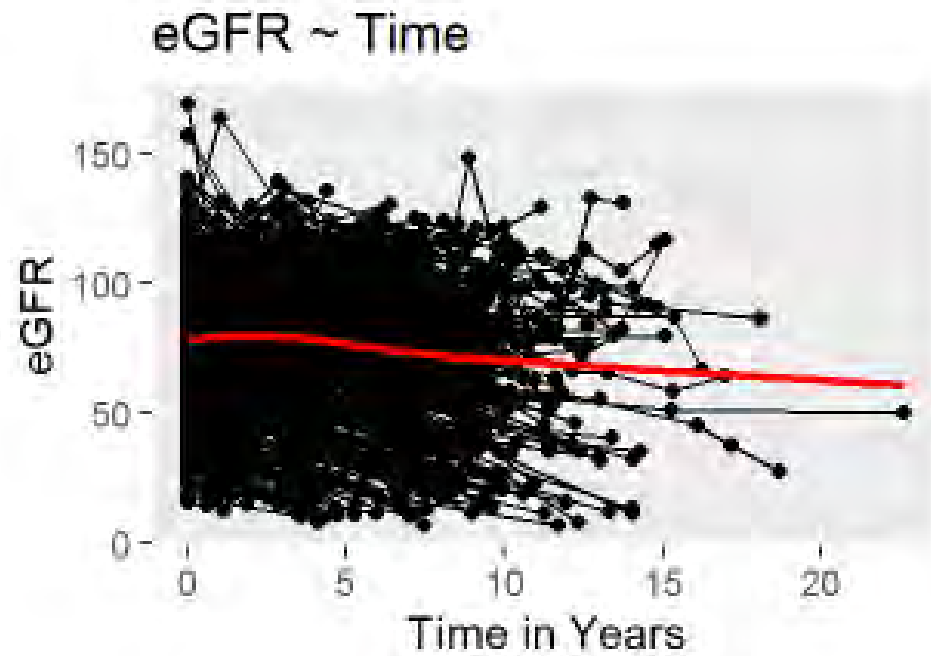


# Building Quantitative Drug Development Tools Based on Standardized Integrated Data

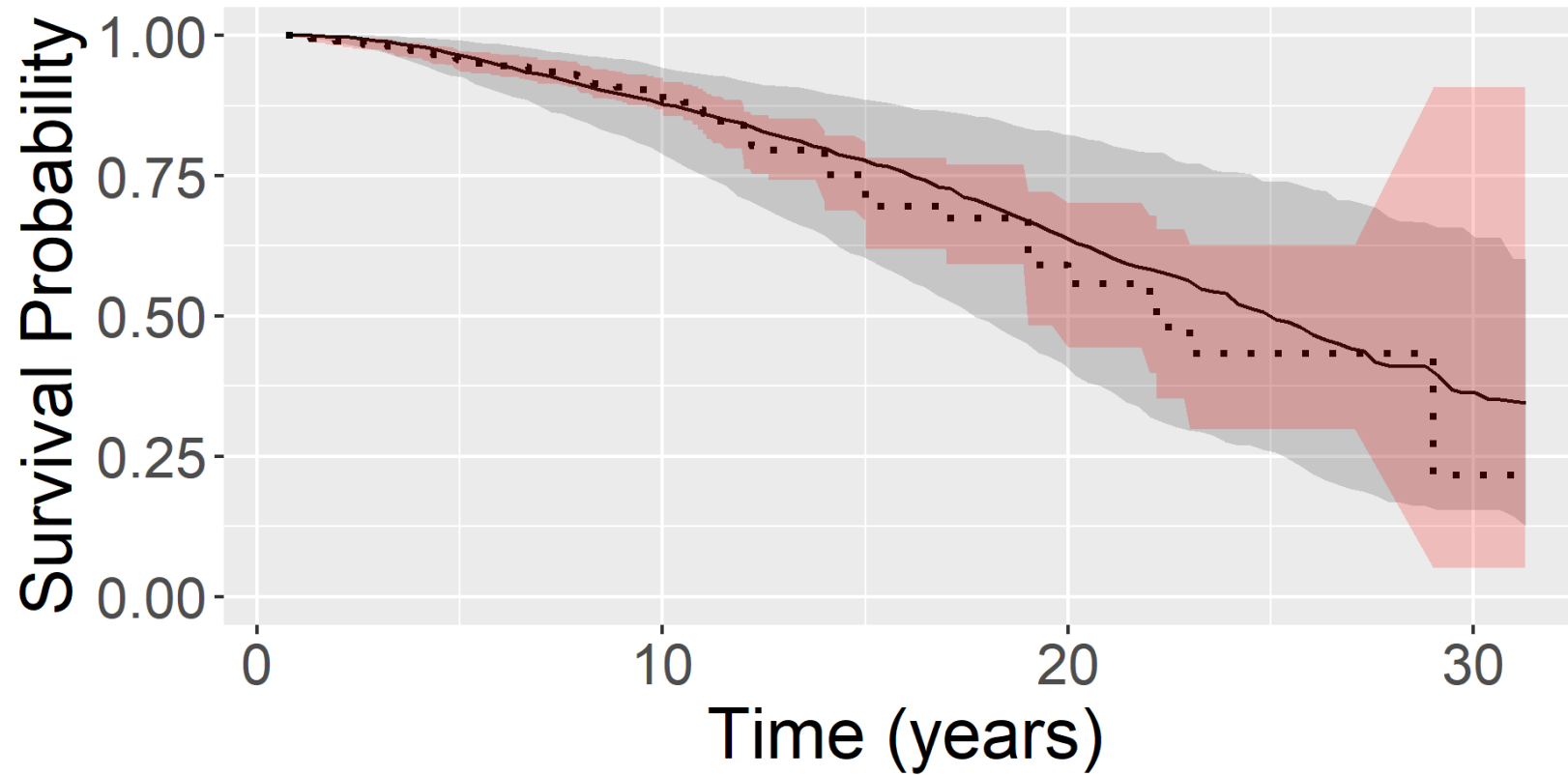
Model-Informed Drug Development (MIDD) serves as the approach to generate drug development tools



# Multivariate TKV/eGFR model



## VPC plot on time to ESRD

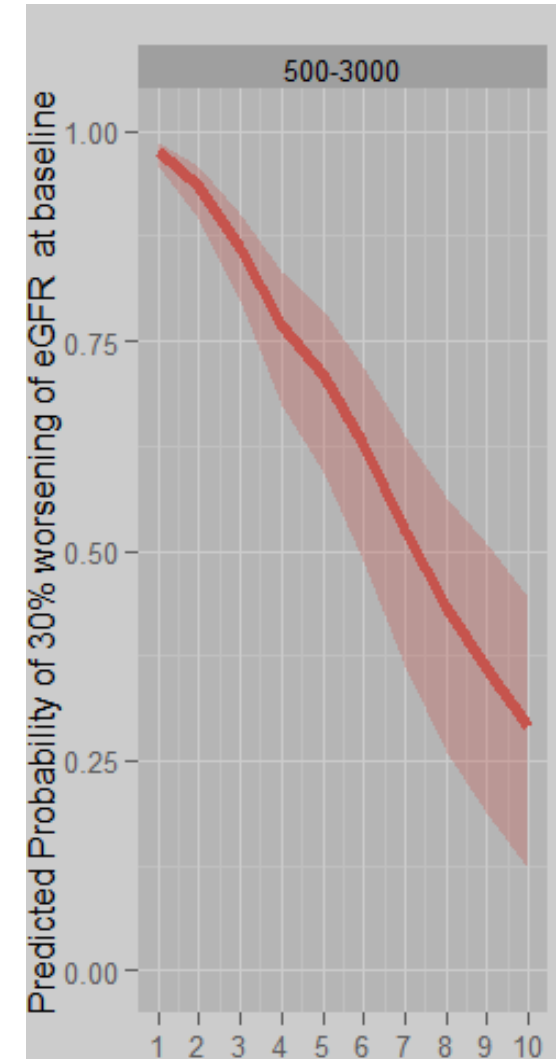


# Underlying model developed on the data

## - Joint model:

- TKV progression model (continuous model endpoint over time)
- Survival model (time-varying probability of reaching a 30% decline in eGFR)
- Including covariates such as baseline eGFR and age

Age	TKV	Follow-Up Period	1-Probability of 30% Worsening of eGFR		
			Median	Lower	Upper
Baseline age=30yrs	Baseline TKV 1.7L	1	0.98	0.96	0.99
		2	0.93	0.90	0.96
		3	<b>0.86</b>	0.80	0.90
		4	0.77	0.67	0.83
		5	0.71	0.59	0.79
		6	0.63	0.49	0.72
		7	0.52	0.36	0.64
		8	0.43	0.26	0.56
		9	0.36	0.19	0.51
		10	0.29	0.12	0.45

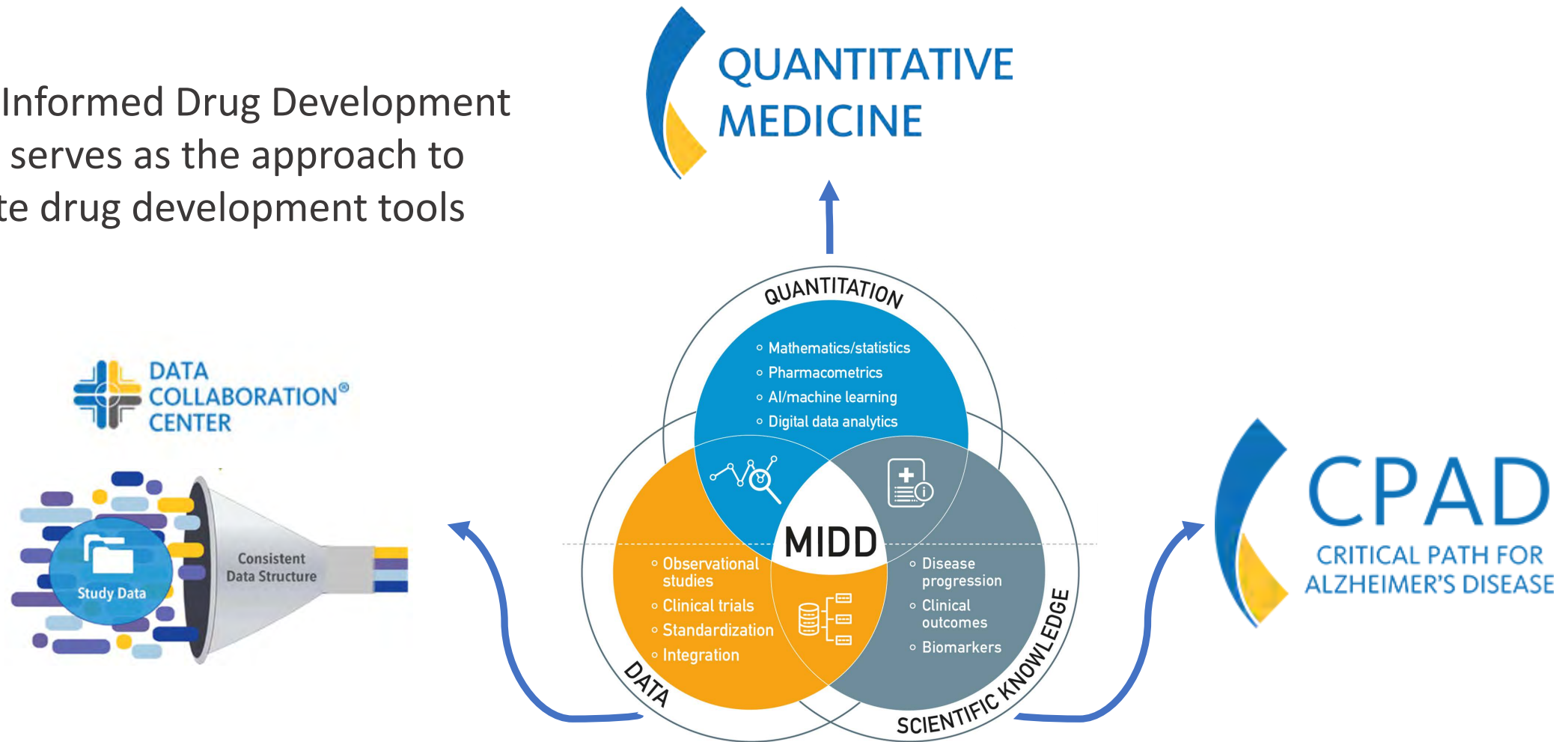


# So...

- Collaborative science transformed the landscape of drug development for PKD:
  - First-ever drug approval to slow/halt disease progression
  - Pipeline of over 14 drugs in development
- Expand the PKD-OC database, leveraging the functionality of RDCA-DAP
- Leverage C-Path's QuantMed Program, in collaboration with PKD-OC members, to expand the development of the CTS platform with additional mutation-specific data
- Leverage the collaborative framework and regulatory connectivity of the PKD-OC to drive the regulatory strategy for the clinical trial simulation platform

# Building Quantitative Drug Development Tools Based on Standardized Integrated Data

Model-Informed Drug Development (MIDD) serves as the approach to generate drug development tools





## Hippocampal Neuroimaging-Informed Amnesic MCI Clinical Trial Simulator

Simulate clinical trials on patients with amnesic mild cognitive impairment



Number of Subjects per Arm:

Trial Duration (Months):

Assessment Interval (Months):

Proportion of Females (%):

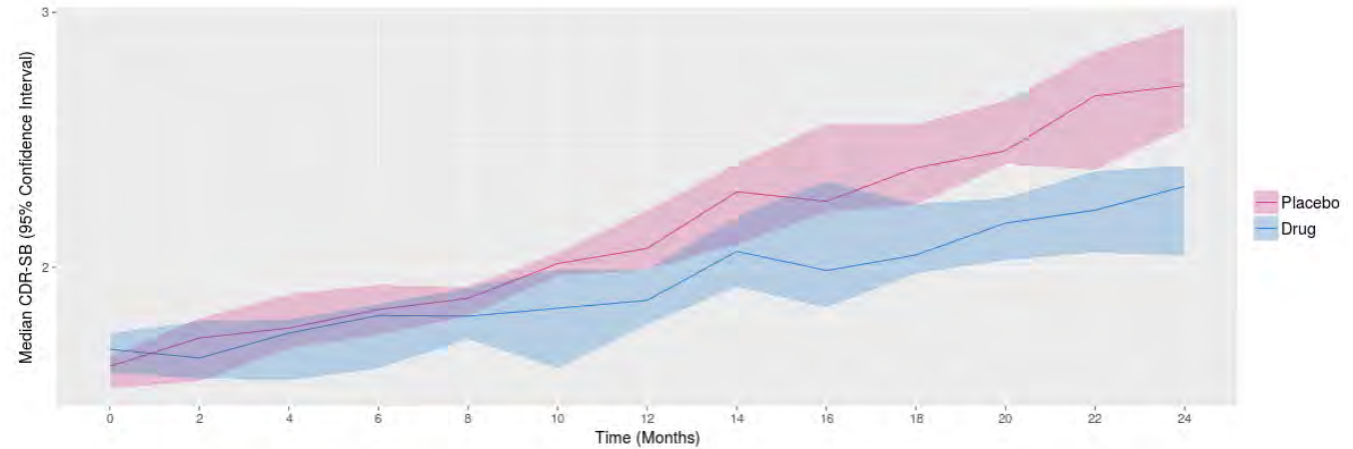
Range of MMSE Scores at Baseline:

Proportion of APOE-ε4 Noncarriers (%):

Range of Intracranial Volume Adjusted Hippocampal Volume at Baseline (LEAP, cm<sup>3</sup>):

Effect of Drug on Rate of Disease Progression (% Reduction):

Number of Simulations:



Characteristics	Values
Study Design	Placebo-Controlled Parallel Group
Total Number of Subjects	600
Study Duration (Months)	24
Assessment Interval (Months)	2
Effect of Drug on Rate of Disease Progression (% Reduction)	40
Proportion of Female (%)	50
Range of MMSE Scores at Baseline	[25, 27]
Proportion of APOE-ε4 Noncarrier (%)	7
Range of Intracranial Volume Adjusted Hippocampal Volume at Baseline (cm <sup>3</sup> )	[4.2, 5]
Median Age at Baseline (95% Confidence Interval) (Years)	74 (74, 74)
Median MMSE Score at Baseline (95% Confidence Interval) (Points)	26 (26, 26)
Median Intracranial Volume Adjusted Hippocampal Volume at Baseline (95% Confidence Interval) (cm <sup>3</sup> )	4.7 (4.7, 4.7)
Number of Simulations	5
Monte-Carlo Standard Error for Calculation of Confidence Interval for Statistical Power (%)	0
Statistical Power (%; 95% Confidence Interval)	100 (47.8, 100)

## Hippocampal Neuroimaging-Informed Amnesic MCI Clinical Trial Simulator

Simulate clinical trials on patients with amnesic mild cognitive impairment



Number of Subjects per Arm: 300

Trial Duration (Months): 24

Assessment Interval (Months): 2

Proportion of Females (%): 50

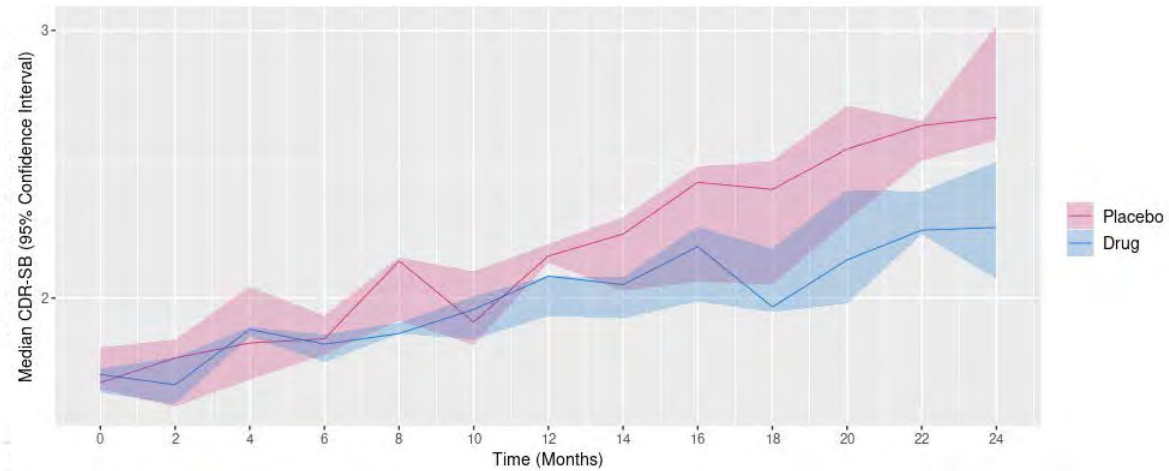
Range of MMSE Scores at Baseline: [25, 27]

Proportion of APOE-e4 Noncarriers (%): 7

Range of Intracranial Volume Adjusted Hippocampal Volume at Baseline (LEAP, cm3): [4.2, 5]

Effect of Drug on Rate of Disease Progression (% Reduction): 40

Number of Simulations: 5



Total Number of Subjects	600
Study Duration (Months)	24
Assessment Interval (Months)	2
Effect of Drug on Rate of Disease Progression (% Reduction)	40
Proportion of Female (%)	50
Range of MMSE Scores at Baseline	[25, 27]
Proportion of APOE-e4 Noncarrier (%)	7
Range of Intracranial Volume Adjusted Hippocampal Volume at Baseline (cm3)	[4.2, 5]
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Median MMSE Score at Baseline (95% Confidence Interval) (Points)	26 (26, 26)
Median Intracranial Volume Adjusted Hippocampal Volume at Baseline (95% Confidence Interval) (cm3)	4.7 (4.7, 4.7)
Number of Simulations	5
Monte-Carlo Standard Error for Calculation of Confidence Interval for Statistical Power (%)	0
Statistical Power (%; 95% Confidence Interval)	100 (47.8, 100)

Fraction of the trial population sick with COVID-19: 14

Time (in months) since study start for trial population to be affected by COVID-19: 4

Number of patient visits removed due to COVID-19: 30

Likelihood of timing for removed patient visits due to COVID-19: 0.3

## Hippocampal Neuroimaging-Informed Amnesic MCI Clinical Trial Simulator

Simulate clinical trials on patients with amnesic mild cognitive impairment



Number of Subjects per Arm:

Trial Duration (Months):

Assessment Interval (Months):

Proportion of Females (%):

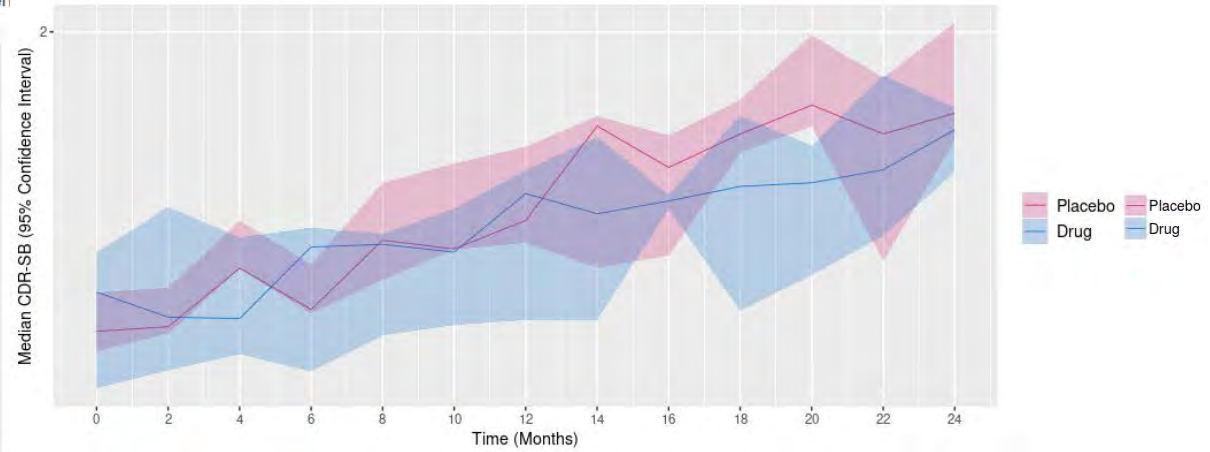
Range of MMSE Scores at Baseline:

Proportion of APOE-e4 Noncarriers (%):

Range of Intracranial Volume Adjusted Hippocampal Volume at Baseline (LEAP, cm3):

Effect of Drug on Rate of Disease Progression (% Reduction):

Number of Simulations:



Study Design	Placebo-Controlled Parallel Group
Total Number of Subjects	600
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Median Intracranial Volume Adjusted Hippocampal Volume at Baseline (95% Confidence Interval) (cm3)	4.7 (4.7, 4.7)
Number of Simulations	5
Monte-Carlo Standard Error for Calculation of Confidence Interval for Statistical Power (%)	0
Statistical Power (% , 95% Confidence Interval)	100 (47.8, 100)

**Fraction of the trial population sick with COVID-19:**

**Time (in months) since study start for trial population to be affected by COVID-19:**

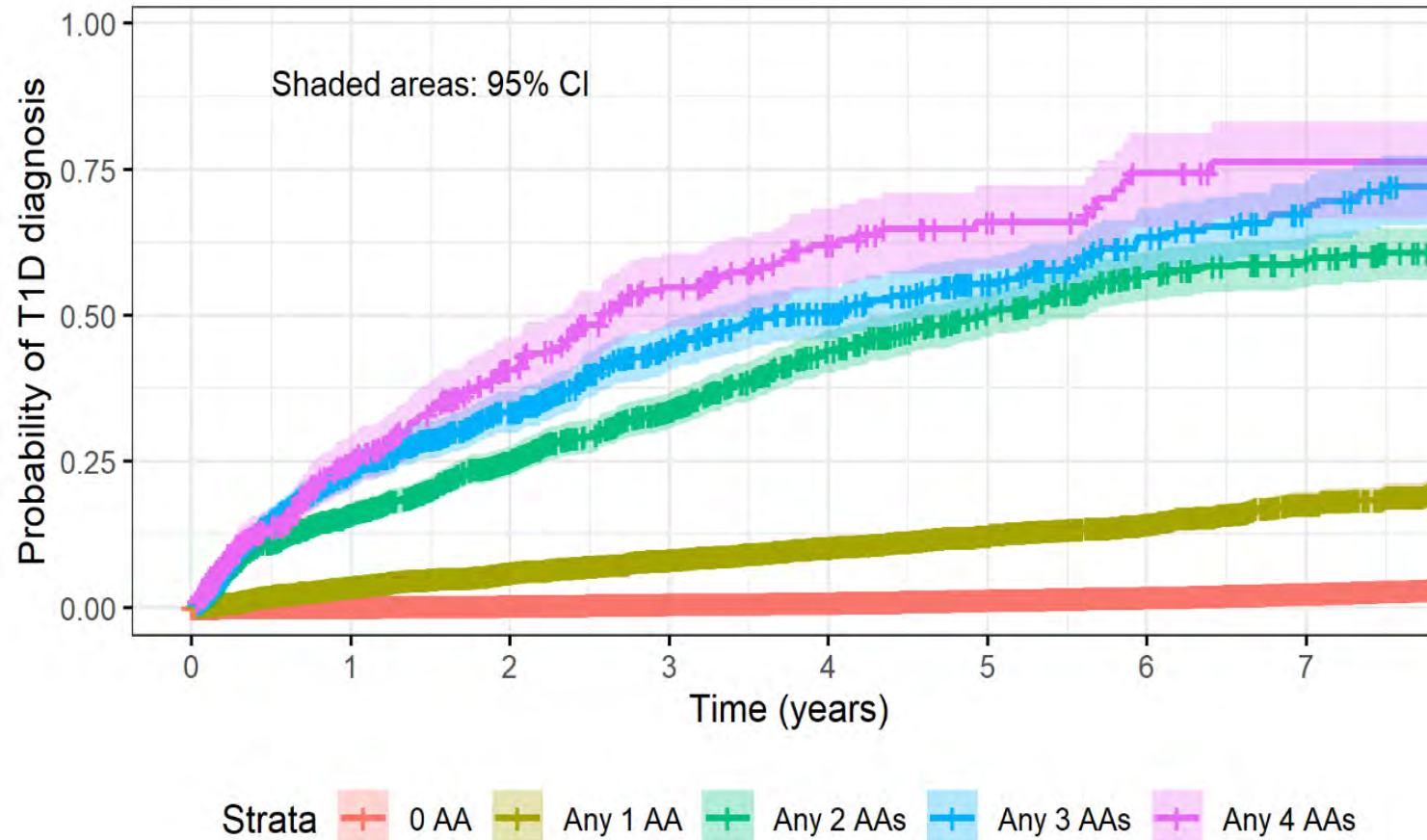
**Number of patient visits removed due to COVID-19:**

**Likelihood of timing for removed patient visits due to COVID-19:**

# So...

- Collaborative science transformed the landscape of drug development for AD:
  - News headlines aside, the first new drug approval represents a milestone
  - Pipeline of over 21 drugs in development
- Expand the CPAD database, leveraging the functionality of DCC
- Leverage C-Path's QuantMed Program, in collaboration with CPAD members, to expand the development of the CTS platform with additional mutation-specific dominant AD data
- Leverage the collaborative framework and regulatory connectivity of CPAD to drive the regulatory strategy for the clinical trial simulation platform

Risk of T1D diagnosis stratified by the number of islet AAs present at the first patient record (including zero)



# So...

- Collaborative science is transforming the landscape of drug development for T1D prevention:
  - Challenges, the first new drug review represents a milestone
  - Pipeline getting interesting, with over 9 drugs in development
- Expand the T1D-C database, leveraging the functionality of DCC
- Leverage C-Path's QuantMed Program, in collaboration with T1D-C members, to expand the development of the disease progression models, with HLA genetic data
- Leverage the collaborative framework and regulatory connectivity of the T1D-C do drive the regulatory strategy for the disease progression models



Thank you.

# Pharmacogenomics Considerations for Clinical Research and Implementation

Scott Mosley, PharmD  
Assistant Professor of Clinical Pharmacy  
University of Southern California, School of Pharmacy



# Objectives

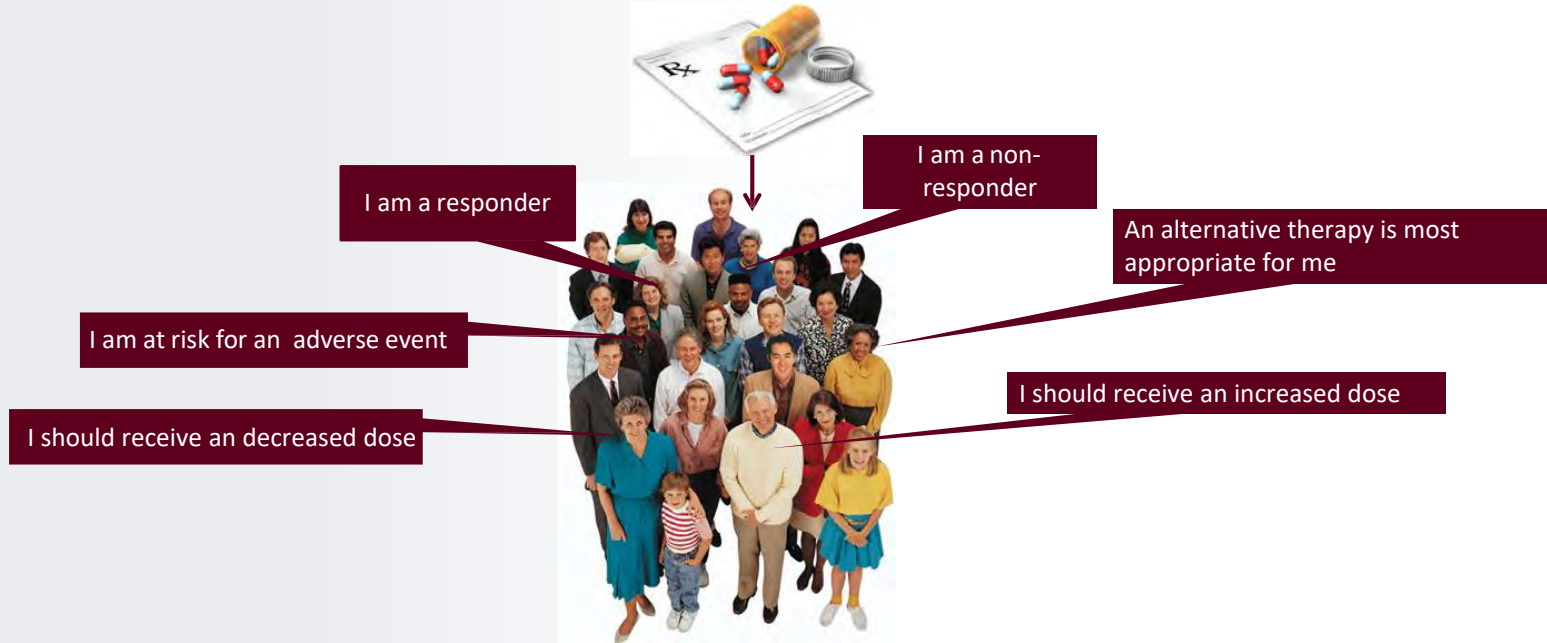
- ▶ Explain how genetic variation influences response to drug therapy
- ▶ Introduce approaches to discover pharmacogenetic associations and to evaluate the clinical utility of pharmacogenomics in clinical practice
- ▶ Summarize *CYP2C19* genetic variability in ACS patients receiving clopidogrel post PCI
- ▶ Review available resources and guidelines to design best practices for discovering and translating pharmacogenetic (PGx) research



"Here's my DNA sequence."

Aaron Bacall's "Here's my DNA sequence" cartoon published in 2000. Licensed for publication in JAPhA by [www.CartoonStock.com](http://www.CartoonStock.com). Ready or not, here it comes: Direct-to-consumer pharmacogenomic testing and its implications for community pharmacists. Gammal, Roseann S. et al. Journal of the American Pharmacists Association, Volume 59, Issue 5, 646 - 650

# Precision Medicine and Pharmacogenomics



# Gartner's Hype Cycle

Figure 1. The Hype Cycle



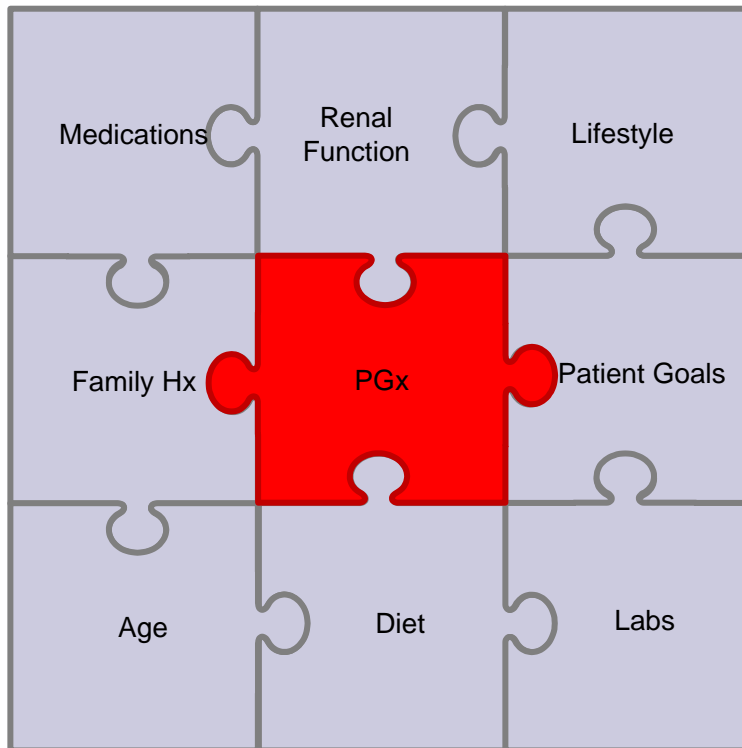
Source: Gartner

2020: Building Evidence

<https://www.gartner.com/en>

## The Reality...

- Not all PGx knowledge merits clinical action
- Two factors influence the clinical implementation of a PGx test:
  1. **Clinical Validity**
    - Test accurately detects phenotype
  2. **Clinical Utility (Implementation)**
    - Likelihood that a test will alter therapy and outcomes



# Precision Medicine and Pharmacogenomics



I am a responder

I am a non-responder

An alternative therapy is most appropriate for me

I am at risk for an adverse event

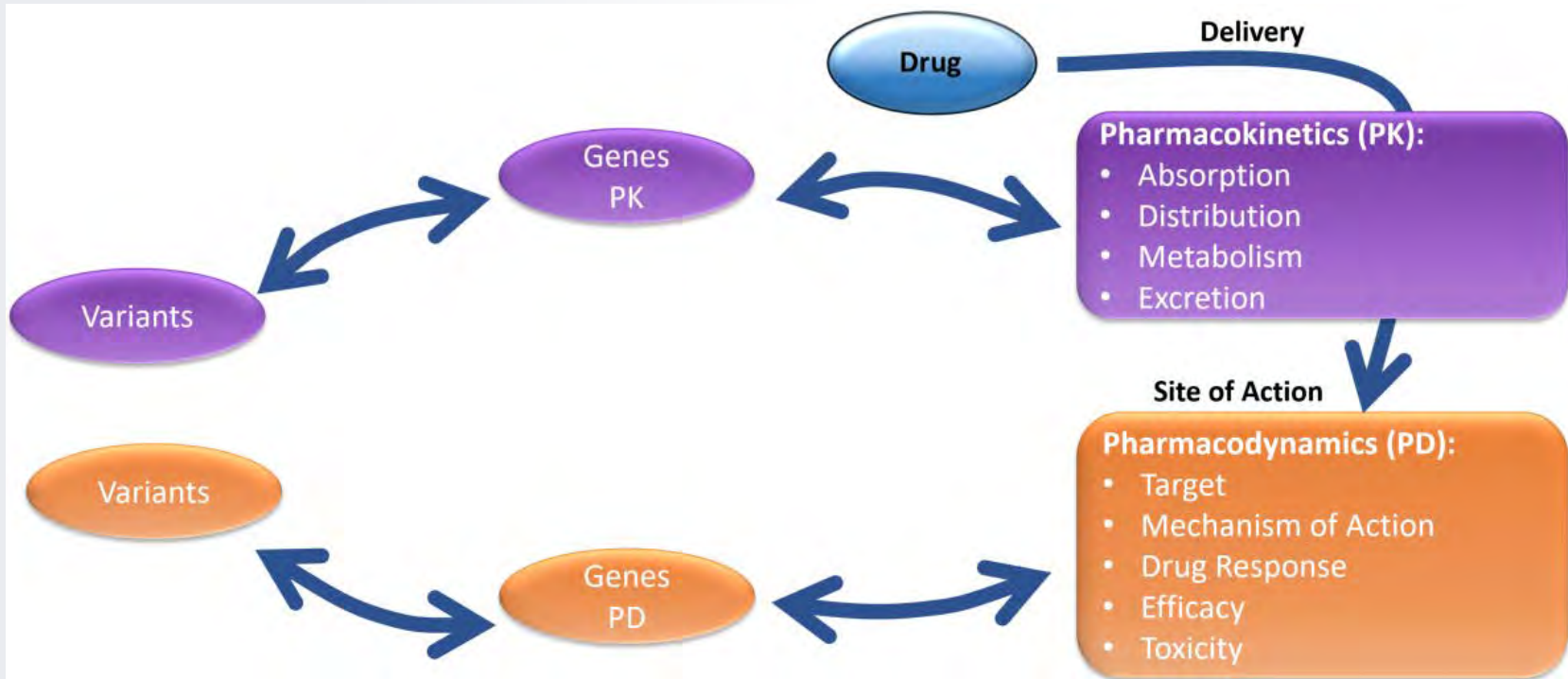
I should receive a decreased dose

I should receive an increased dose



2019 Annual Meeting

# Pharmacokinetics and Pharmacodynamics

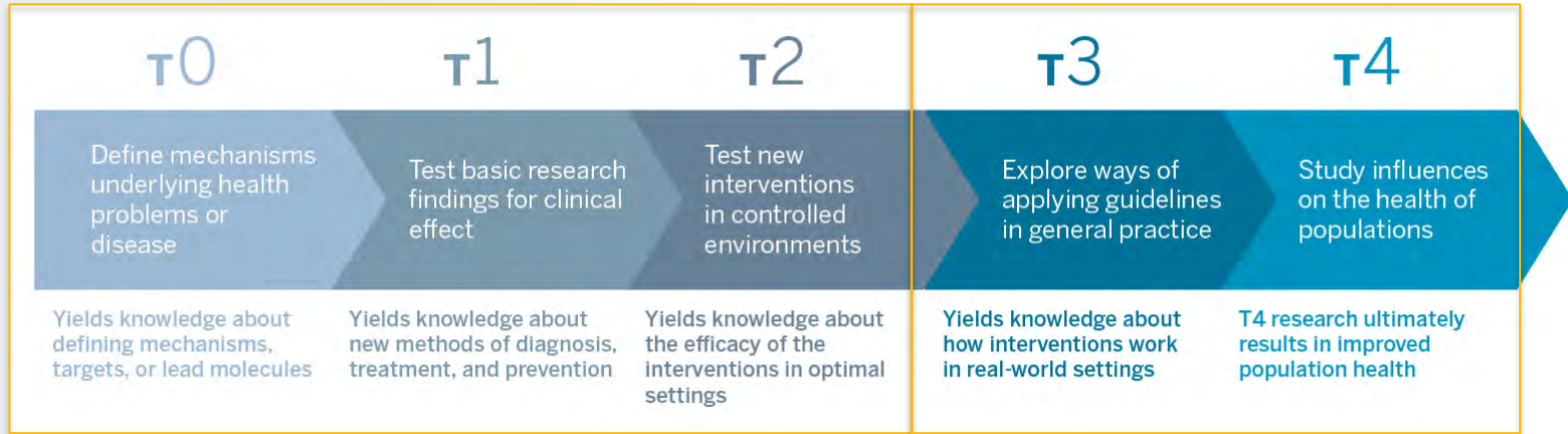


Modified from PharmGKB

# Translational Research

Pre-market

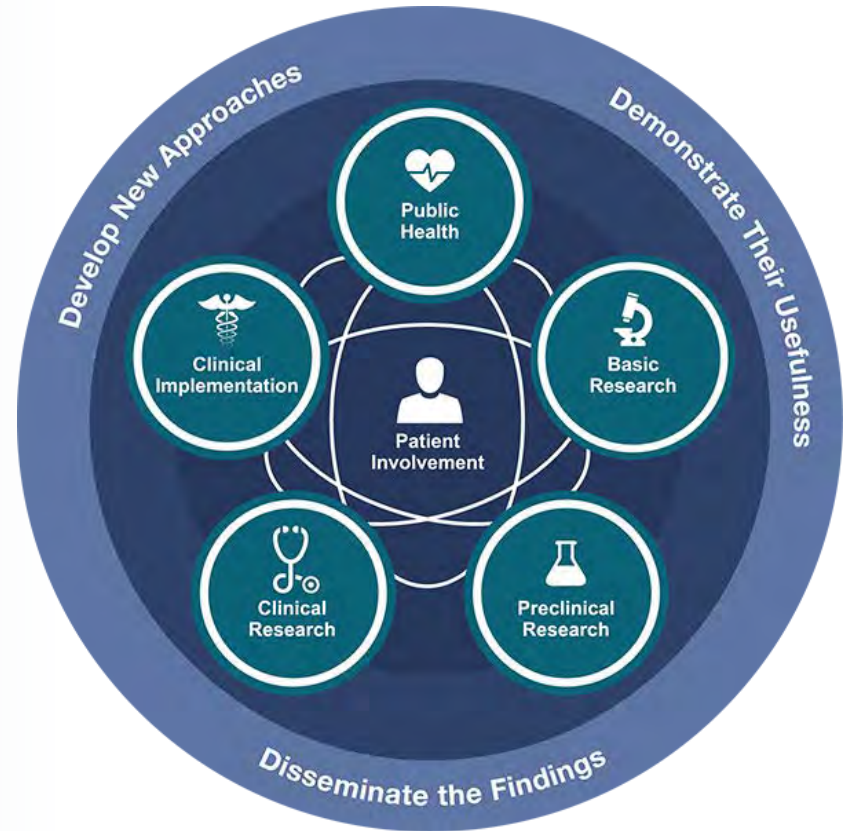
Post-market



<https://med.nyu.edu/departments-institutes/clinical-translational-science/about-us>



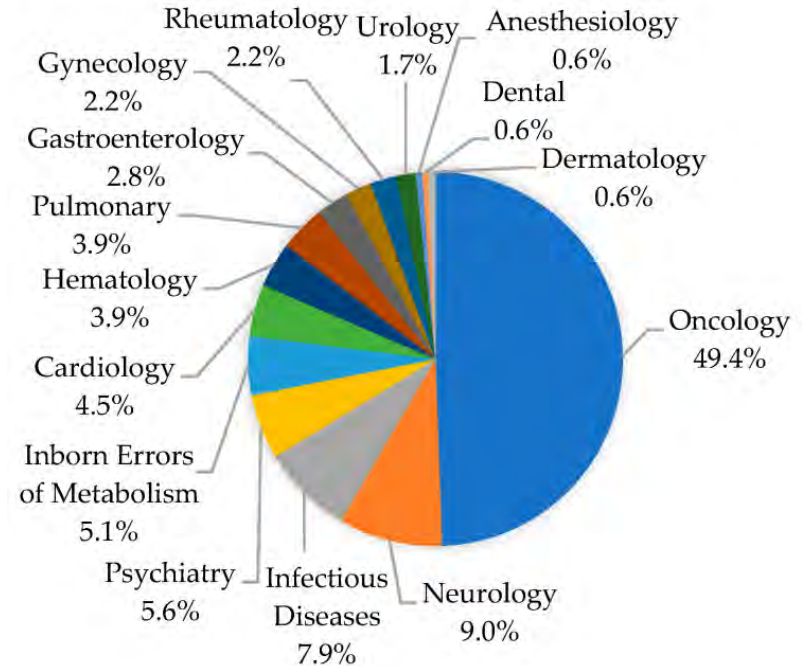
# Translational Research



<https://ncats.nih.gov/translation/spectrum>

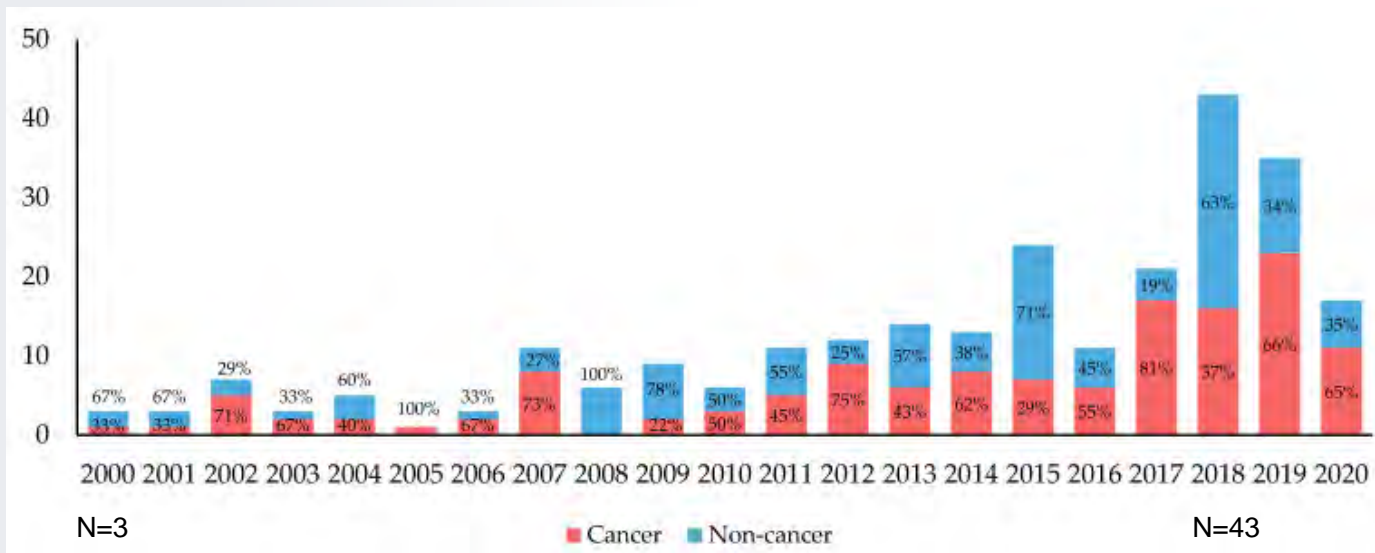
# US FDA Approval of New Drugs

- ▶ 694 drug approvals over past 20 years
- ▶ 178 (26%) of those have PGx labeling



Kim, Jeeyun A et al. "Pharmacogenomic Biomarkers in US FDA-Approved Drug Labels (2000-2020)." *Journal of personalized medicine* vol. 11,3 179. 4 Mar. 2021, doi:10.3390/jpm11030179

# Biomarker–drug pairs approved per year



Kim, Jeeyun A et al. "Pharmacogenomic Biomarkers in US FDA-Approved Drug Labels (2000-2020)." *Journal of personalized medicine* vol. 11,3 179. 4 Mar. 2021, doi:10.3390/jpm11030179

# Pre-market Considerations

- ▶ FDA has guidance (2013)
  - ▶ **Clinical Pharmacogenomics: Premarket Evaluation in Early-Phase Clinical Studies and Recommendations for Labeling**
- ▶ Consider collecting DNA during drug development when:
  - ▶ High variability in PK or PD
  - ▶ Metabolism via polymorphic enzymes (e.g., CYP2D6 or CYP2C19)
  - ▶ Biological activation (prodrugs)
  - ▶ Significant side effect profile or poor tolerability
  - ▶ Serious adverse events

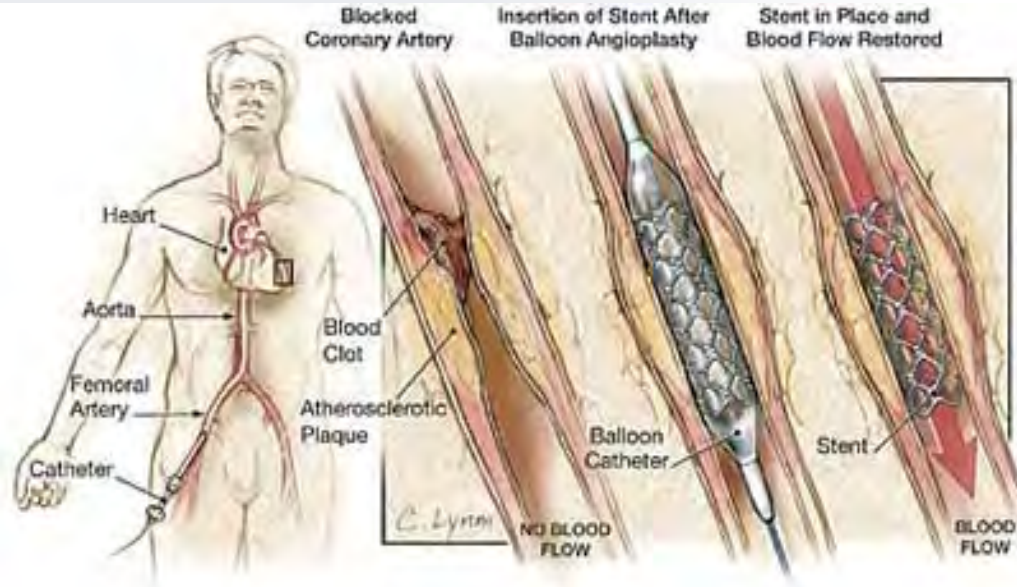
<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/clinical-pharmacogenomics-premarket-evaluation-early-phase-clinical-studies-and-recommendations>

# Post-market Considerations

- ▶ Genetic sub-studies
- ▶ Randomized clinical studies
- ▶ Clinical implementation and feasibility studies (pragmatic)

Let's explore the *gene*– drug case for ***CYP2C19*** – Clopidogrel

# Percutaneous Coronary Intervention (PCI)

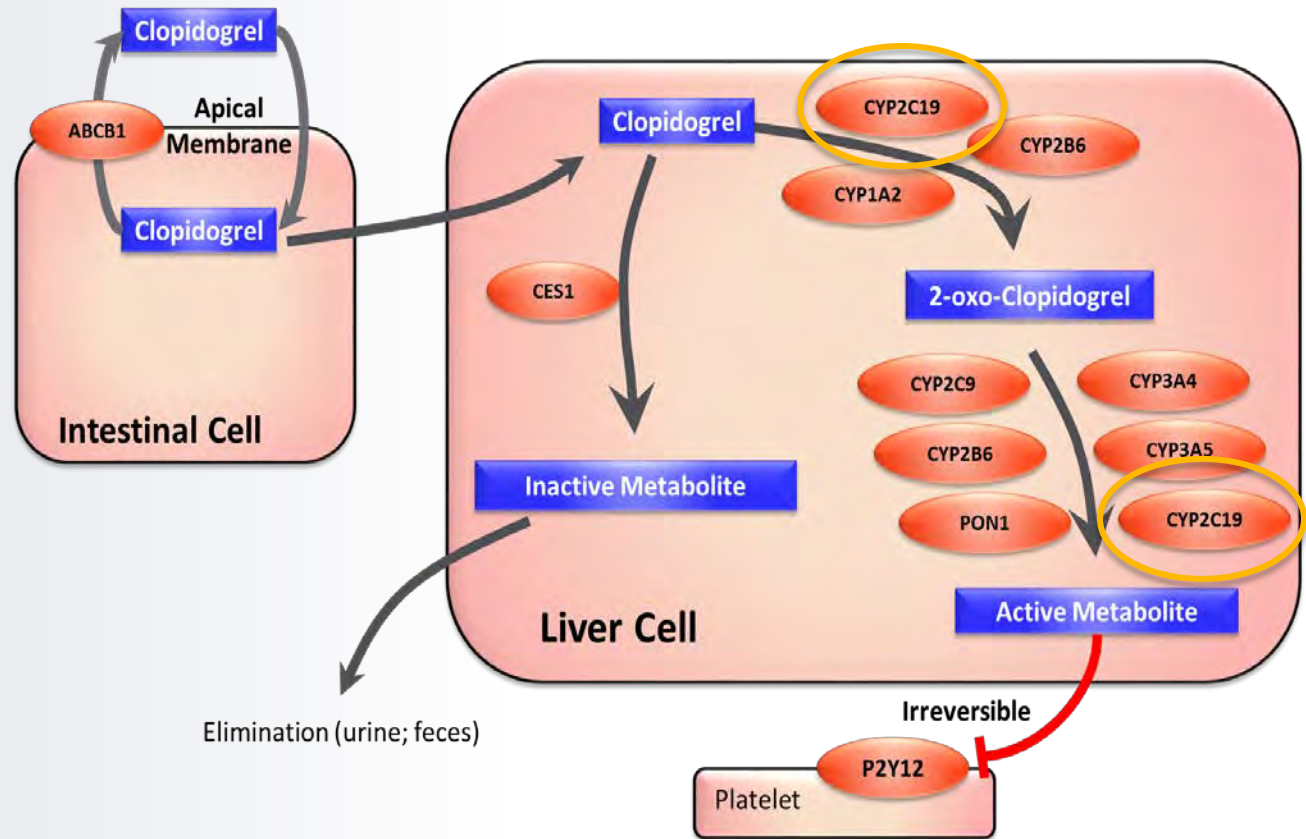


## WARNING: DIMINISHED EFFECTIVENESS IN POOR METABOLIZERS

*See full prescribing information for complete boxed warning.*

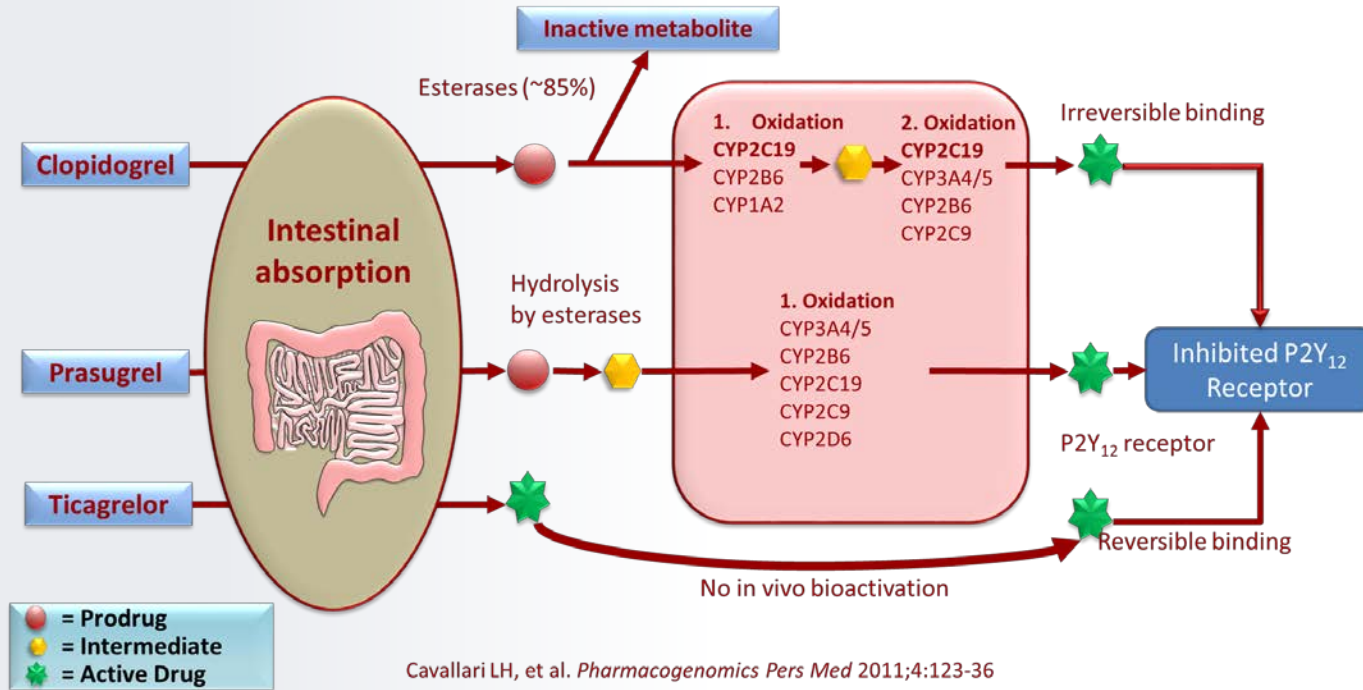
- Effectiveness of Plavix depends on activation to an active metabolite by the cytochrome P450 (CYP) system, principally CYP2C19. (5.1)
- Poor metabolizers treated with Plavix at recommended doses exhibit higher cardiovascular event rates following acute coronary syndrome (ACS) or percutaneous coronary intervention (PCI) than patients with normal CYP2C19 function. (12.5)
- Tests are available to identify a patient's CYP2C19 genotype and can be used as an aid in determining therapeutic strategy. (12.5)
- Consider alternative treatment or treatment strategies in patients identified as CYP2C19 poor metabolizers. (2.3, 5.1)

# Clopidogrel Metabolism



Sangkuhl K et al. "Clopidogrel pathway" *Pharmacogenet Genomics* (2010). Copyright to PharmGKB.

# Alternatives to Clopidogrel





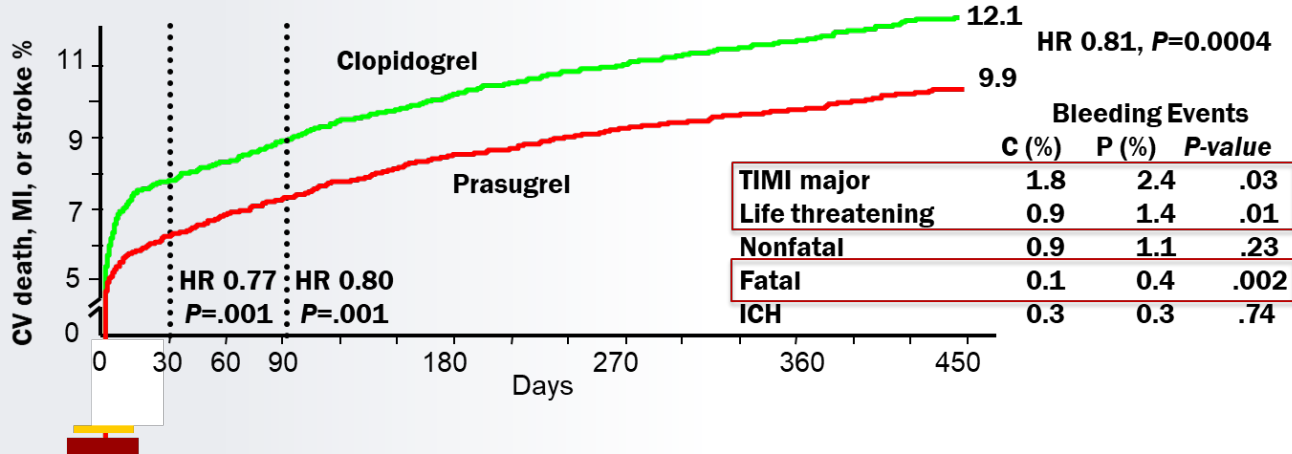
# Genetic Sub-studies

# Prasugrel Evidence: Secondary Prevention

## Trial to Assess Improvement in Therapeutic Outcomes by Optimizing Platelet Inhibition with Prasugrel (TRITON-TIMI 38)

- 13,608 patients with high-risk ACS scheduled for PCI randomized to:
  - clopidogrel (300 mg LD and 75 mg MD)
  - prasugrel (60 mg LD and 10 mg MD)

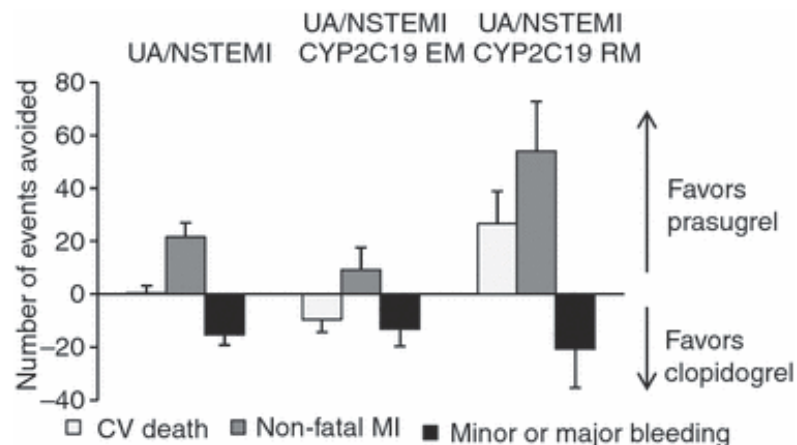
**Prasugrel reduces ischemic events with a higher rate of bleeding**



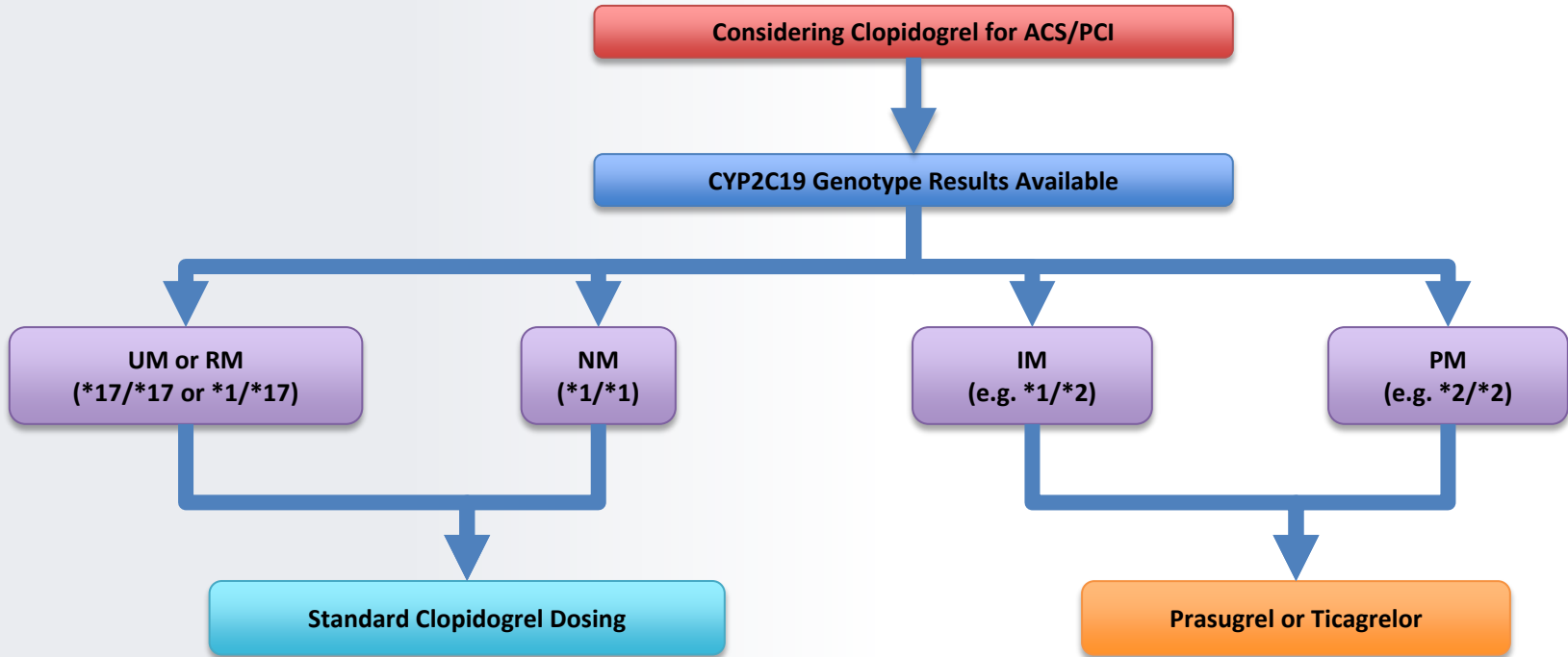
# Prasugrel vs Clopidogrel by Genotype: Genetic Sub-study of TRITON TIMI-38

Event (and group)	Prasugrel [% (95% CI)]	Clopidogrel [% (95% CI)]	Relative risk* (95% CI)
<b>CV death, non-fatal MI, or non-fatal stroke</b>			
CYP2C19 EM	9.6 (8.3 – 10.8)	9.8 (8.3 – 11.3)	0.98 (0.80 – 1.20)
CYP2C19 RM	8.5 (6.2 – 11.4)	15.0 (11.6 – 18.8)	0.57 (0.39 – 0.83)
<b>CV death</b>			
CYP2C19 EM	1.9 (1.2 – 2.5)	0.9 (0.3 – 1.7)	2.07 (0.96 – 5.66)
CYP2C19 RM	1.6 (0.6 – 3.2)	4.2 (2.2 – 6.1)	0.36 (0.13 – 0.96)
<b>Non-fatal MI</b>			
CYP2C19 EM	7.4 (6.4 – 8.5)	8.3 (7.0 – 9.6)	0.89 (0.72 – 1.11)
CYP2C19 RM	6.2 (4.2 – 8.5)	11.6 (8.7 – 14.8)	0.53 (0.34 – 0.81)
<b>Major or Minor Bleeding</b>			
CYP2C19 EM	4.7 (3.7 – 5.7)	3.4 (2.6 – 4.2)	1.38 (1.00 – 1.93)
CYP2C19 RM	5.5 (3.6 – 8.1)	3.5 (2.0 – 5.5)	1.60 (0.8 – 3.1)

EM=Extensive Metabolizer (NM/RM/UM)  
RM=Reduced Metabolizer (IM/PM)



# CPIC Guidelines



# Genetic Sub-studies Benefits and Limitations



- Help determine effect of genetic markers in selected populations
  - responder vs non responder
  - Outliers (toxicity)
- Insights into clinical utility of testing to diagnose or treat a condition



- Secondary analyses, dependent on the quality of the original study design and execution
- Usually low number of subjects with genetic variation of interest

# Randomized Clinical Studies

JAMA | Original Investigation

## Effect of Genotype-Guided Oral P2Y<sub>12</sub> Inhibitor Selection vs Conventional Clopidogrel Therapy on Ischemic Outcomes After Percutaneous Coronary Intervention: The TAILOR-PCI Randomized Clinical Trial

Naveen L. Pereira, MD; Michael E. Farkouh, MD, MSc; Derek So, MD; Ryan Lennon, MS; Nancy Geller, PhD; Verghese Mathew, MD;

ORIGINAL ARTICLE

## A Genotype-Guided Strategy for Oral P2Y<sub>12</sub> Inhibitors in Primary PCI

Daniel M.F. Claassens, M.D., Gerrit J.A. Vos, M.D., Thomas O. Bergmeijer, M.D.,

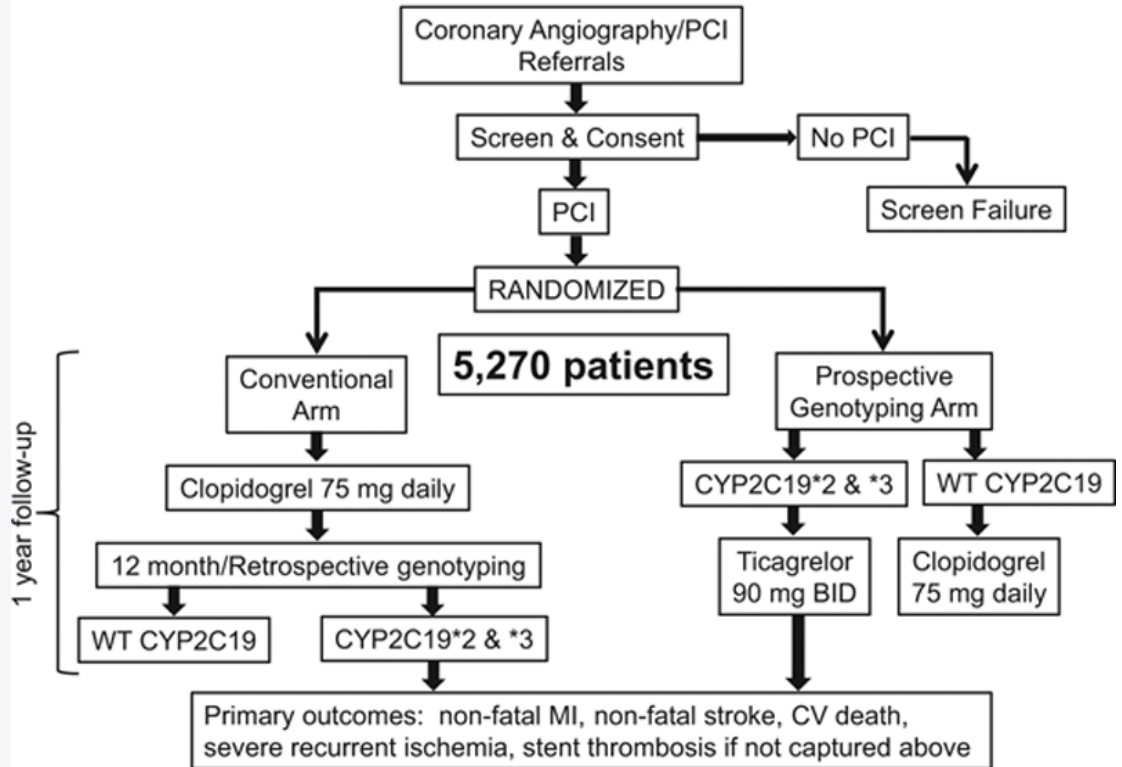
- ▶ Different strategies to test value of incorporating PGx into clinical care
  - ▶ Superiority
  - ▶ Non-Inferiority

# Randomized Clinical Studies

## TAILOR-PCI STUDY



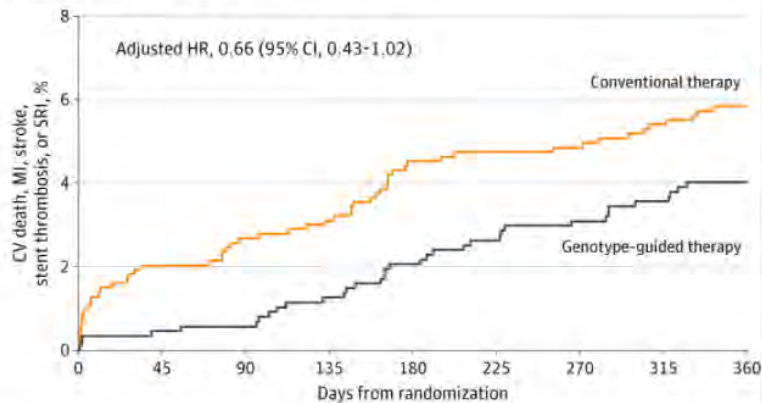
## TAILOR-PCI Study Design





# TAILOR-PCI: RESULTS

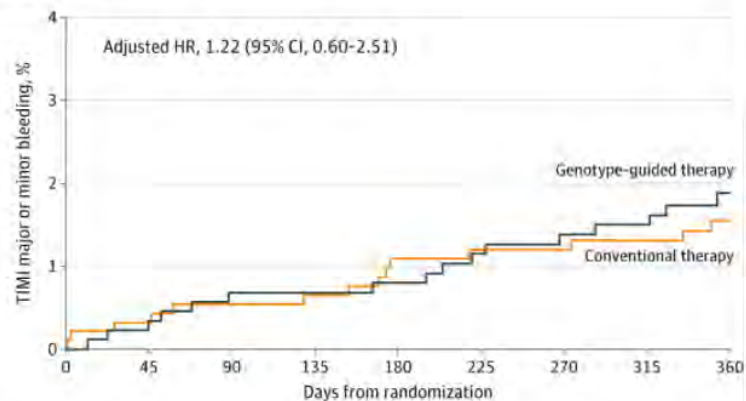
**A** CV death, MI, stroke, stent thrombosis, or SRI



No. at risk

Genotype-guided therapy	903	875	870	863	854	838	833	824	556
Conventional therapy	946	906	898	894	878	867	864	859	604

**B** TIMI major or minor bleeding



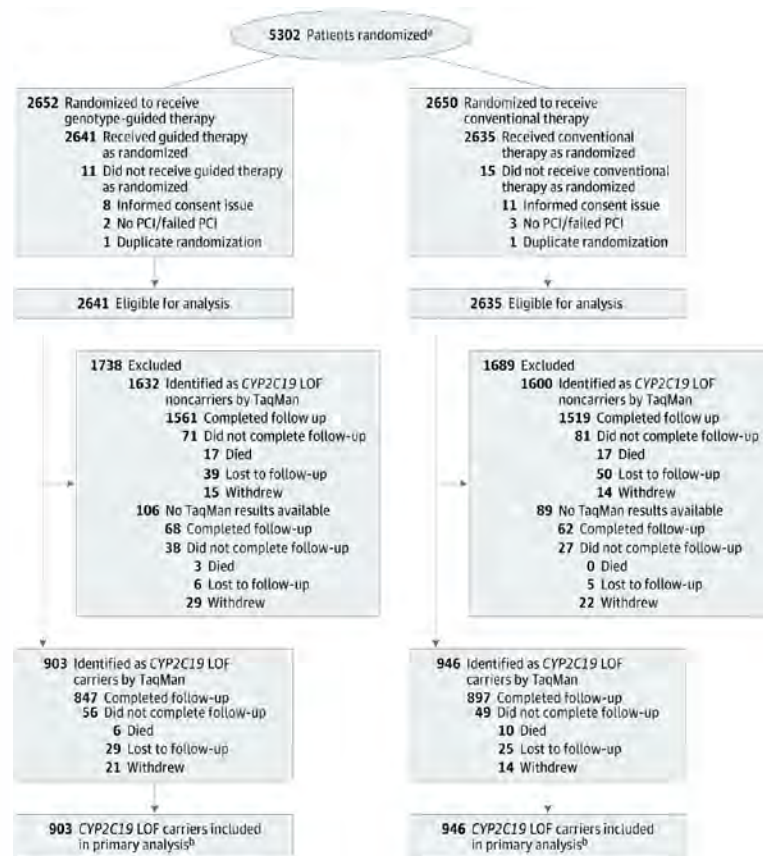
No. at risk

Genotype-guided therapy	903	876	867	866	864	847	844	836	560
Conventional therapy	946	916	911	910	901	890	888	887	622

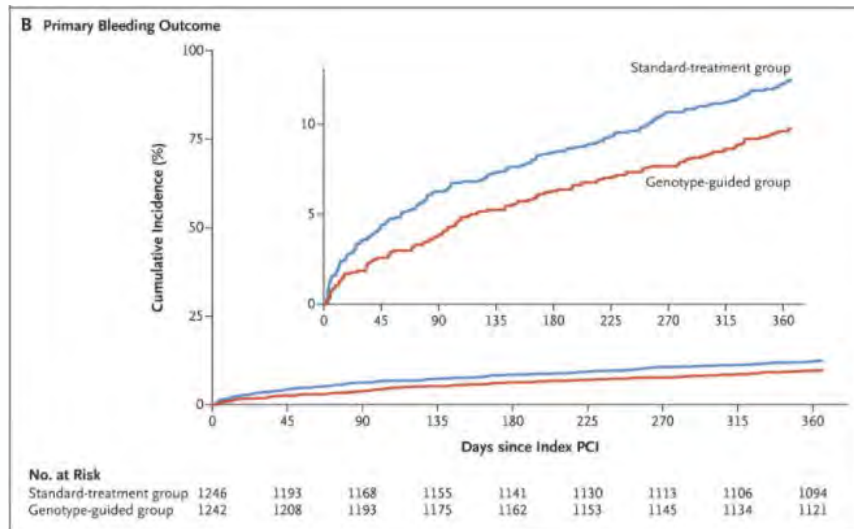
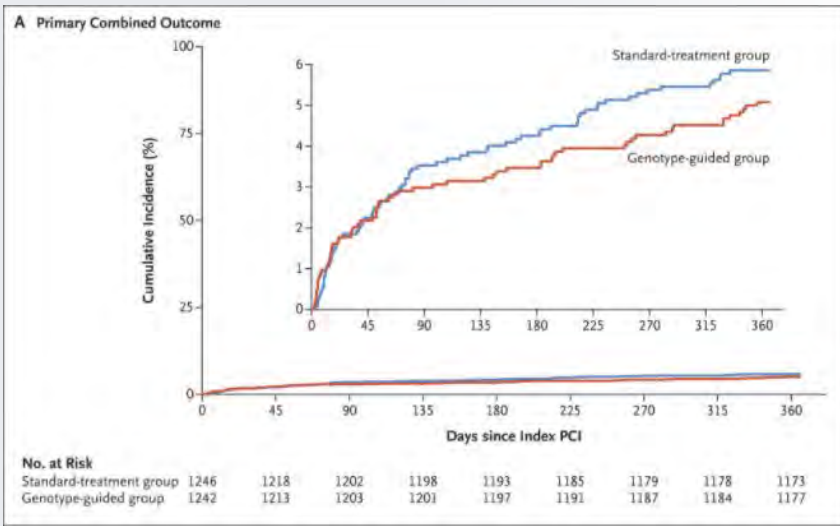
# Randomized Clinical Studies

**Table. TAILOR-PCI Inclusion and Exclusion Criteria**

<b>Inclusion criteria</b>
Patient ≥18 y of age
Patient presents with ACS or stable CAD
Patient is eligible for PCI
Patient is willing and able to provide informed written consent
<b>Exclusion criteria</b>
Patient not able to receive 12 mo of dual antiplatelet therapy
Failure of index PCI
Patient or physician refusal to enroll in the study
Patient with known <i>CYP2C19</i> genotype before randomization
Planned revascularization of any vessel within 30 d post-index procedure or of the target vessel(s) within 12 mo post-procedure
Anticipated discontinuation of clopidogrel or ticagrelor within the 12-mo follow-up period, example for elective surgery
Serum creatinine >2.5 mg/dL within 7 d of index procedure
Platelet count <80 000 or >700 000 cells/mm <sup>3</sup> or white blood cell count <3000 cells/mm <sup>3</sup> if persistent (at least 2 abnormal values) within 7 d before index procedure
History of intracranial hemorrhage
Known hypersensitivity to clopidogrel or ticagrelor or any of its components
Inability to take aspirin at a dosage of ≤100 mg



# POPular Genetics: Results



# Randomized Clinical Studies

The NEW ENGLAND JOURNAL of MEDICINE

ORIGINAL ARTICLE

## A Genotype-Guided Strategy for Oral P2Y<sub>12</sub> Inhibitors in Primary PCI

Daniel M.F. Claassens, M.D., Gerrit J.A. Vos, M.D., Thomas O. Bergmeijer, M.D.,

### Conclusions:

In patients undergoing primary PCI, a *CYP2C19* genotype-guided strategy for selection of oral P2Y<sub>12</sub> inhibitor therapy was **non-inferior** to standard treatment with ticagrelor or prasugrel at 12 months with respect to thrombotic events and resulted in a lower incidence of bleeding.

Table 2. Primary Combined Outcome and Secondary Thrombotic Outcomes.\*

Outcome	Genotype-Guided Group (N = 1242)	Standard-Treatment Group (N = 1246)	Absolute Difference (95% CI)†	Hazard Ratio (95% CI)	P Value
	no. of patients (%)		percentage points		
<b>Primary combined outcome‡</b>					
Noninferiority analysis	63 (5.1)	73 (5.9)	-0.7 (-2.0 to 0.7)		<0.001§
Superiority analysis	63 (5.1)	73 (5.9)		0.87 (0.62 to 1.21)	0.40
<b>Secondary thrombotic outcomes</b>					
Combined ischemic outcome of death from vascular causes, myocardial infarction, definite stent thrombosis, or stroke					
Noninferiority analysis	34 (2.7)	41 (3.3)	-0.3 (-1.4 to 0.8)		
Superiority analysis	34 (2.7)	41 (3.3)		0.83 (0.53 to 1.31)	
Combined ischemic outcome of death from vascular causes, acute coronary syndrome, definite stent thrombosis, stroke, or urgent TVR	57 (4.6)	59 (4.7)		0.97 (0.67 to 1.40)	
Death from any cause	19 (1.5)	19 (1.5)		1.00 (0.53 to 1.89)	
Death from vascular causes	9 (0.7)	10 (0.8)		0.90 (0.37 to 2.22)	
Acute coronary syndrome	43 (3.5)	43 (3.5)		1.00 (0.66 to 1.53)	
Myocardial infarction	19 (1.5)	26 (2.1)		0.73 (0.41 to 1.32)	
Stroke	8 (0.6)	11 (0.9)		0.73 (0.29 to 1.82)	
Ischemic	7 (0.6)	10 (0.8)		0.70 (0.27 to 1.85)	
Hemorrhagic	1 (0.1)	1 (0.1)		1.00 (0.06 to 16.1)	
Definite stent thrombosis	2 (0.2)	3 (0.2)		0.67 (0.11 to 4.01)	
Definite, probable, or possible stent thrombosis	9 (0.7)	11 (0.9)		0.73 (0.29 to 1.81)	
Urgent TVR	15 (1.2)	15 (1.2)		1.00 (0.49 to 2.05)	

\* All outcomes were confirmed by an independent adjudication committee. The 95% confidence intervals have not been adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible. TVR denotes target-vessel revascularization.

† Integrated differences were used to calculate the absolute differences at 12 months for the two Kaplan-Meier curves. Therefore, these values differ from the absolute difference in the cumulative incidence at 12 months.

‡ The primary combined outcome was death from any cause, myocardial infarction, definite stent thrombosis, stroke, or major bleeding defined according to Platelet Inhibition and Patient Outcomes criteria.

§ The P value was not adjusted to account for the assessment of two primary outcomes.

# Randomized Clinical Studies Benefits and Limitations



- Good randomization will "wash out" any selection bias
- Can determine causality
- Help guide decisions for allocation of healthcare resources



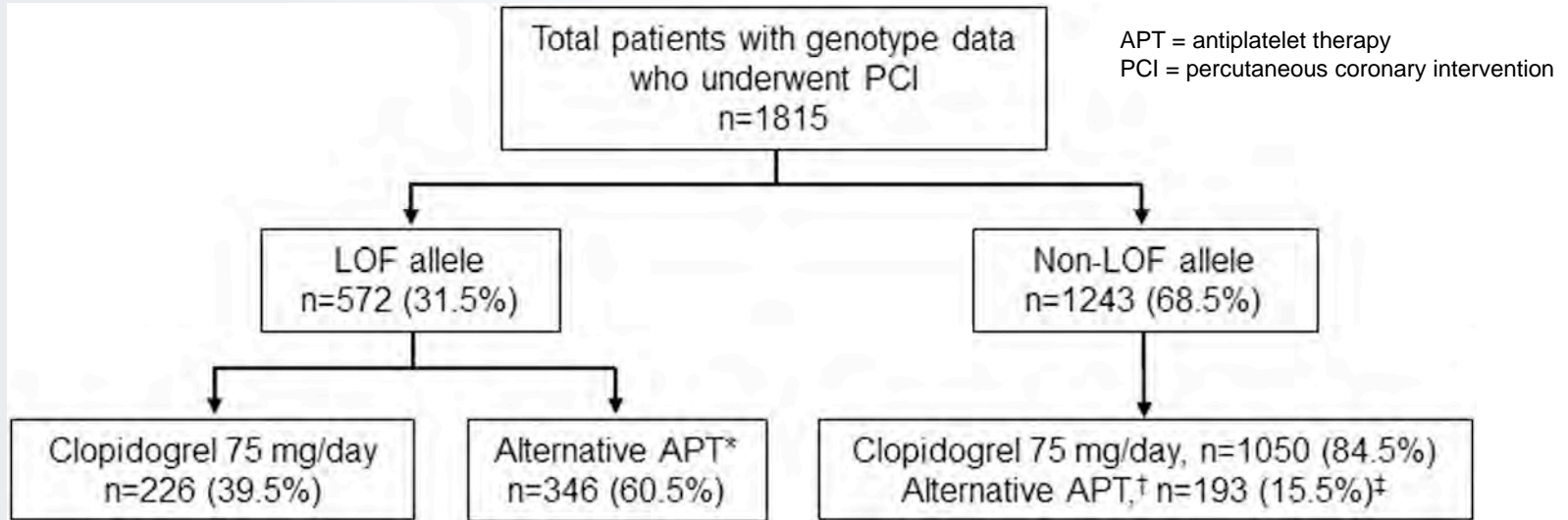
- Not clinically feasible or necessary for many pharmacogenomic applications
- Rigorously designing a scientific trial is not always ethical
- \$\$\$\$\$\$\$\$\$\$

# Clinical implementation and feasibility studies (pragmatic trial)

# IGNITE: Pharmacogenetics Working Group



# Real-World Evidence



Cavallari et al., "Multisite Investigation of Outcomes with Implementation of CYP2C19 Genotype-Guided Antiplatelet Therapy after Percutaneous Coronary Intervention," *JACC Cardiovasc Interv*, vol. 11, no. 2, pp. 181-191, Jan 22 2018.

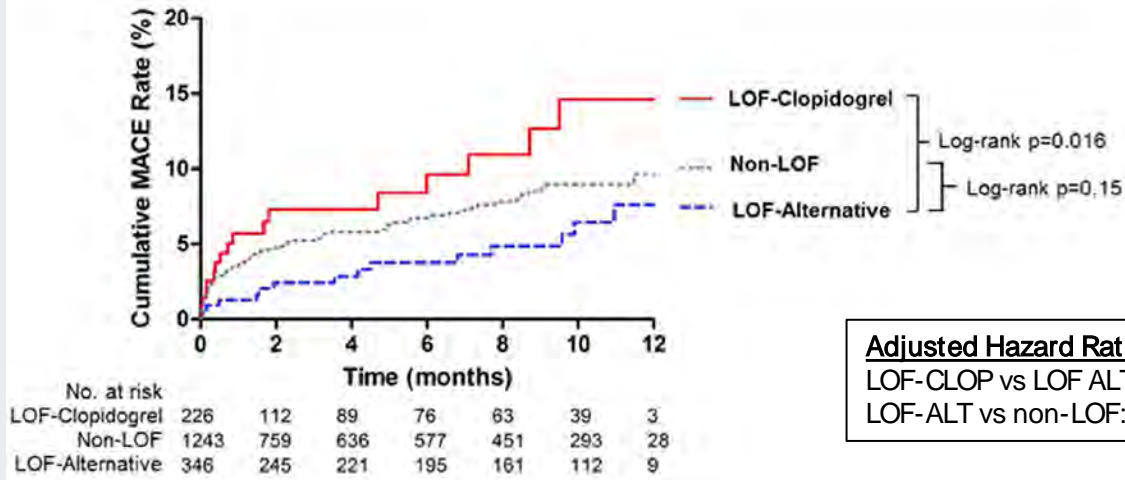


# Results

Clinical Implementation of *CYP2C19* Genotype-Guided Antiplatelet Therapy after PCI at 7 U.S. Institutions



Kaplan-Meier Survival Estimates of MACE in Relation to *CYP2C19* Genotype and Antiplatelet Therapy



**Adjusted Hazard Ratio**  
 LOF-CLOP vs LOF ALT: 2.21 (1.13-4.33) p=0.021  
 LOF-ALT vs non-LOF: 0.81 (0.48-1.35) p=0.41

# Clinical Decision Support Example

HEO Popup

## Clodidogrel Poor Metabolizer Rules

**Genetic testing has been performed and indicates this patient may be at risk for inadequate anti-platelet response to clopidogrel (Plavix®) therapy**

This patient has been tested for CYP2C19 variants, and has identified the presence of two copies of a risk allele which is associated with poor metabolism of clopidogrel. Poor metabolizers treated with clopidogrel at normal doses exhibit higher rates of stent thrombosis/other cardiovascular events.  
(See StarPanel for patient-specific CYP2C19 gene result.)

**Treatment modification is recommended if not otherwise contraindicated:**

- Prescribe prasugrel (EFFIENT) 10 mg daily and stop clopidogrel (PLAVIX), startdate 10 AM
- Prescribe ticagrelor (BRILINTA) 180 mg x1 dose, startdate 10 AM, followed by 90 mg twice daily

**Prasugrel should not be given to patients:**

- that have a history of stroke or transient ischemic attack\*\*\* Please check StarPanel if unsure
- that are greater than 75 years of age
- whose body weight is less than 60 kg

**Ticagrelor should not be given to patients:**

- that have a history of severe hepatic impairment or intracranial bleed \*\*\* Please check StarPanel if unsure

Click here for [more information](#)

**If prasugrel (EFFIENT) or ticagrelor (BRILINTA) are not selected, please choose desired action:**

- Maintain requested daily dose of clopidogrel (PLAVIX) 75 mg daily, startdate 10AM

Cancel Order

**NOTE:** The Vanderbilt P&T Committee recommends that prasugrel or ticagrelor replace clopidogrel for poor metabolizers unless contraindicated, if feasible. The guidelines above were developed based on outcome studies of patients who received a drug-eluting stent into a coronary artery.

Back Home Done

# Clinical implementation and feasibility studies (pragmatic)

## Benefits and Limitations



- Broad view of an intervention, including approaches to improve its effectiveness (different from efficacy)
- Allows for economic analysis
- Identifies systematic, personnel, and technological barriers



- Could be overestimating benefits and underestimating harm
- Frequently include complex interventions
- Investigators to take responsibility for recruitment, treatment, and follow-up of participants

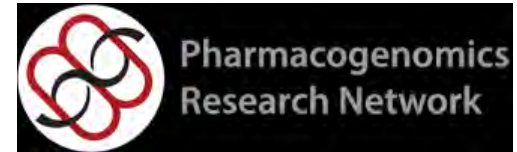
# Pharmacogenomics Research Resources



<https://cpicpgx.org/>



<https://www.pharmgkb.org/>



<https://www.pgrn.org/>

# Registered Clinical Studies

NIH U.S. National Library of Medicine

*ClinicalTrials.gov*

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**Condition or disease** ⓘ

**Other terms** ⓘ

X

pharmacogenomics OR pharmacogenetics

X

**Country** ⓘ

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X

**Search**

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1203 Studies found for: **pharmacogenomics OR pharmacogenetics**

Also searched for **Pharmacogenetic** and **Pharmacogenomic**. [See Search Details](#)

# Summary

- ▶ PGx can be used to guide specific drugs with clinical evidence
- ▶ Resources, like **PharmGKB** and **CPI C** guidelines, can help with PGx considerations for research design and clinical recommendations
- ▶ Opportunity to advance PGx research throughout translational spectrum
- ▶ Clinical studies are warranted in diverse patients populations to determine the utility for pharmacogenomics and deliver reliable care

# Thank You!

**SC CTSI** | [www.sc-ctsi.org](http://www.sc-ctsi.org)

**Phone:** (323) 442-4032

**Email:** [info@sc-ctsi.org](mailto:info@sc-ctsi.org)

**Twitter:** @SoCalCTSI

**Cite us:** This work was supported by grants UL1TR001855 and UL1TR000130 from the National Center for Advancing Translational Science (NCATS) of the U.S. National Institutes of Health. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

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- ▶ Zolk O. Disposition of metformin: variability due to polymorphisms of organic cation transporters. *Ann Med.* 2012;44(2):119-29.



# Big Data and Genomics

**Jerry S.H. Lee, PhD**

Associate Professor of Clinical Medicine, Chemical Engineering,  
and Material Sciences  
University of Southern California

## Disclosure Statement

- ▶ Consultant, Murtha Cancer Center, Henry M. Jackson Foundation
- ▶ Scientific Advisory Board, AtlasXomics, Inc.
- ▶ Board of Trustees, Health and Environmental Sciences Institute
- ▶ Chief Science and Innovation Officer, Lawrence J. Ellison Institute for Transformative Medicine of USC

# My Perspective

## PhD in Chemical and Biomolecular Engineering (JHU)

*Nuclear and Cellular Mechanics: Implications for Laminopathies and Cancer*

### Ellison Institute & University of Southern California

- **Associate Professor of Clinical Medicine, Chemical Engineering, and Material Sciences** (2018 – Present)
- **Chief Science and Innovation Officer, Lawrence J. Ellison Institute for Transformative Medicine of USC** (2018 – Present)
- Member, Murtha Cancer Center, Walter Reed National Medical Center, Dept. of Defense (2019 – Present)
- National Research Advisory Council, VA ORD, Dept. of Veterans Affairs (2018 – Present)
- Biden-Harris Transition Team, JBRPT (2020 – 2021)

### National Cancer Institute

- **Office of the Director** (2006 – 2018)
- **Cancer Moonshot Task Force, WH OVP** (2016 – 2017)
- Adjunct Associate Professor, Dept. of Chem. & Biomolecular Eng, Johns Hopkins University (2008 – 2018)
- Data Research Health Scientist, DC VAMC, Dept. Veterans Affairs (2013 – 2018)

# How Did We Get Here?

## The struggle against Internet overload is real

Frankly, when people are looking for an escape from the Internet, they usually search the Internet for answers.



Taking a break from the constant bombardment of data is a necessary step to prevent our brains from becoming adapted primarily to cyberspace instead of the physical world.

“Since the dawn of civilization, roughly 12,000 B.C., to 2003 A.D., only 5 exabytes (5,000 PB) of data has been created.

Now, with the rise of the Internet’s influence in our daily lives, we produce this amount of data every two days.”

**NIH** **NATIONAL CANCER INSTITUTE**  
 Cancer Research Data Commons

**83,709** Subjects  
**622** Attributes  
**5,226,838** Files  
 Total Size **3.71 PB**

**NIH** National Institute of Allergy and Infectious Diseases  
 AccessClinicalData@NIAID

**2,096** Subjects  
**145** Attributes  
**5** Files  
 Total Size **1.51 MB**

**GenoMEL**  
 the Melanoma Genetics Consortium

**1,390** Subjects  
**387** Attributes  
**6,555** Files  
 Total Size **31.6 TB**

**ACCOUNT**

**1,516** Subjects  
**985** Attributes  
**5,661** Files  
 Total Size **7.77 TB**

**CANINE**  
 Data Commons

**1,499** Subjects  
**1,008** Attributes  
**3,802** Files  
 Total Size **1.88 TB**

**NIH HEAL INITIATIVE** Justice Community Opioid Innovation Network (JCOIN)

**237** Subjects  
**854** Attributes  
**163** Files  
 Total Size **410.18 MB**

**BDGC**

**107,418** Subjects  
**784** Attributes  
**11,287** Files  
 Total Size **3.76 TB**



**BloodPAC**  
 BLOOD PROFILING ATLAS IN CANCER

**26,636** Subjects  
**551** Attributes  
**177,050** Files  
 Total Size **402.89 TB**

**VPO** Veterans Precision Oncology Data Commons

**4,839** Subjects  
**888** Attributes  
**33,825** Files  
 Total Size **27.91 TB**

**163,695** Subjects  
**1,606** Attributes  
**352,783** Files  
 Total Size **2.18 TB**

**CHICAGOLAND COVID-19 COMMONS**

**53,728** Subjects  
**1,456** Attributes  
**282,082** Files  
 Total Size **117.64 TB**

**Kids First**  
 Data Resource Center

**21,833** Subjects  
**622** Attributes  
**633,305** Files  
 Total Size **6.1 PB**

**NIH** **BioData CATALYST**  
 National Heart, Lung, and Blood Institute

**240,460** Subjects  
**739** Attributes  
**641,162** Files  
 Total Size **3.55 PB**

**MIDRC**  
 MEDICAL IMAGING AND DATA RESOURCE CENTER

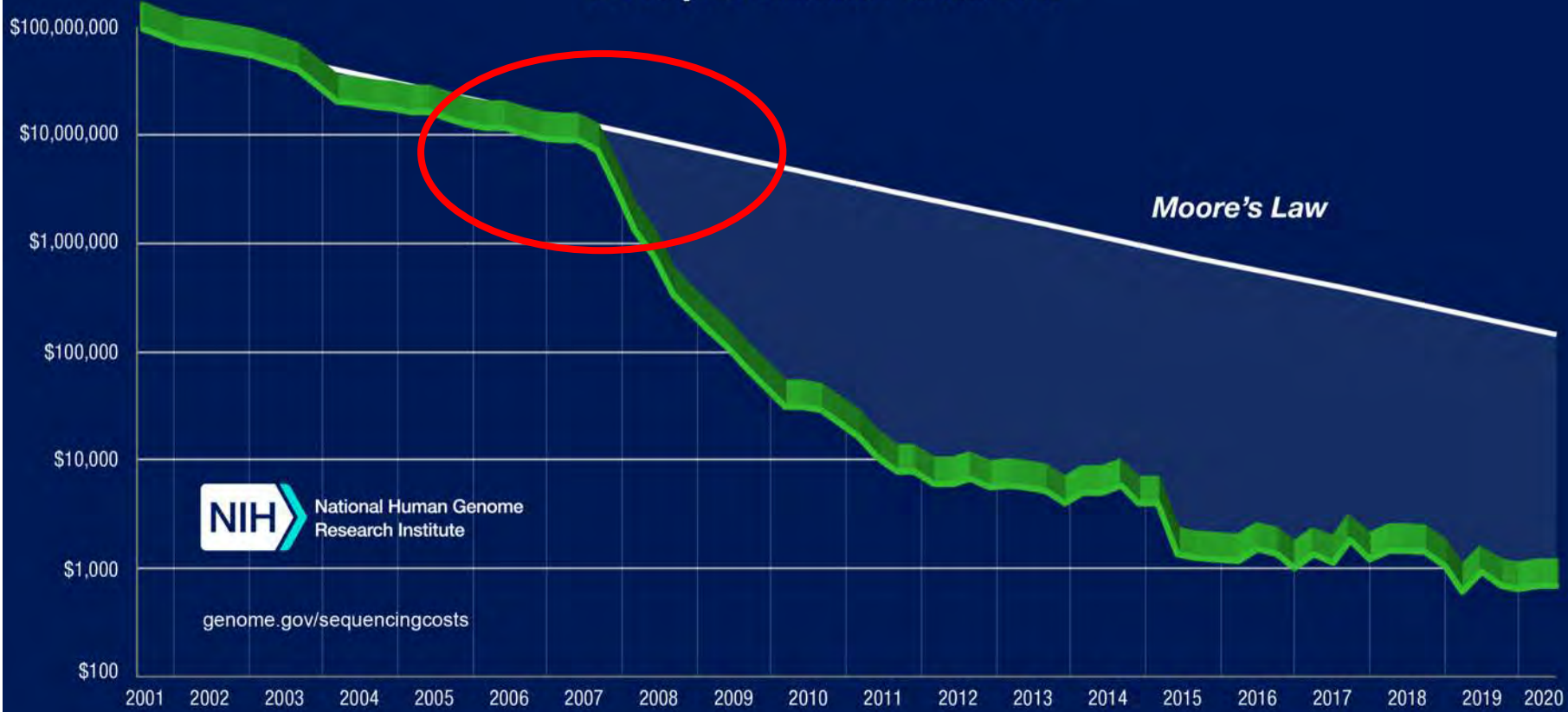
**5,356** Subjects  
**510** Attributes  
**1,356,018** Files  
 Total Size **486.03 GB**

**CCC** Environmental Data Commons

**265** Attributes  
**24,355,516** Files  
 Total Size **70.51 TB**



# Cost per Human Genome



**NIH** National Human Genome Research Institute

[genome.gov/sequencingcosts](https://www.genome.gov/sequencingcosts)





2001

2006

2011

2016

2021

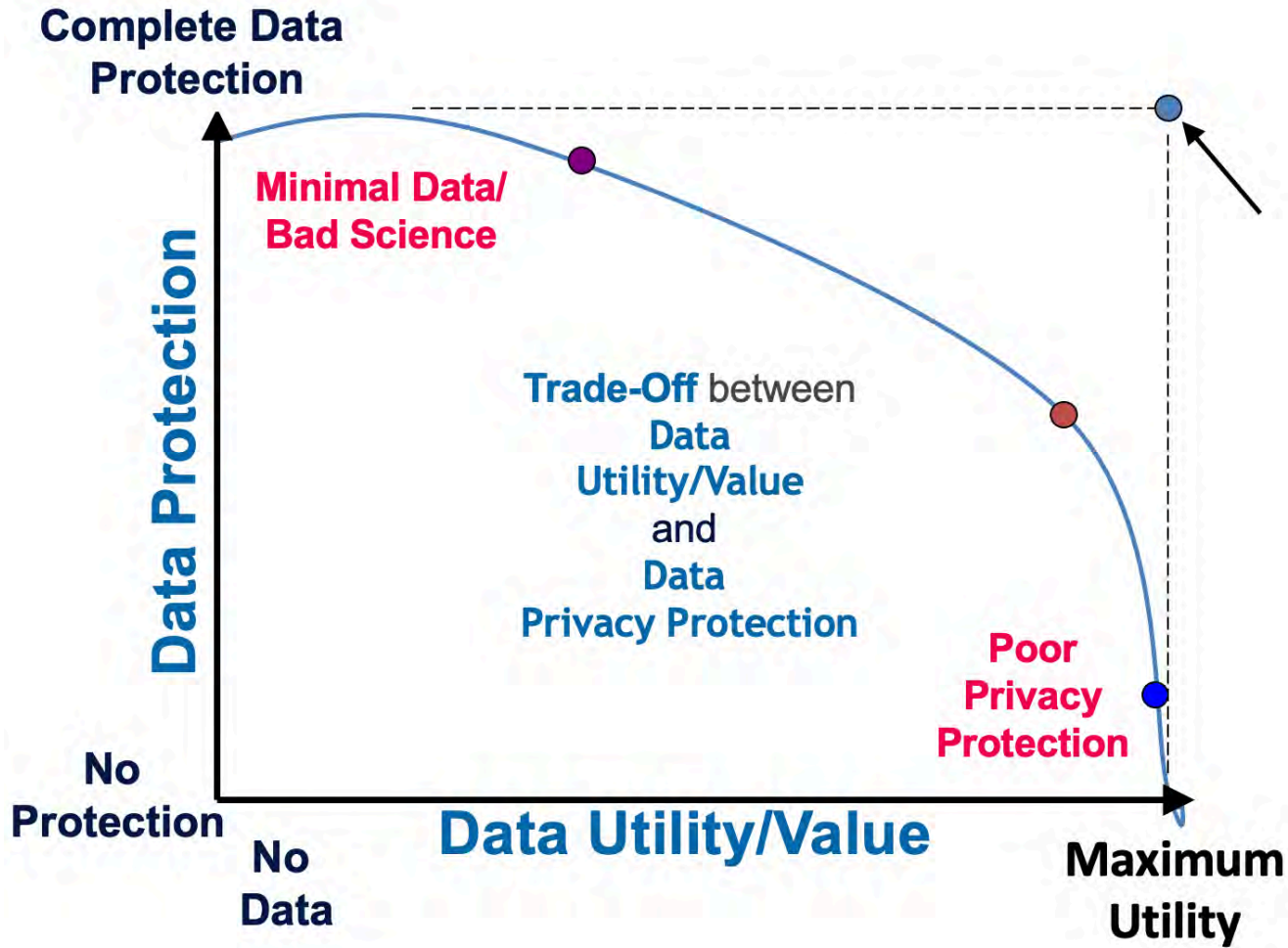
0 5 2 4 6 3 0 4 2 0

9/28/2006

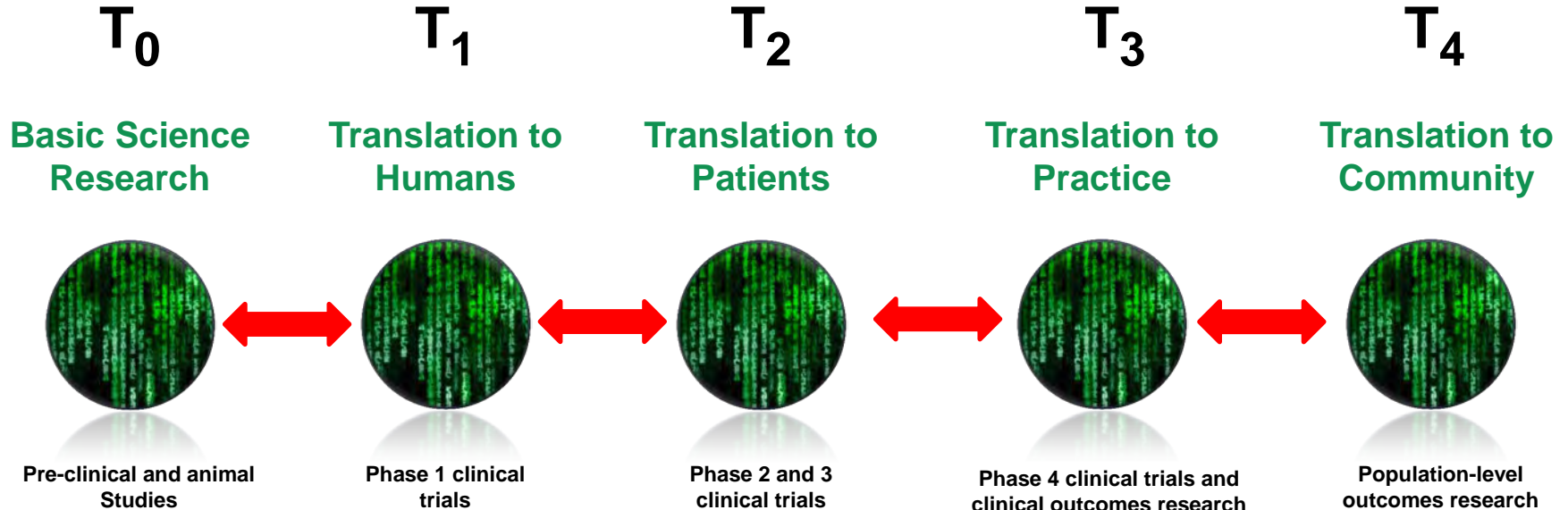
## NIH Announces Two Integral Components of The Cancer Genome Atlas Pilot Project

The National Cancer Institute (NCI) and the National Human Genome Research Institute (NHGRI), both parts of the National Institutes of Health (NIH), today announced another two of the components of The Cancer Genome Atlas (TCGA) Pilot Project, a three-year, \$100 million collaboration to test the **feasibility of using large-scale genome analysis technologies** to identify important genetic changes involved in cancer. Lung, brain (glioblastoma), and ovarian cancers have been chosen as the tumors for study by TCGA Pilot Project.

“...the Data Coordinating Center will make TCGA data **publicly accessible**...and access to all TCGA data will be provided in a manner that meets the highest standards for **protection** and **respect** of the **research participants**....”



# Translation Data Spheres



When analyses are conducted **without understanding the basic science or clinical context of data capture**, there is a risk of **inappropriate interpretation**, **lack of clinical relevance**, or **lack of feasible implementation** for broader impact.

# Making Data FAIR & Transparent



Findable  
Accessible  
Interoperable  
Reusable



Ad

Speaking Of Science

# Londoners accidentally pay for free Wi-Fi with a firstborn, because no one reads anymore






By Rachel Feltman September 29 [Follow @rachelfeltma](#)



Advertisement

JOB ARTICLES

- 
 Survey: US companies added 208,000 jobs last month  
[Read more](#) December 3, 2014
- 
 Stocks slip on ECB stimulus speculation  
[Read more](#) December 4, 2014
- 
 US stocks extend record run ahead of jobs report  
[Read more](#) December 3, 2014

SEE OUR PERSPECTIVE >>



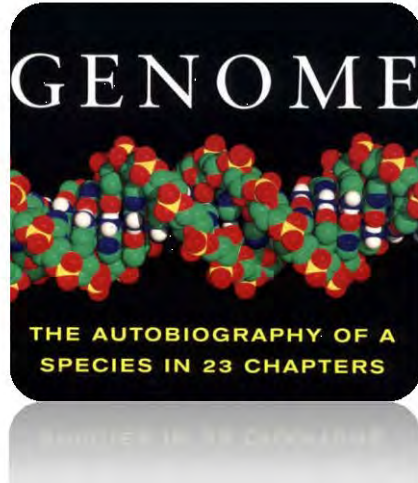
# Visualization of Genome

Genome = A Book

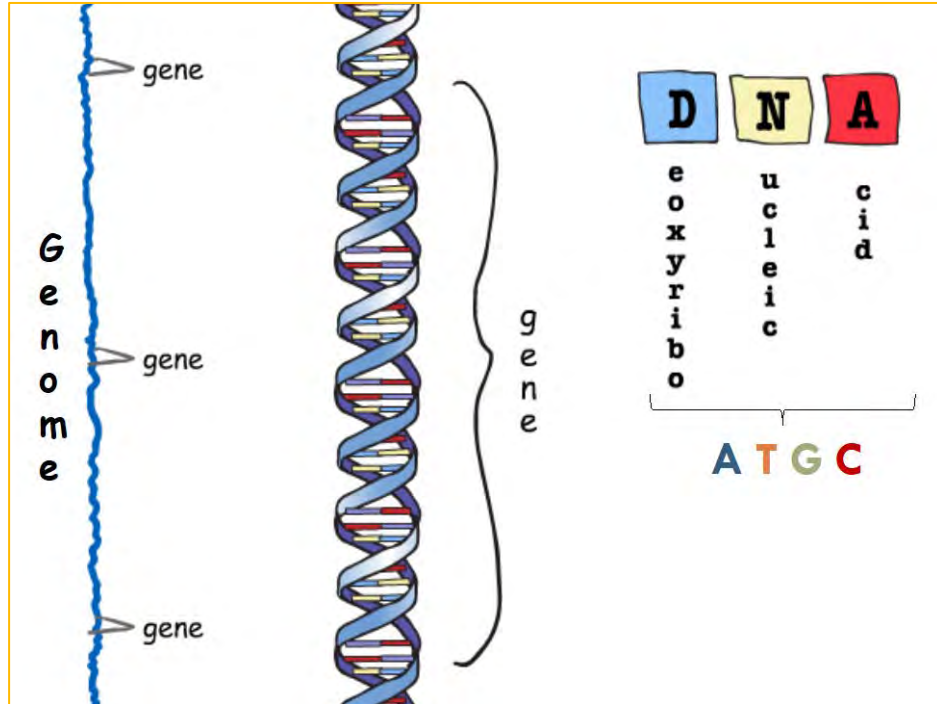
Written in 4 letters of nucleotides – A T G C

23 Chromosomes = 23 Chapters

Genes = Stories in each chapter



~3 billion DNA base pairs



9/16/2021

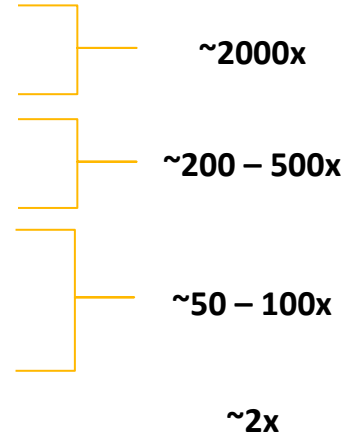




# Human Autocorrect Works (Mostly)

Cell Type	Turnover Time
small intestine epithelium	2-4 days
white blood cells	2-5 days
platelets	10 days
skin cells	10-30 days
sperm (male gametes)	2 months
red blood cells	4 months
liver cells	0.5 – 1 year
fat cells	8 years
heart cells	0.5-10% per year
skeleton	10% per year
central nervous system	life time
oocytes (female gametes)	life time

## Cell Divisions (**18.5 yrs**)



# Single Nucleotide Polymorphisms (SNPs)



- SNPs are variations that involve a change in just one nucleotide.

THE **R**AT CAN RUN FAST

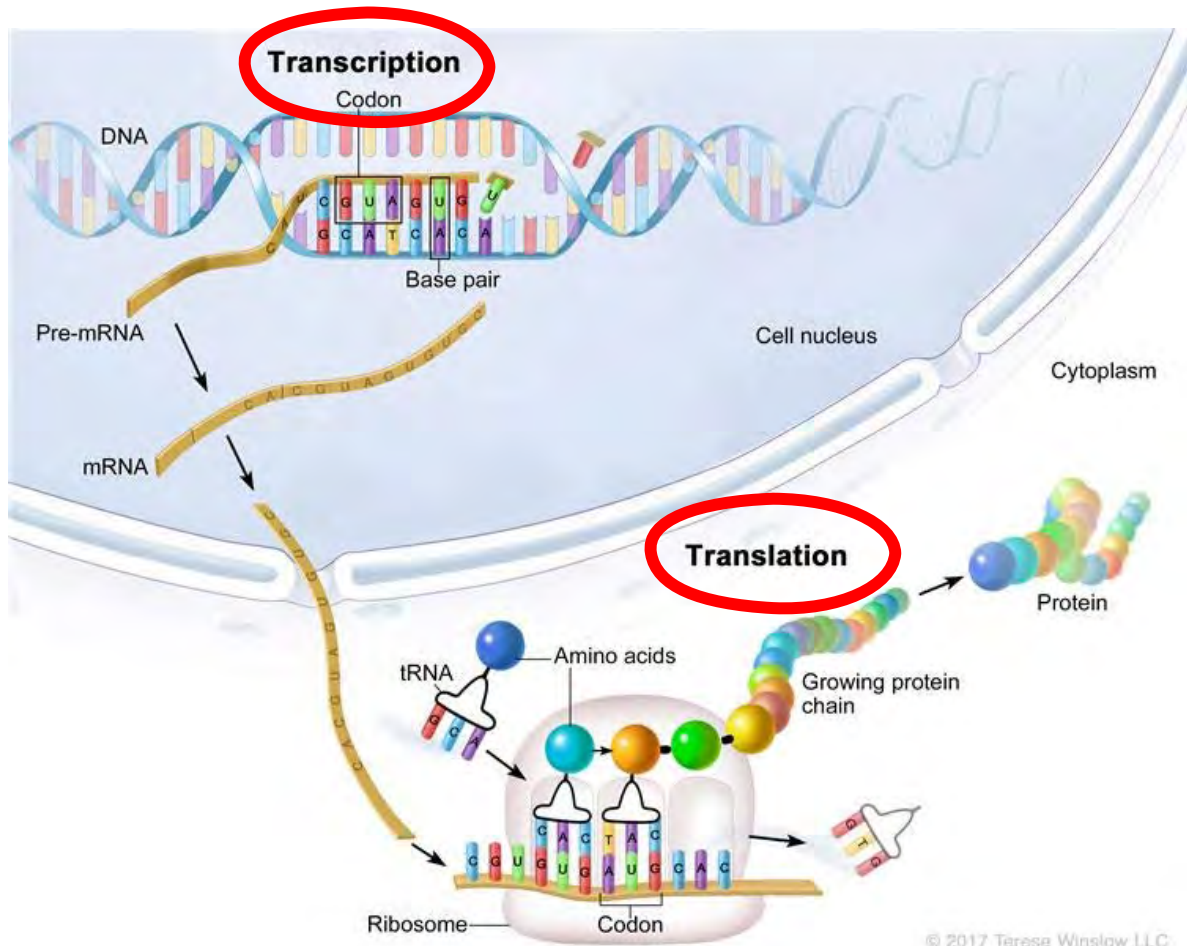
THE **C**AT CAN RUN FAST

# Copy Number Variants (CNVs)

- CNVs are defined as chromosomal segments, at least 1000 bases (1 kb) in length that vary in number of copies from human to human.
- CNVs are large chunks of DNA that are deleted, copied, flipped or otherwise rearranged in combinations that can be unique for each individual.

YOU CAN RUN FAST

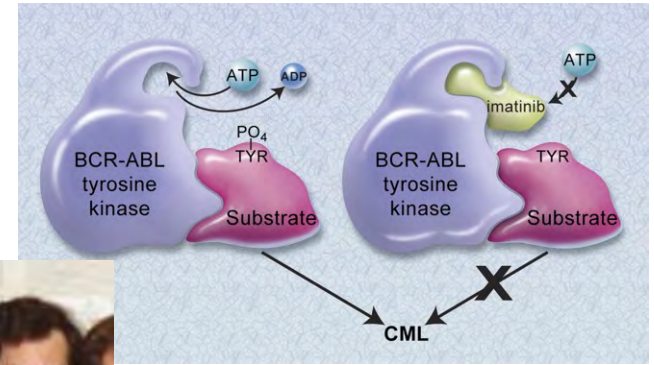
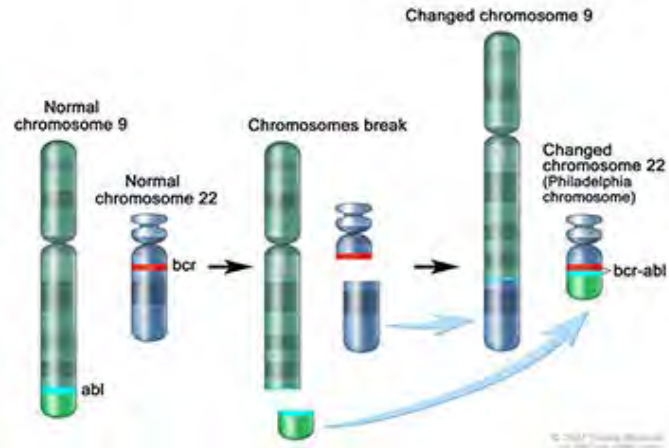
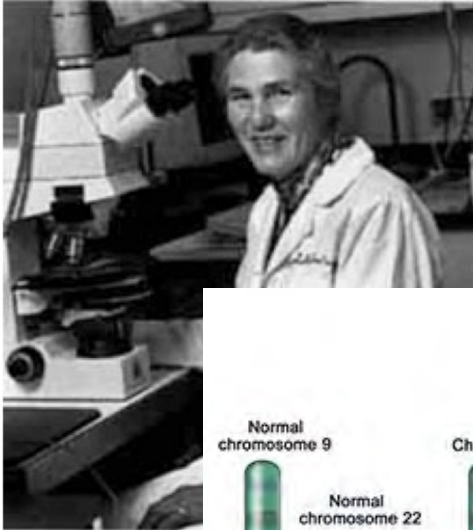
YOU CAN RUN RUN RUN FAST



**Read/Write**  
**Error**



Early 1970's, **Janet Rowley**'s microscopy studies of leukemia cell chromosomes suggested that specific alterations led to cancer



“By **early 1999**, six months after beginning the phase 1 study...virtually all of our patients were responding and experiencing few, if any, side effects... **Internet chat rooms** were a new phenomenon, and patients were describing their experiences with imatinib even before we had presented clinical data or published our results...”- **Brian Druker, MD**



# Initial sequencing and analysis of the human genome

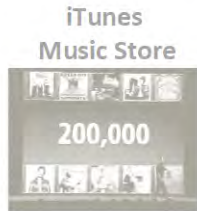
International Human Genome Sequencing Consortium\*

2/15/2001

**Herceptin**  
9/25/1998



**Gleevec**  
5/10/2001



4/28/2003  
(~3 yrs old)

**iPhone**  
(EDGE, 16 GB max)



1/9/2007  
(~7 yrs old)



4/23/2006



7/15/2006

**facebook**

9/26/2006  
(~6 yrs old)

**YouTube**

4/23/2005  
(~5 yrs old)

**Gmail**  
2/7/2007

**iPad**  
(EDGE, 64 GB max)



4/3/2010  
(~10 yrs old)

**Instagram**



10/6/2010



7/1/2012  
(~12 yrs old)

**iPhone5**  
(LTE, 128 GB max)



9/12/2012  
(~12 yrs old)

**HTC VR Headset**



4/5/2016  
(~16 yrs old)

**AI beats human at Go**



3/15/2016

**Next Gen**



1997

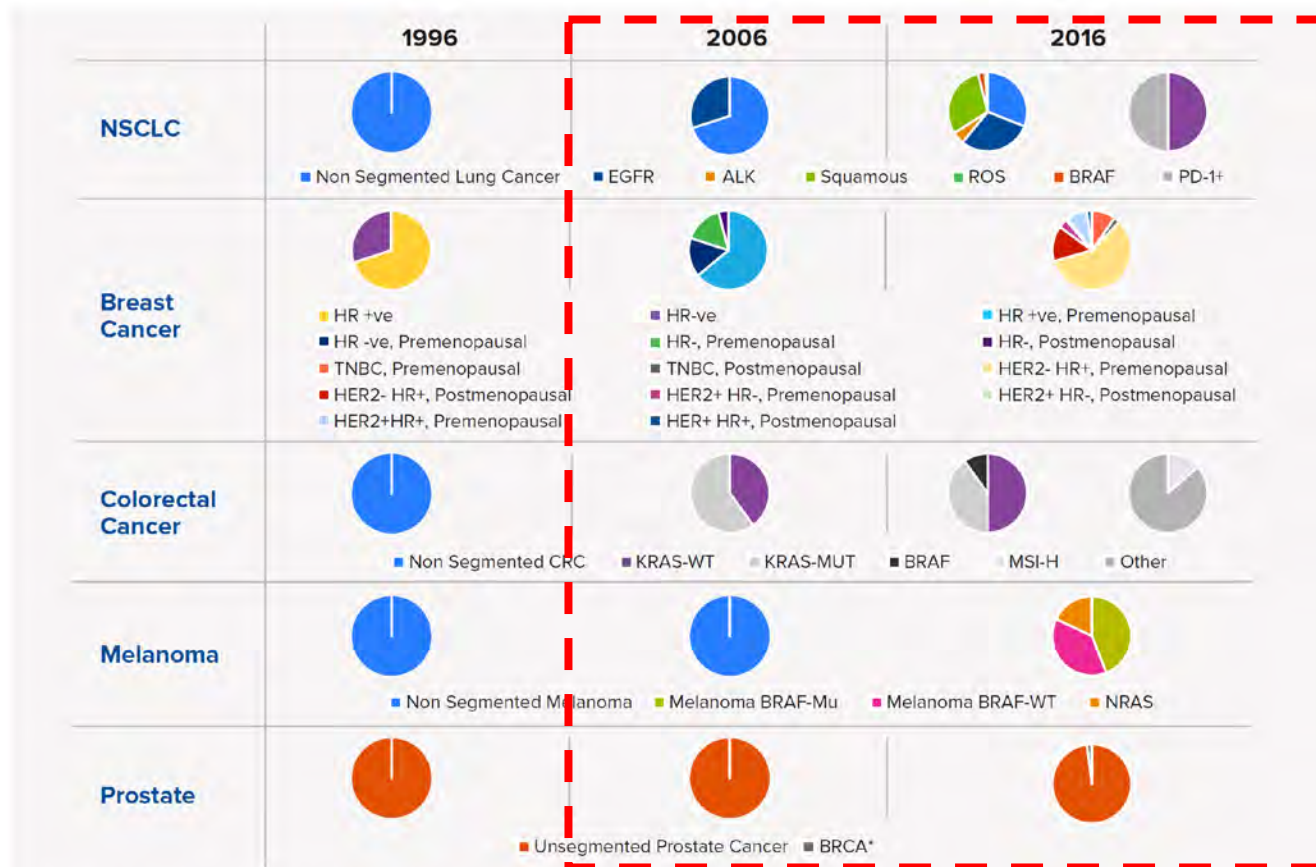
2002

2007

2012

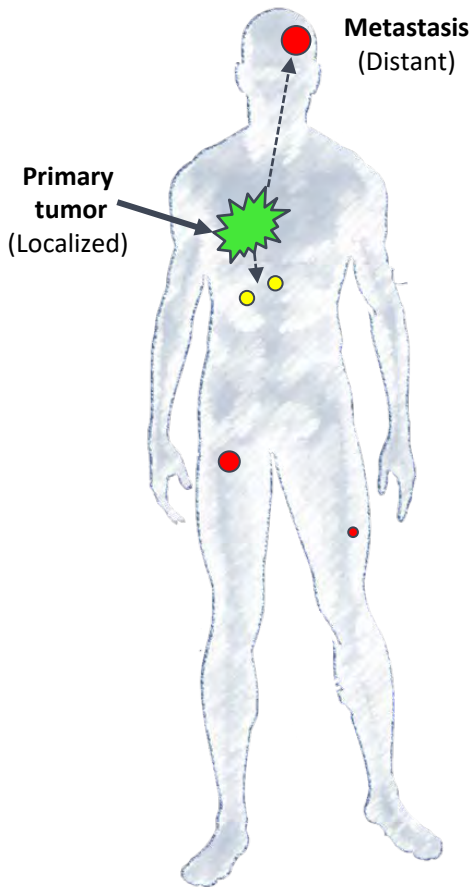
2017

# Cancer has been progressively redefined over the past 20 years



Source: FDA.gov and Drugs@FDA, Mar 2017; QuintilesIMS, ARK R&D Intelligence, Feb 2017; QuintilesIMS Institute, Mar 2017

# Tumor, Cancer, and Metastasis: Length-scale and Time-scale Matter



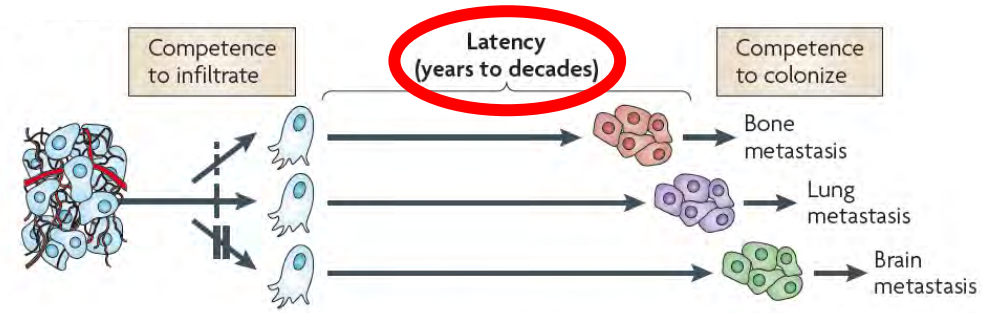
5 year Relative Survival Rates (2021 report of 2010-2016 data)

Organ Site	All Stages	Localized	Regional	Distant
Prostate	98	>99	>99	30
Breast	90	99	86	28
Ovary	49	93	75	30
Uterine Cervix	66	92	58	17
Melanoma	93	99	66	27
Urinary Bladder	77	69	37	6
Kidney	75	93	70	13
Colorectum	65	90	72	14
Esophagus	20	47	25	5
Lung	21	59	32	6
Liver	20	34	12	3
Pancreas	10	39	13	3

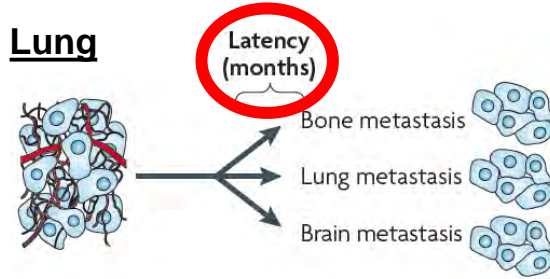
**“...>90% of deaths are caused by disseminated disease or metastasis...”**



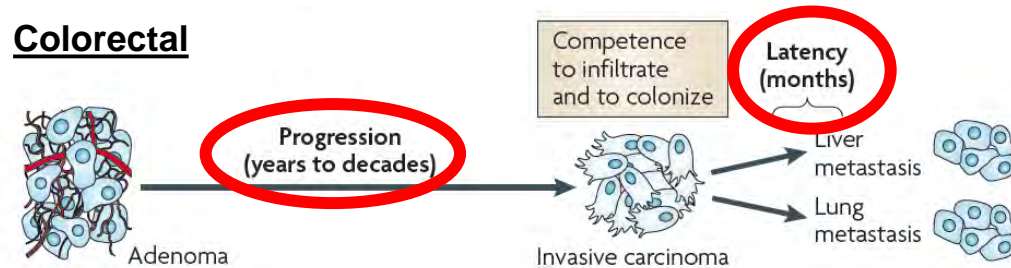
## Breast



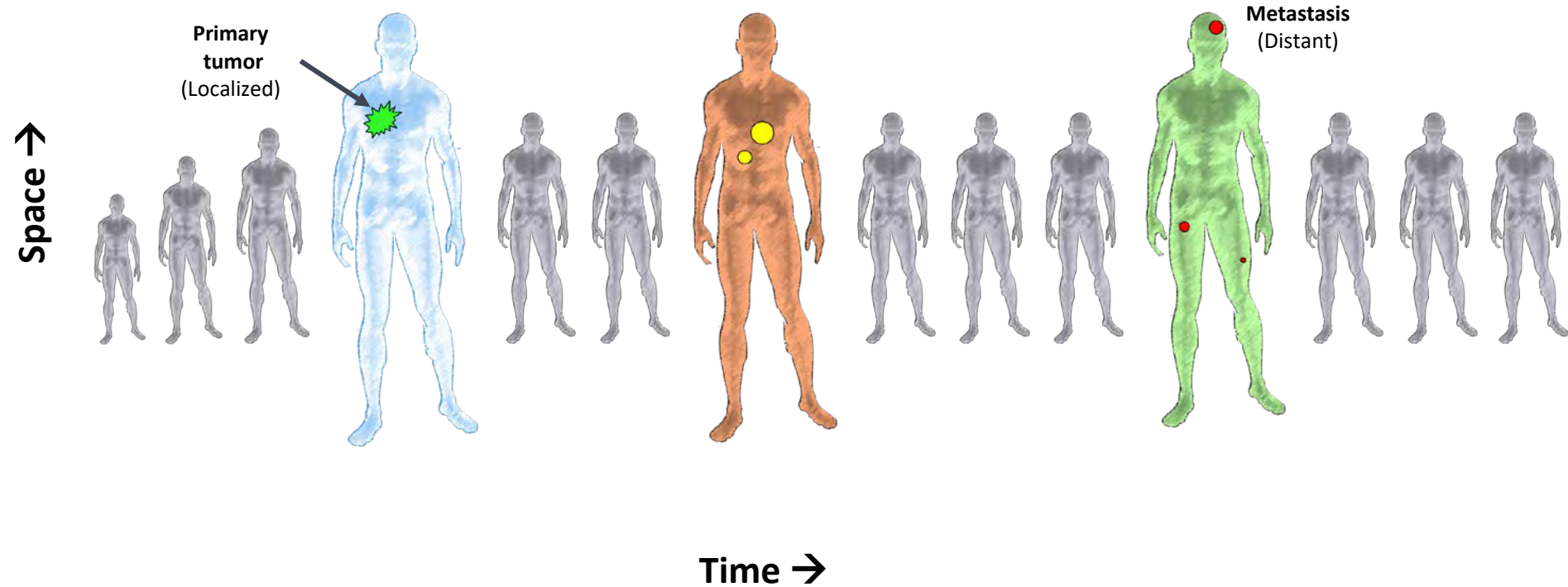
## Lung



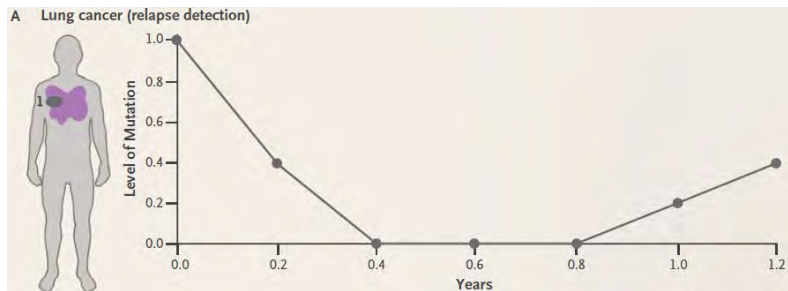
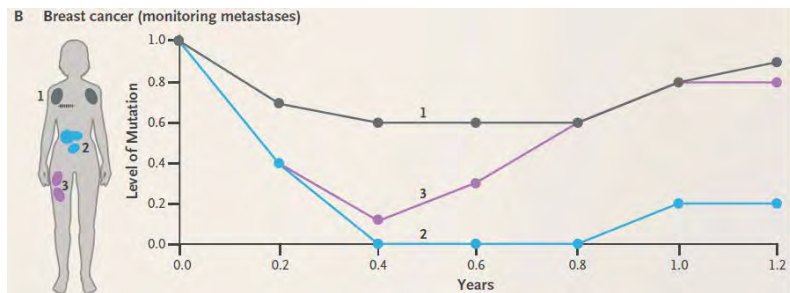
## Colorectal



# Engineer's Dream: Develop A Continuum



## Potential Use of New Technologies



# MISSING THE MARK

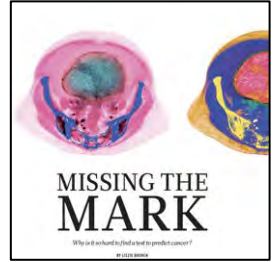
*Why is it so hard to find a test to predict cancer?*

BY LIZZIE BUCHEN

428 | NATURE | VOL 471 | 24 MARCH 2011



# Early Years



On 23 June 2008, LabCorp announced the availability of the OvaSure test.

Jean McKibben, an ovarian-cancer survivor, rushed to take OvaSure on the first day it was available, and **her results showed a 0.00 chance of cancer**. A week later, scans showed that her cancer was back. **She was crushed**.

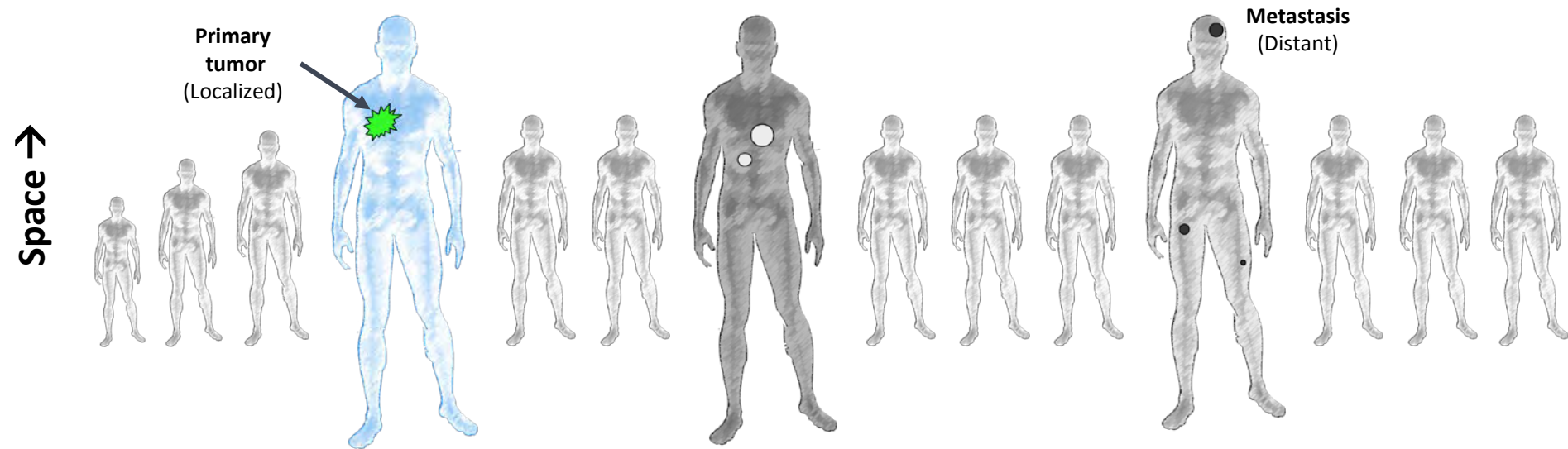
FDA on 7 August 2008 sent a letter to LabCorp saying that the test ‘has not received adequate clinical validation, and may harm the public health’.

A second letter, sent on 29 September 2008, alleged that LabCorp did not have the necessary marketing clearance or approval for the test from the FDA.

LabCorp replied to the FDA on 20 October 2008, disagreeing with the agency’s assertions, but agreed to **pull OvaSure from the market**.

# **Milestones, Highlights, & Lessons Learned**

# 2006-2015: A Decade of Illuminating the Underlying Causes of Primary Untreated Tumors



The Cancer Genome Atlas 

 CLINICAL PROTEOMIC  
TUMOR ANALYSIS CONSORTIUM

 CANCER  
IMAGING ARCHIVE

(12,000+ patient tumors)

Time →

## An Open Letter to Cancer Researchers

“...the unstated goal of the Human Cancer Genome Project (HCGP) is to accelerate the discovery of cures for cancers. The question we need to answer is not whether the information generated will be useful, but whether, if given \$1.5 billion in “new” cancer money, would the HCGP be the best application of that money toward the goal of cancer cures...”

– Oct 21, 2005

# First Pass at Cancer Genome Reveals Complex Landscape

Science

8 SEPTEMBER 2006

“...to conduct this mini–cancer-genome project, a **29-person** team, resequenced...**11** breast cancer samples and **11** colon cancer samples...then winnowed out more than **99%** of the mutations by **removing errors**...and **changes that didn’t alter a protein**.

...this yielded a total of 189 “candidate” cancer genes. Although some are familiar...most had **never been found mutated** in cancer before. The results...are a **‘treasure trove’**...

...the relatively small number of new genes **common to the tumors** reinforces concerns about [NIH] The Cancer Genome Atlas...

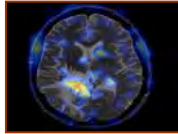
...despite such doubts, the atlas project gets under way next week. NIH will announce the three cancers to be studied in the pilot phase...the project is on an **extremely aggressive timeline**...”



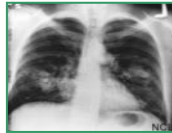
Three Cancers- Pilot

Multiple data types

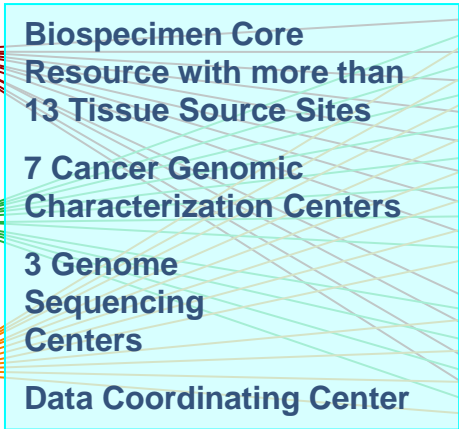
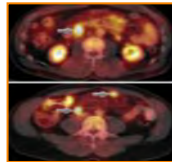
glioblastoma multiforme  
(brain)



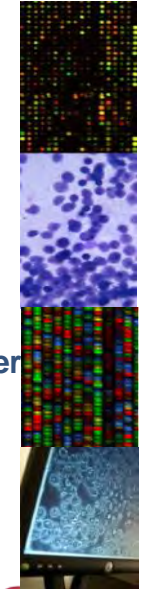
squamous carcinoma  
(lung)



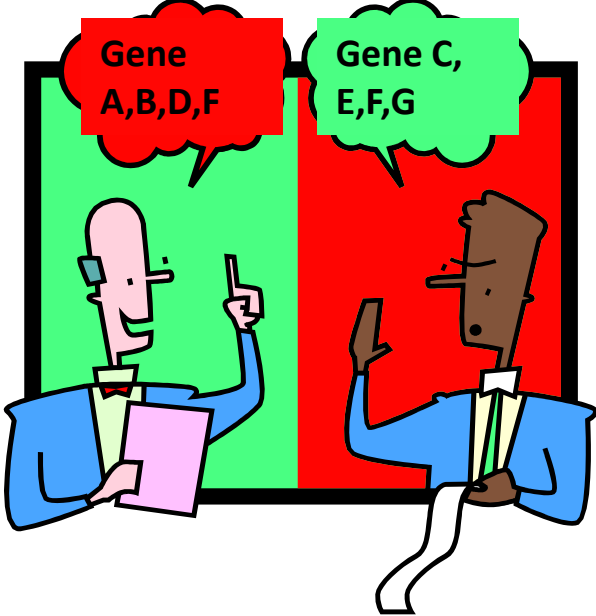
serous cystadenocarcinoma  
(ovarian)



- Clinical diagnosis
- Treatment history
- Histologic diagnosis
- Pathologic status
- Tissue anatomic site
- Surgical history
- Gene expression
- Chromosomal copy number
- Loss of heterozygosity
- Methylation patterns
- miRNA expression
- DNA sequence



# Adding an Engineering Perspective



**Anna Barker, PhD**  
NCI Deputy Director



# Potential Sources of Variability

# Platforms Only

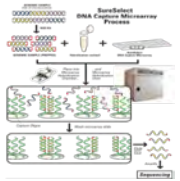
# Maturity and Heterogeneity of Platforms



454



Helicos



Agilent



Raindance

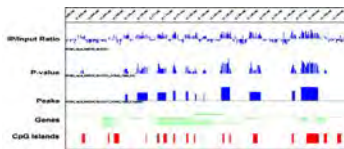


Illumina



Visigen

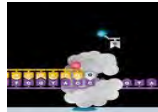
Nimblegen



Febit



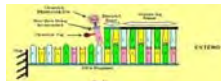
SOLiD



PacBio



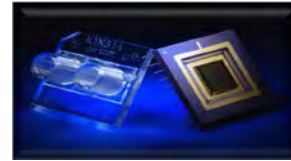
LaserGen



Intelligent Biosystems

Complete Genomics

Complete Genomics

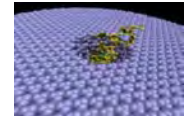


Ion-Torrent

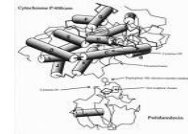
ZSGenetics



Halycon



Oxford Molecular



NABsys



IBM



## Platform Codes

Platform Code	Platform Alias	Platform Name	Available
HT_HG-U133A	HT_HG-U133A	Affymetrix HT Human Genome U133 Array Plate Set	Yes
HuEx-1_0-st-v2	HuEx-1_0-st-v2	Affymetrix Human Exon 1.0 ST Array	Yes
Genome_Wide_SNP_6	Genome_Wide_SNP_6	Affymetrix Genome-Wide Human SNP Array 6.0	Yes
HG-CGH-415K_G4124A	HG-CGH-415K_G4124A	Agilent Human Genome CGH Custom Microarray 2x415K	Yes
WHG-CGH_4x44B	WHG-CGH_4x44B	Agilent Human Genome CGH Microarray 44K	No
HG-CGH-244A	HG-CGH-244A	Agilent Human Genome CGH Microarray 244A	Yes
WHG-1x44K_G4112A	1 x 44K	Agilent Whole Human Genome	No
WHG-4x44K_G4112F	4 x 44K	Agilent Whole Human Genome Microarray Kit	No

### Resources for TCGA Users

[The GDC for TCGA Data Access Matrix Users](#)

[Legacy Archive TCGA Tag Descriptions](#)

#### TCGA Code Tables

[BCR Batch Codes](#)

[Center Codes](#)

[Data Levels](#)

[Data Types](#)

[Platform Codes](#)

[Portion / Analyte Codes](#)

[Sample Type Codes](#)

[TCGA Study Abbreviations](#)

[Tissue Source Site Codes](#)

[TCGA Mutation Calling Benchmark 4 Files](#)

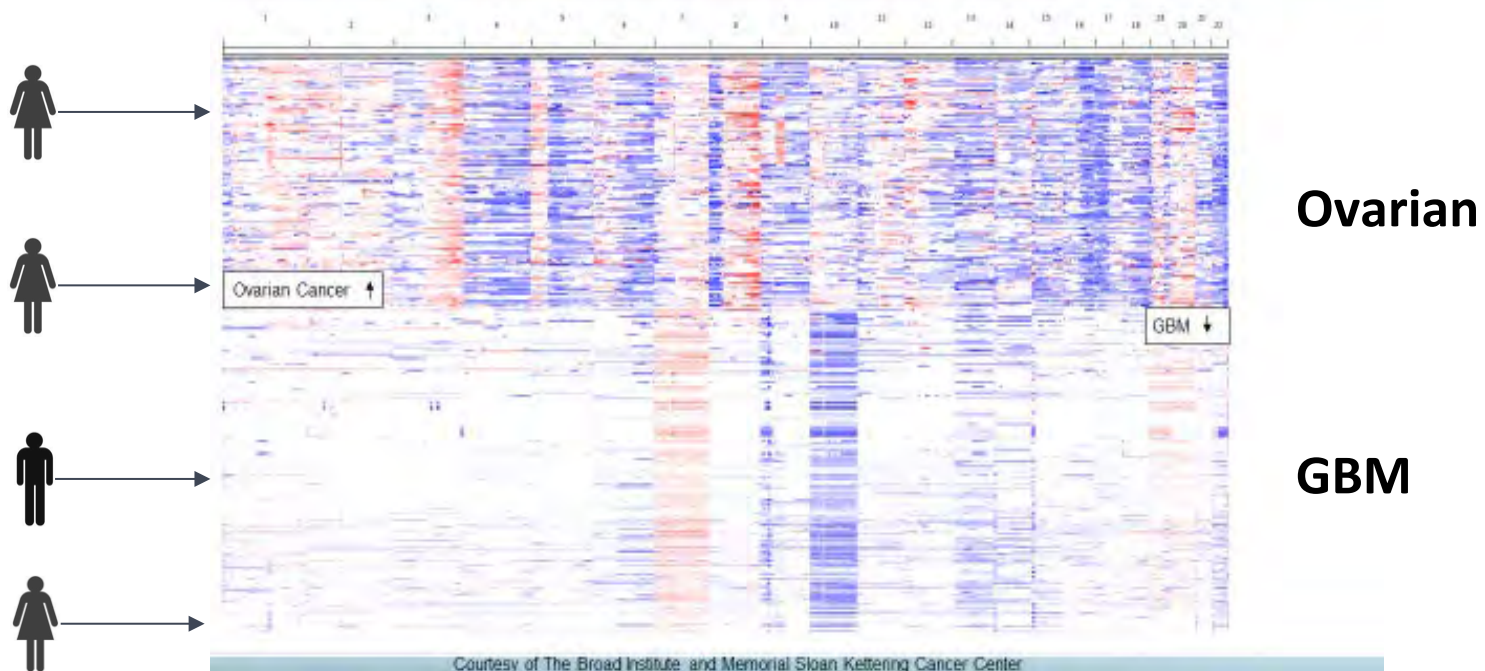
~ 60 different hardware platforms

- Illumina (30)
- Agilent (14)
- Affymetrix (8)
- ABI (8)

2008/9

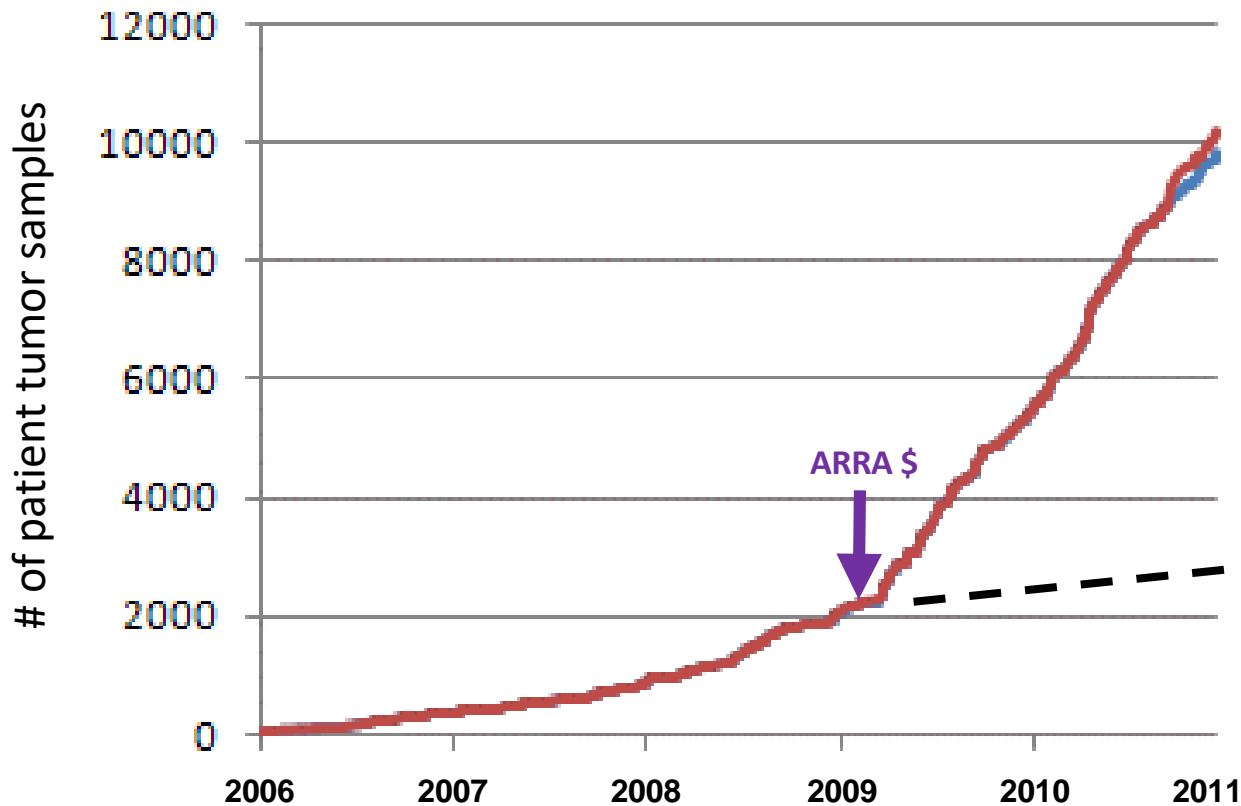
## CNA in GBM and Ovarian Cancers

THE CANCER GENOME ATLAS 






Courtesy of The Broad Institute and Memorial Sloan Kettering Cancer Center

# Rapid Acceleration from Stimulus Funding (2009-2011)

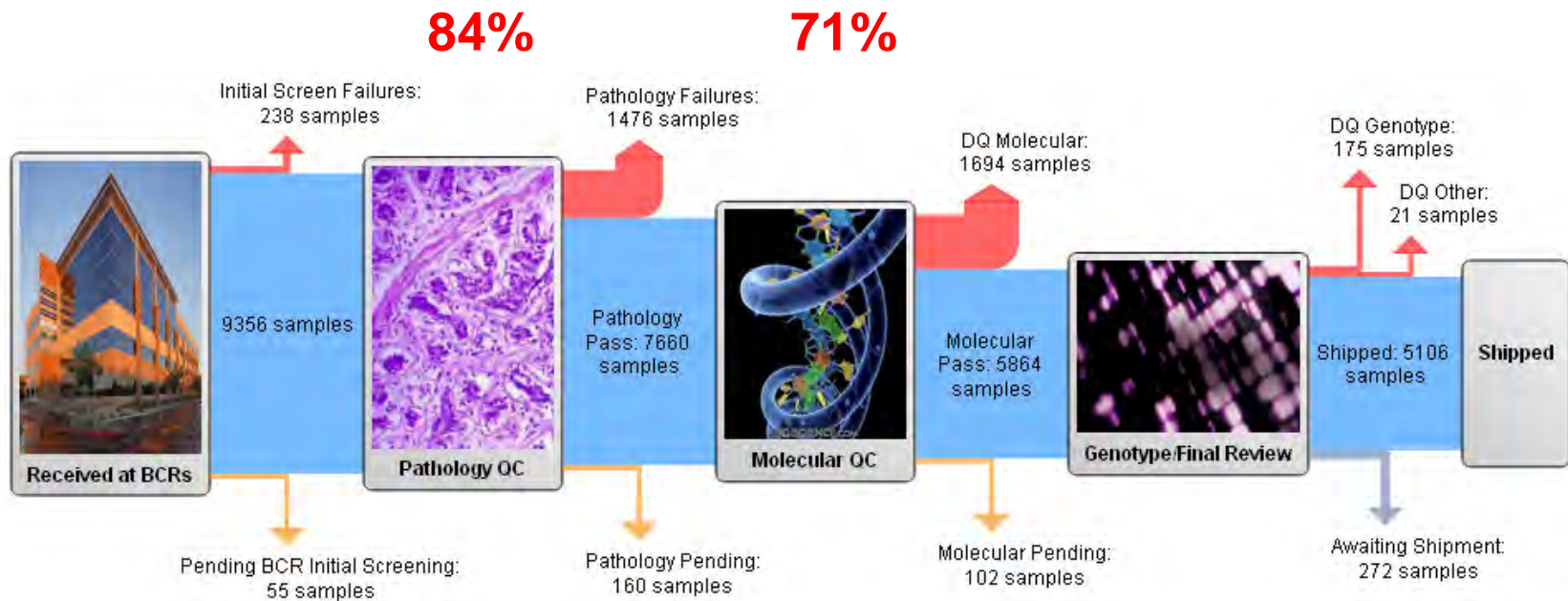


Former  
President Obama  
at NIH 9/30/2009

-  Patient Samples Collected (**Projected**)
-  Patient Samples Collected (**Reality**)
-  Patient Samples Collected (**No ARRA \$**)



# QA, QC, and Optimization: Metadata Matters



**Overall = 58%**

## Tissue Source Site Codes

TSS Code	Source Site	Study Name	BCR
01	International Genomics Consortium	Ovarian serous cystadenocarcinoma	IGC
02	MD Anderson Cancer Center	Glioblastoma multiforme	IGC
04	Gynecologic Oncology Group	Ovarian serous cystadenocarcinoma	IGC
05	Indivumed	Lung adenocarcinoma	IGC
06	Henry Ford Hospital	Glioblastoma multiforme	IGC
07	TGen	Cell Line Control	IGC
08	UCSF	Glioblastoma multiforme	IGC
09	UCSF	Ovarian serous cystadenocarcinoma	IGC
10	MD Anderson Cancer Center	Ovarian serous cystadenocarcinoma	IGC
11	MD Anderson Cancer Center	Lung squamous cell carcinoma	IGC
12	Duke	Glioblastoma multiforme	IGC

### Resources for TCGA Users

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#### TCGA Code Tables

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[TCGA Study Abbreviations](#)

[Tissue Source Site Codes](#)

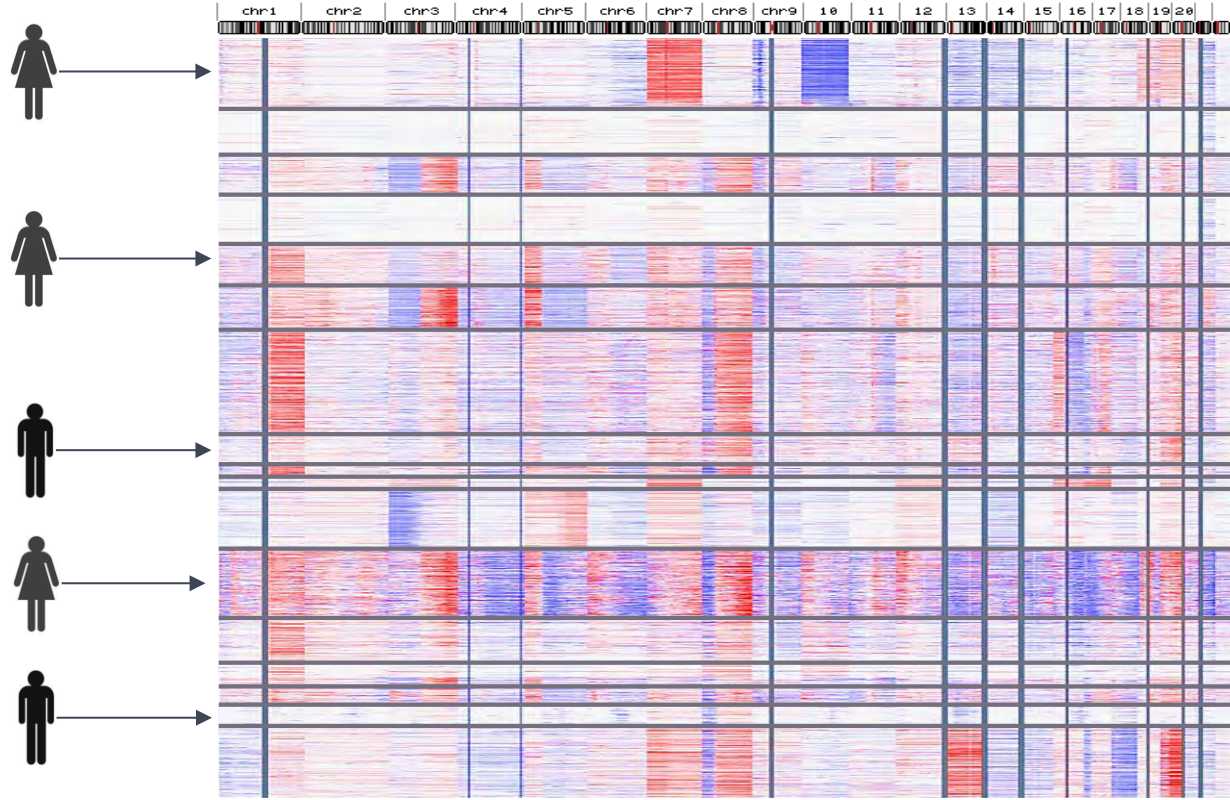
[TCGA Mutation Calling Benchmark 4 Files](#)

## ~ 830 different site codes

- Colorectal (44)
- Breast (42)
- Liver (39)
- Pancreatic (38)
- Lung adeno (37)
- Bladder (36)
- Lung squamous (42)
- Ovarian (26)
- GBM (21)
- Uveal melanoma (8)
- AML (7)
- CML (1)

**Not All Bad  
News...**

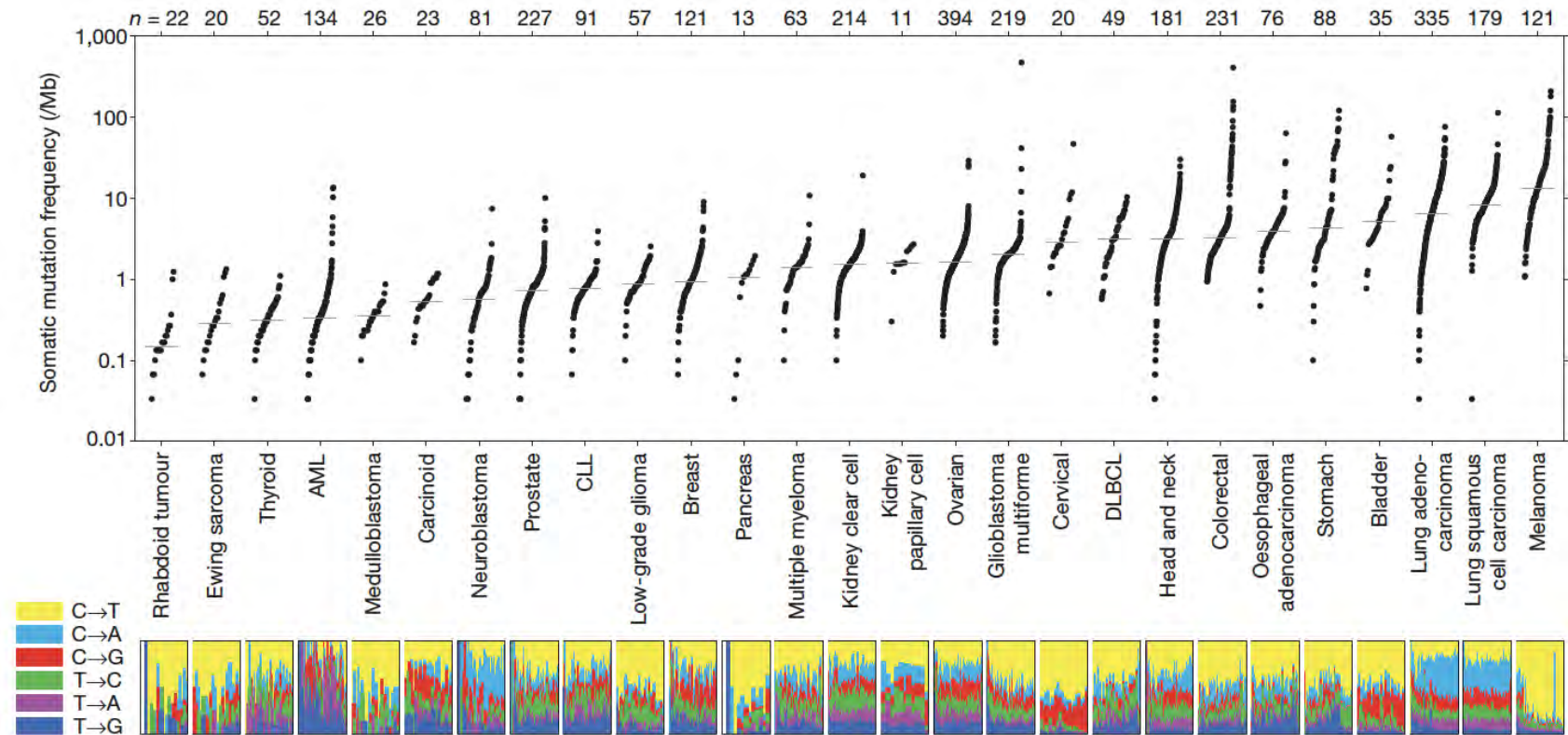
# The Cancer Genome Atlas



Glioblastoma:	563
Brain lower grade glioma:	180
Head & neck:	306
Thyroid carc:	401
Lung adeno:	356
Lung squamous:	343
Breast carc:	866
Stomach adeno:	237
Liver hep. carc:	97
Kidney pap. cell carc:	103
Kidney clear cell carc:	493
Ovarian serous:	559
Uterine corpus end. carc:	492
Cervical carc:	102
Bladder carc:	135
Prostate adeno:	171
Colon/rectum adeno:	575

**Total:** **5,979**





**Figure 1 | Somatic mutation frequencies observed in exomes from 3,083 tumour-normal pairs.** Each dot corresponds to a tumour-normal pair, with

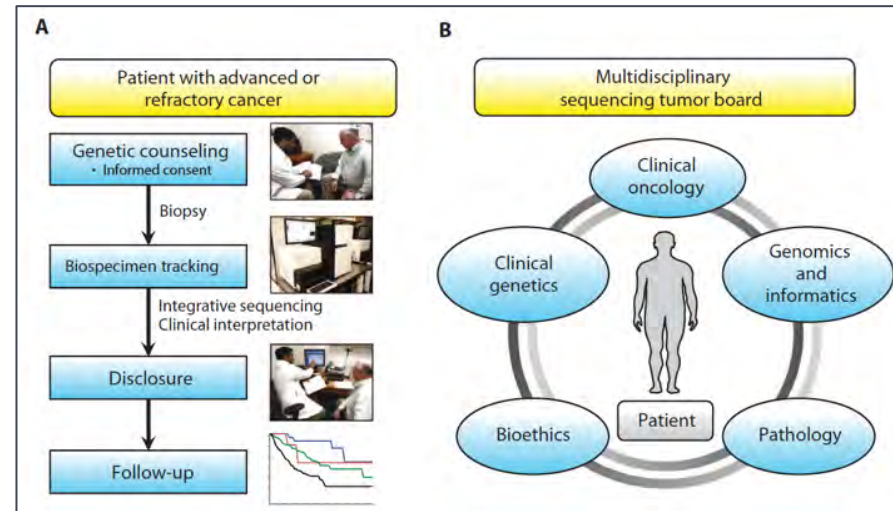
such as tobacco smoke and ultraviolet light. Mutation frequencies vary more than 1,000-fold between lowest and highest across different cancers and also

# Personalized Oncology Through Integrative High-Throughput Sequencing: A Pilot Study

Sameek Roychowdhury,<sup>1,2\*</sup> Matthew K. Iyer,<sup>1,3\*</sup> Dan R. Robinson,<sup>1,4\*</sup> Robert J. Lonigro,<sup>1,3</sup> Yi-Mi Wu,<sup>1,4</sup> Xuhong Cao,<sup>1,4,5</sup> Shanker Kalyana-Sundaram,<sup>1,4,6</sup> Lee Sam,<sup>1,3</sup> O. Alejandro Balbin,<sup>1,3</sup> Michael J. Quist,<sup>1,4</sup> Terrence Barrette,<sup>1,4</sup> Jessica Everett,<sup>7</sup> Javed Siddiqui,<sup>1,4</sup> Lakshmi P. Kunju,<sup>1,4</sup> Nora Navone,<sup>8</sup> John C. Araujo,<sup>8</sup> Patricia Troncoso,<sup>8</sup> Christopher J. Logothetis,<sup>8</sup> Jeffrey W. Innis,<sup>9</sup> David C. Smith,<sup>2,10</sup> Christopher D. Lao,<sup>2,10</sup> Scott Y. Kim,<sup>11</sup> J. Scott Roberts,<sup>11,12</sup> Stephen B. Gruber,<sup>2,10</sup> Kenneth J. Pienta,<sup>1,2,10,13</sup> Moshe Talpaz,<sup>2,10</sup> Arul M. Chinnaiyan<sup>1,3,4,5,13†</sup>

“...Translating high-throughput sequencing for biomarker-driven clinical trials for personalized oncology presents unique logistical challenges, including:

- (i) the identification of patients who could **benefit**,
- (ii) the development of an informed consent process that includes a way to deal with **incidental findings**,
- (iii) the implementation of **efficient and integrative computational pipelines** for data analysis,
- (iv) the selection of the results that should be **disclosed to patients**, and
- (v) the completion of the sequencing analysis in a **cost-effective** and **clinically relevant** time frame...”



# Still Learning: ACMG Secondary Findings v3.0 [2021]

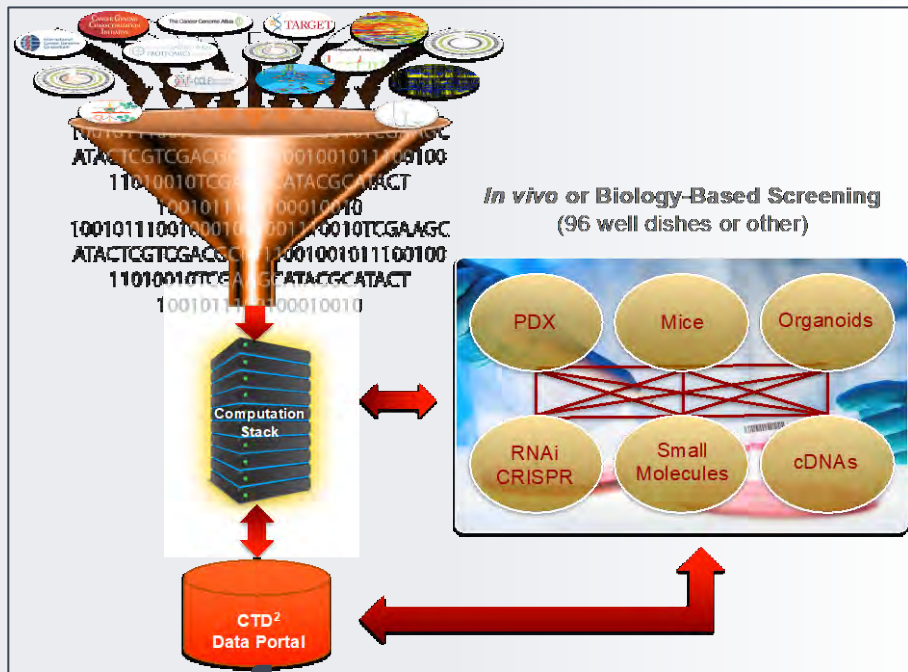
Phenotypes	Gene(s)
<b>Cancer (28)</b>	<p><b>v1.0 [2013]:</b> TP53, APC, RET, BRCA1, BRCA2, MLH1, MSH2, MSH6, PMS2, MEN1, MUTYH, NF2, STK11, PTEN, RB1, TSC1, TSC2, VHL, WT1, SDHD, SDHAF2, SDHC, SDHB</p> <p><b>v.2.0 [2016]:</b> BMPR1A, SMAD4</p> <p><b>v3.0 [2021]:</b> <b>PALB2, MAX, TMEM127</b></p>
<b>Cardiovascular (33)</b>	<p><b>v1.0 [2013]:</b> FBN1, TGFBR1, TGFBR2, SMAD3, ACTA2, MYH11, PKP2, DSP, DSC2, TMEM43, DSG2, RYR2, TNNT2, LMNA, COL3A1, LDLR, APOB, PCSK9, MYH7, MYBPC3, TNNI3, TPM1, MYL3, ACTC1, PRKAG2, MYL2, KCNQ1, KCNH2, SCN5A</p> <p><b>v3.0 [2021]:</b> <b>CASQ2, TRDN, FLNC, TTN</b></p>
<b>Metabolism (4)</b>	<p><b>v1.0 [2013]:</b> GLA</p> <p><b>v.2.0 [2016]:</b> OTC</p> <p><b>v3.0 [2021]:</b> <b>GAA, BTD</b></p>
<b>Miscellaneous (8)</b>	<p><b>v1.0 [2013]:</b> RYR1, CACNA1S</p> <p><b>v.2.0 [2016]:</b> ATP7B</p> <p><b>v3.0 [2021]:</b> <b>HFE, ACVRL1, ENG, HNF1A, RPE65</b></p>

# Drug Discovery and Development

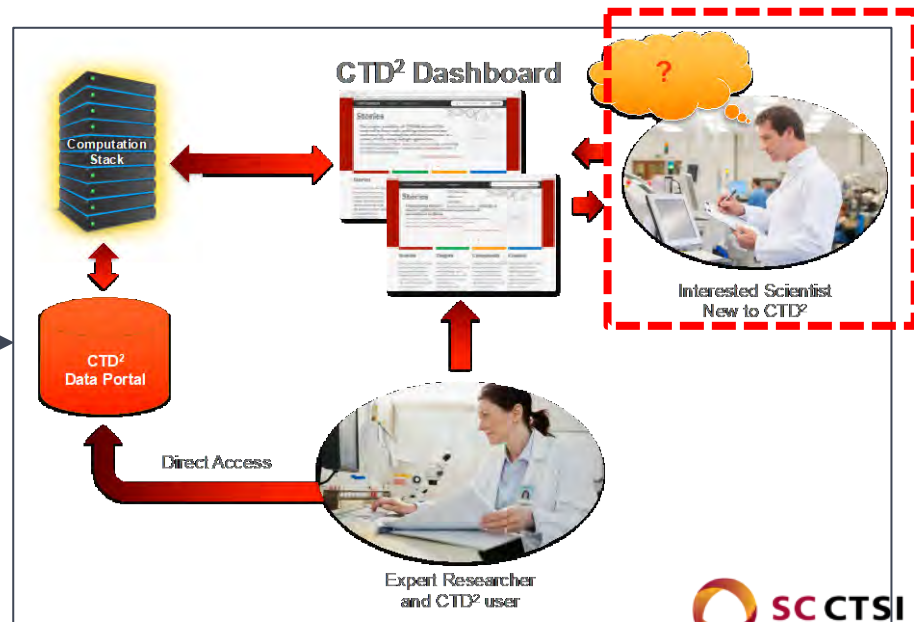


- Accelerate the translation of patient genomic data into clinical application
  - Innovate integration of computational mining of large-scale genomic data analysis
  - Identify and confirm new therapeutic target candidates
    - Existing therapeutics and /or orphan drugs
  - Identify and confirm novel modulators
    - Small molecules
    - siRNAs
- Share models, reagents, analysis tools, and data with scientific community



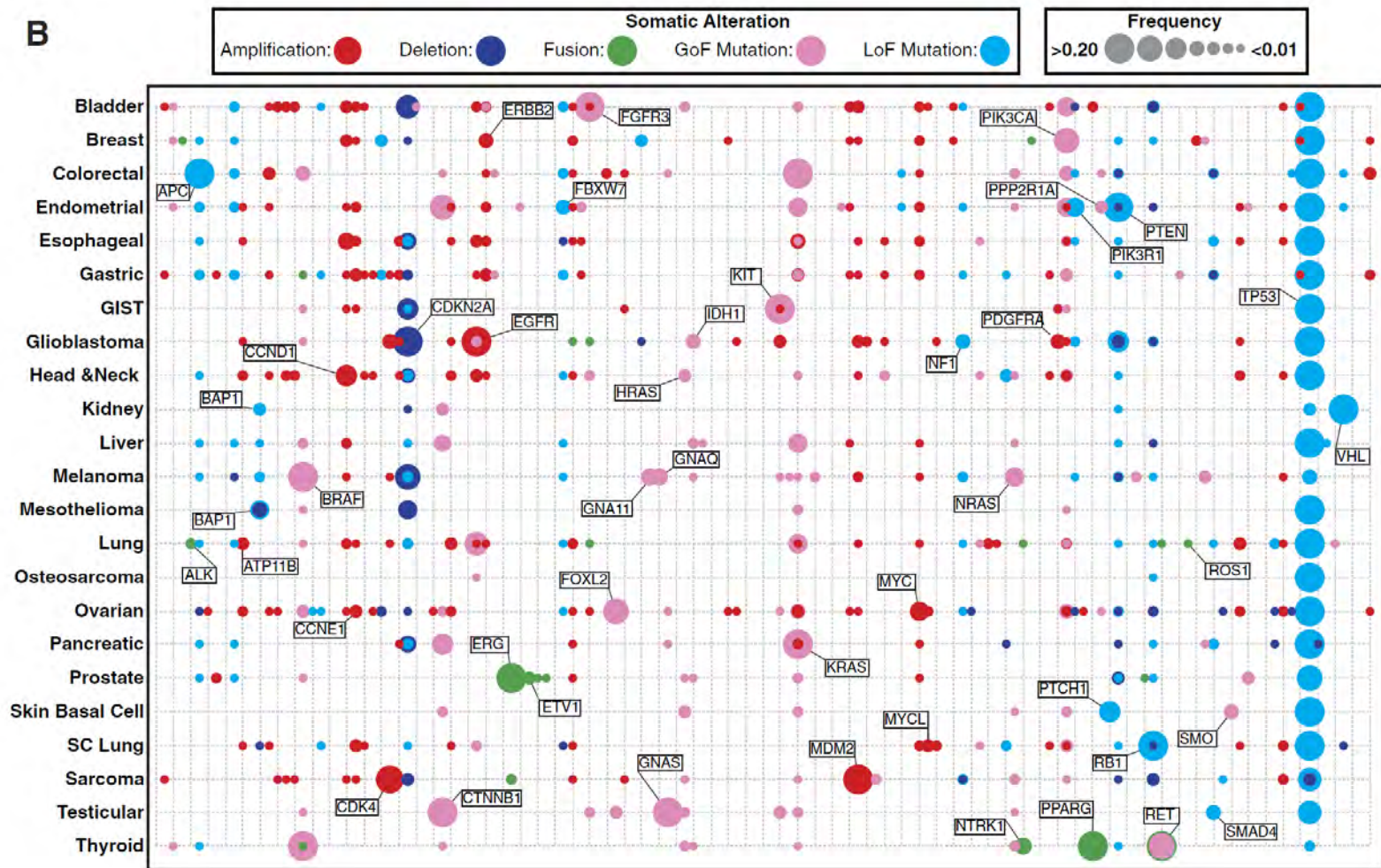


# CTD<sup>2</sup> Cancer Target Discovery and Development



<http://ocg.cancer.gov/programs/ctd2>

B



# NATIONAL CANCER INSTITUTE NCI-MATCH CLINICAL TRIAL

THIS PRECISION MEDICINE TRIAL  
EXPLORES TREATING PATIENTS  
BASED ON THE MOLECULAR  
PROFILES OF THEIR TUMORS

NCI-MATCH\* IS FOR ADULTS WITH:

- solid tumors (including rare tumors) and lymphomas
- tumors that no longer respond to standard treatment



ABOUT 5,000  
CANCER PATIENTS  
WILL BE  
SCREENED WITH A  
TUMOR BIOPSY



GENE SEQUENCING WILL LOOK FOR CHANGES IN 143 GENES

THE BIOPSIED  
TUMOR TISSUE  
WILL UNDERGO  
GENE  
SEQUENCING



IF A PATIENT'S TUMOR HAS A GENETIC ABNORMALITY THAT MATCHES ONE TARGETED BY A DRUG USED IN THE TRIAL, THE PATIENT WILL BE ELIGIBLE TO JOIN THE TREATMENT PORTION OF NCI-MATCH



NOT ALL PATIENTS WILL  
HAVE TUMORS WITH AN  
ABNORMALITY THAT  
MATCHES A DRUG BEING  
TESTED



PATIENTS WITH TUMORS  
THAT SHARE THE SAME  
GENETIC ABNORMALITY,  
REGARDLESS OF TUMOR  
TYPE, WILL RECEIVE THE  
DRUG THAT TARGETS  
THAT ABNORMALITY



\*NCI-Molecular Analysis for Therapy Choice

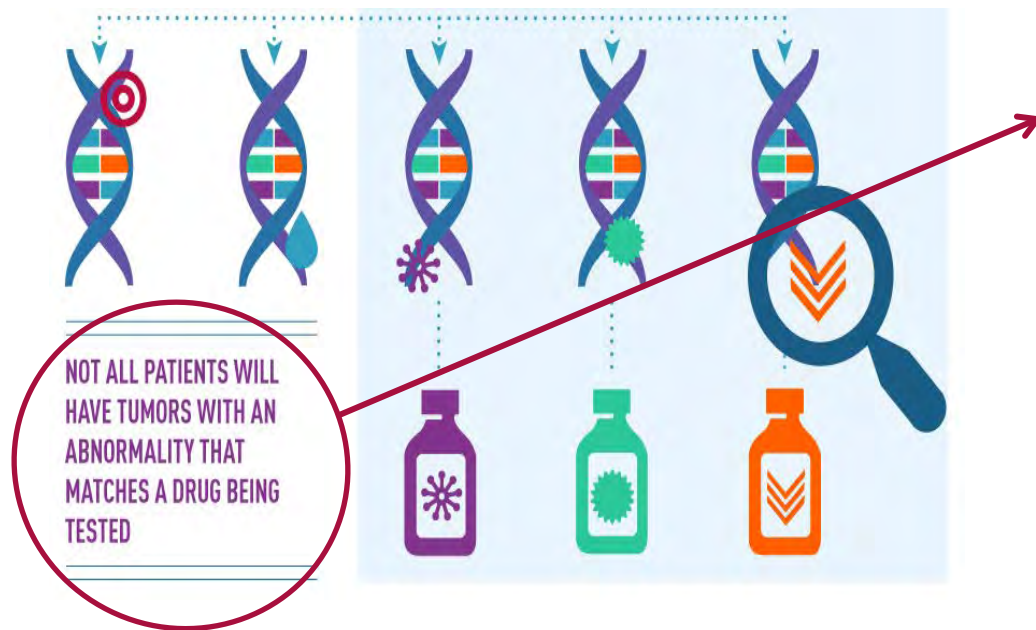
**August 2015**

[www.cancer.gov/nci-match](http://www.cancer.gov/nci-match)

To learn more, call 1-800-4-CANCER

**NCI** National Clinical  
Trials Network

# NCI-MATCH Central Screening Summary



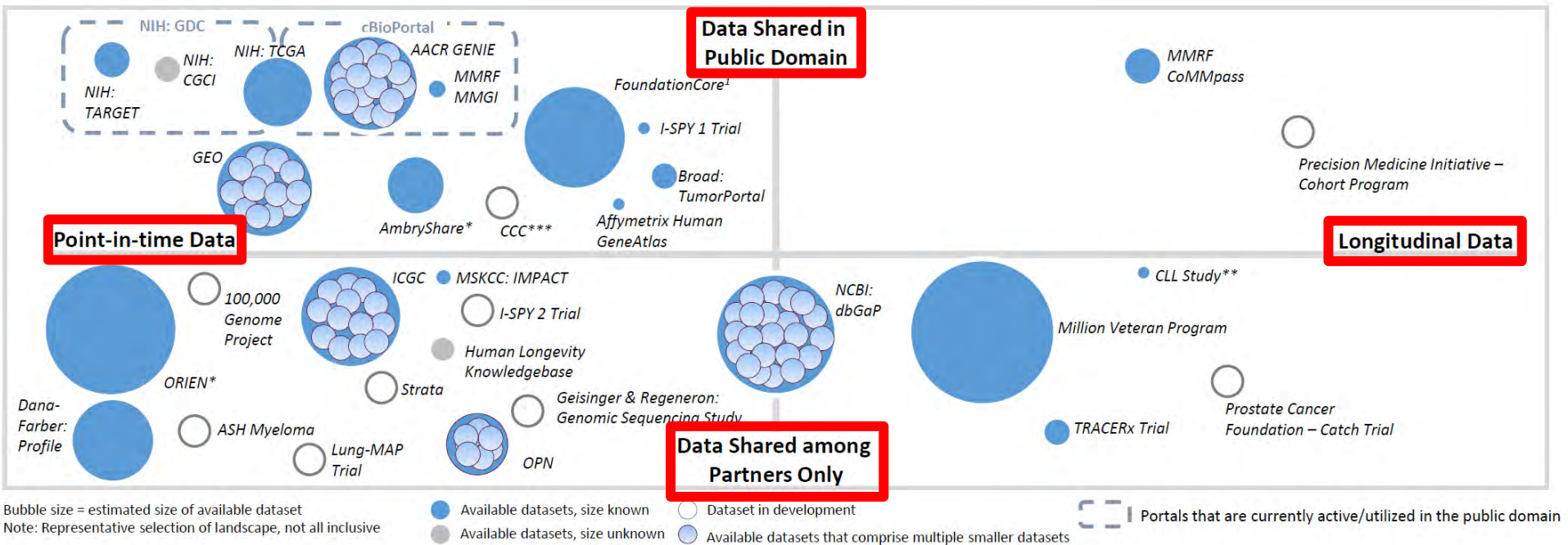
**6,397** patients registered  
**5,962** samples (93% seq success rate)

- Overall match rate: **18%**
  - Patients with a tumor gene abnormality that matched to one of the 30 treatment arms (992/5560 with testing completed)
- Enrollment rate: **69%**
  - Patients with a treatment assignment who enrolled (689/992)



2016

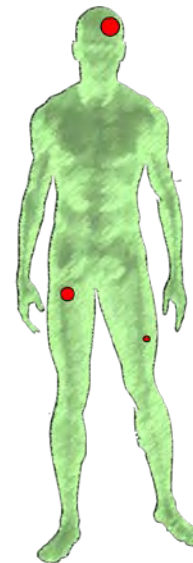
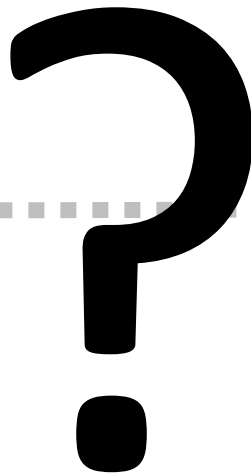
A photograph of a meeting in a grand, ornate room. A large, multi-bulb chandelier hangs from the ceiling. Several people are seated around a long table, and one man in a suit is standing and speaking. The room features arched doorways and windows with decorative elements. The year '2016' is overlaid in large green text with a horizontal line underneath.



**Opportunity exists to generate publicly available longitudinal data to drive understanding of genetic mutations and find Precision Medicine cures**

- \*Datasets have potential to include longitudinal data in the future
- \*\*Public/private information not available
- \*\*\*Serves as a portal also, has potential to include longitudinal data in the future

1. FoundationCore's pediatric cancer data has been made public



The Cancer Genome Atlas 



MSKCC IMPACT

NATIONAL CANCER INSTITUTE  
NCI-MATCH CLINICAL TRIAL

AACR GENIE

ORIEN

2006 - 2009



Pilot Phase (3 years)

- 3 tumor types
  - Brain (n = 206)
  - Ovarian (n = 489)
  - Lung (n = 178)

2009 - 2014



Expansion Phase (5 years)

- Original plan 10 tumor types
  - 2,500 cases (250 / tumor type)
- Accelerated plan ~30 tumor types
  - 10,000 cases (~500 / tumor type)

2014 - 2016



Pilot Phase (3 years)

- Launched 6/2016
- Harmonized TCGA data (1.5 PB)



Legacy TCGA data (2.6 PB)





## Foundation Medicine Shares Genomic Cancer Data with National Cancer Institute as Part of Cancer Moonshot and Precision Medicine Initiatives

June 29, 2016 | Foundation Medicine

At the June 29<sup>th</sup> Cancer Moonshot Summit, *Foundation Medicine* announced the release of **18,000** genomic profiles to the NCI GDC

Current

- ❖ TCGA 11,353 cases
- ❖ TARGET 3,178 cases

Coming soon

- ❖ Foundation Medicine 18,000 cases
- ❖ Cancer studies in dbGaP ~4,000 cases
- ❖ Multiple Myeloma RF ~1,000 cases
- ❖ AACR GENIE 59,000 cases

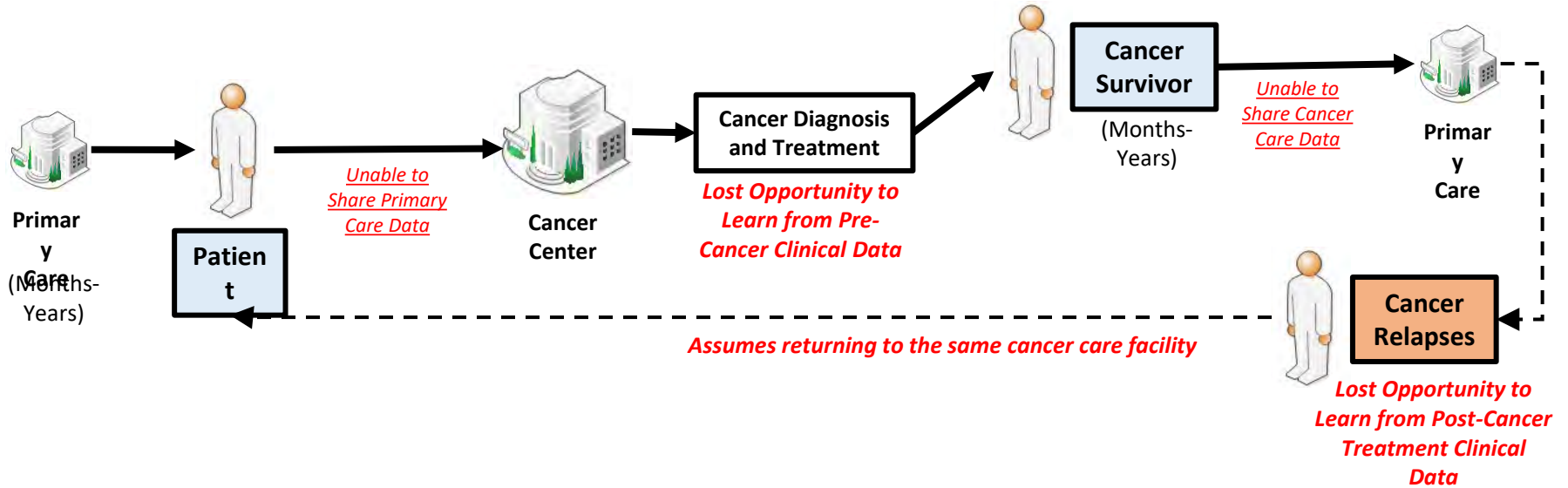
Planned (1-3 years)

- ❖ NCI-MATCH ~3,000 cases
- ❖ Clinical Trial Sequencing Program ~3,000 cases
- ❖ NCI-CPTAC ~1,000 cases
- ❖ Cancer Driver Discovery Program ~5,000 cases
- ❖ Human Cancer Models Initiative ~1,000 cases
- ❖ **APOLLO – VA and DoD ~8,000 cases**



**~117,000 cases**

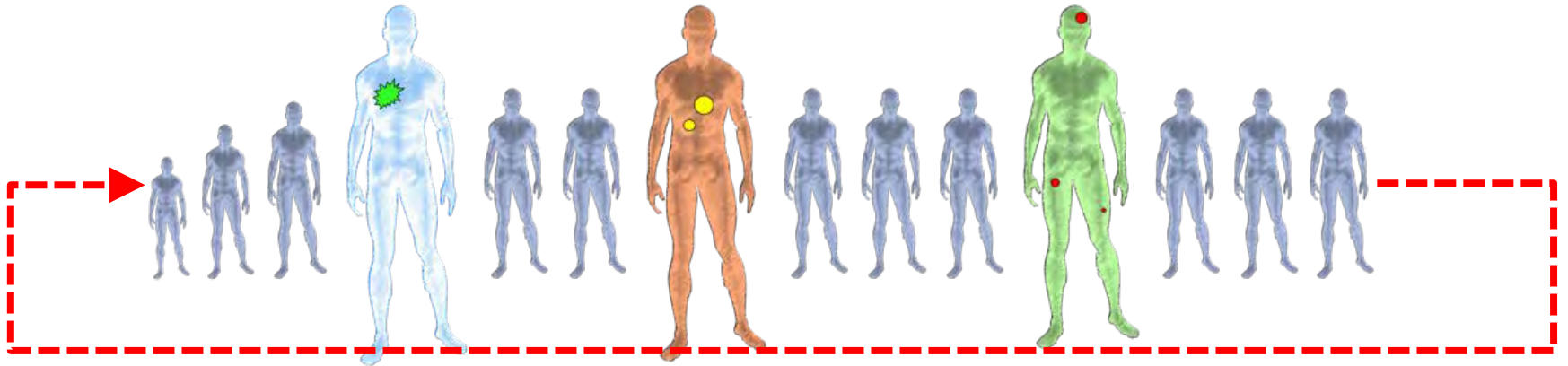
# Without a National Learning Healthcare System for Cancer



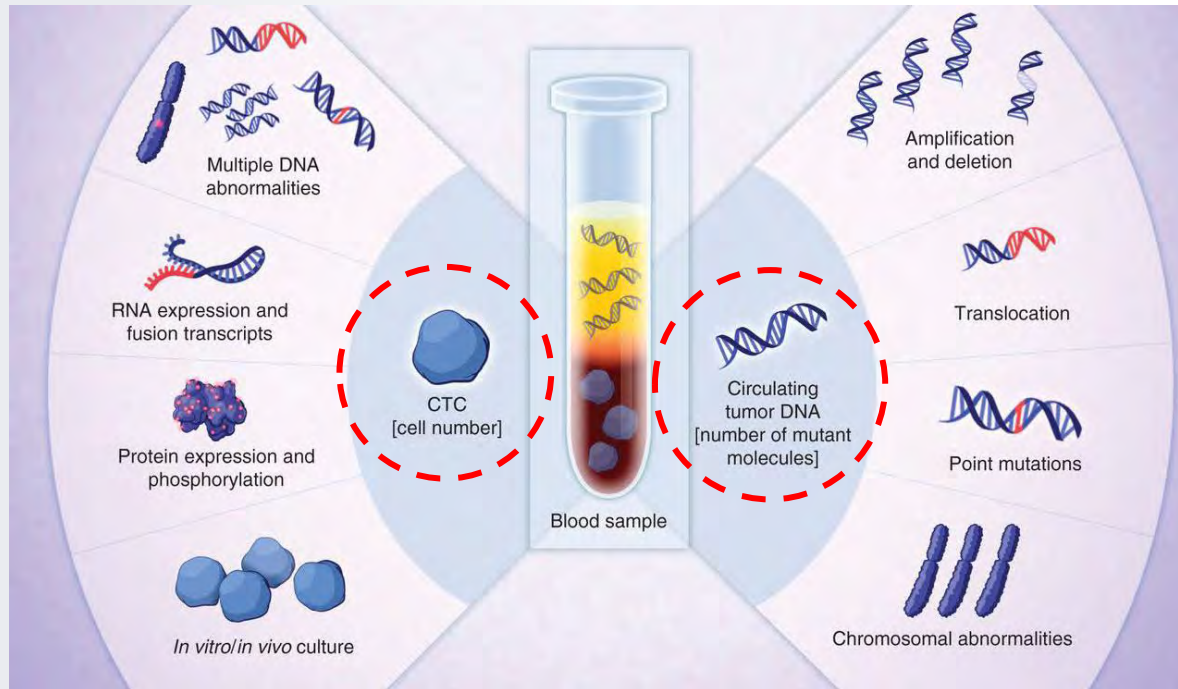
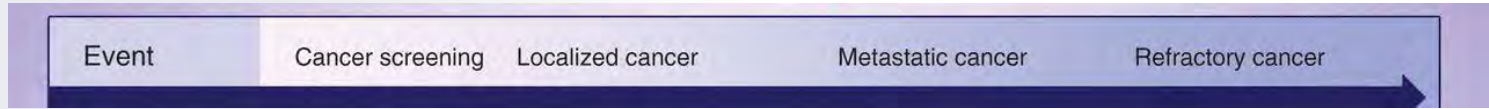
“~85% of cancer patients are first diagnosed and treated in community setting”



The nation's first integrated molecular driven cancer care early discovery-to-clinical health care implementation system for active duty (**AYAs**), beneficiary, and veteran cancer patients.



# What about liquid biopsies?



HISTORY

**BloodPAC**

BLOOD PROFILING • ATLAS IN CANCER

# White House Cancer Moonshot

On October 17, 2016, in response to the Vice President's call to action and in alignment with the goals of the White House Cancer Moonshot, representatives from government, academic, pharmaceutical and diagnostic companies launched the Blood Profiling Atlas in Cancer pilot in pursuit of creating an open database for liquid biopsies to potentially accelerate the development of safe and effective blood profiling diagnostic technologies for patient benefit.



BLOODPAC COLLABORATORS



[lauren@bloodPAC.org](mailto:lauren@bloodPAC.org)

# BloodPAC Pre-Analytical Requirements

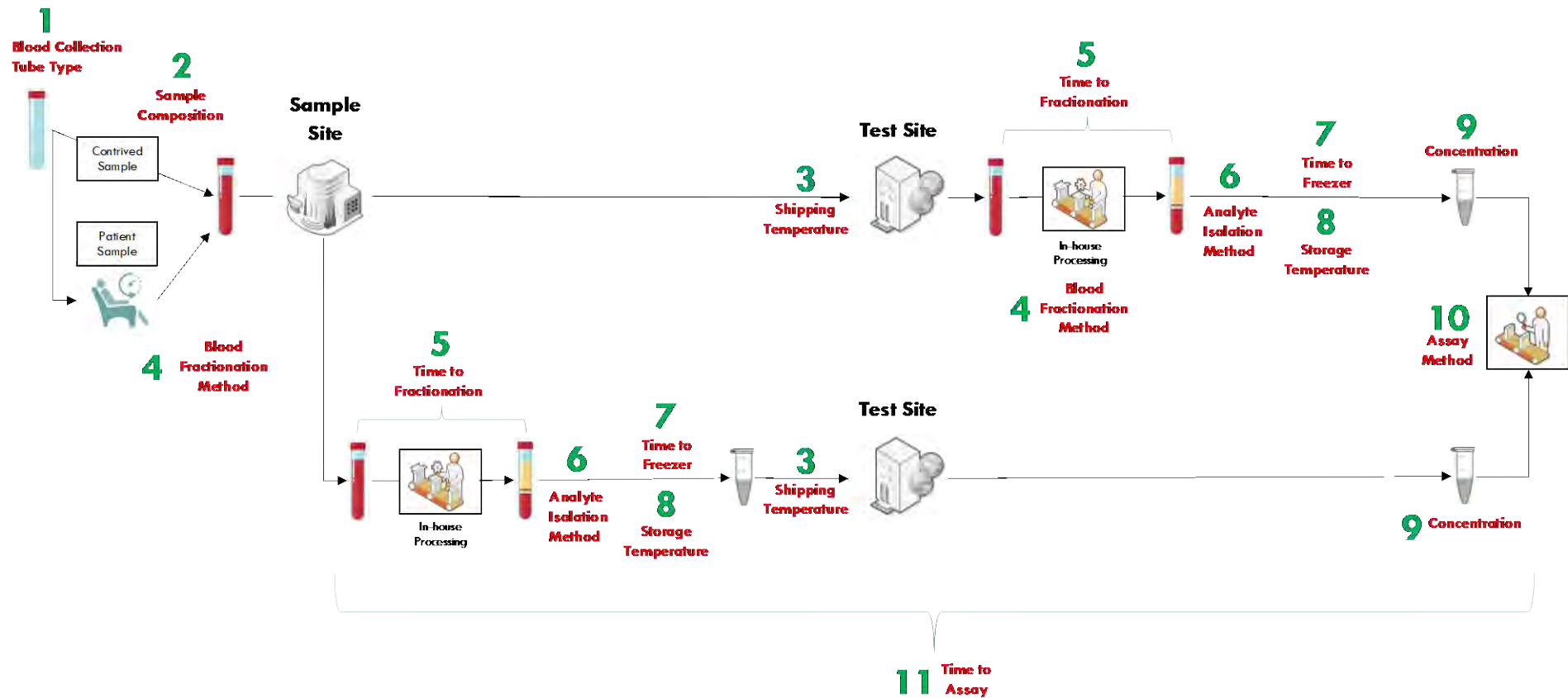


April 2020

## Minimum Technical Data Elements for Liquid Biopsy Data Submitted to Public Databases

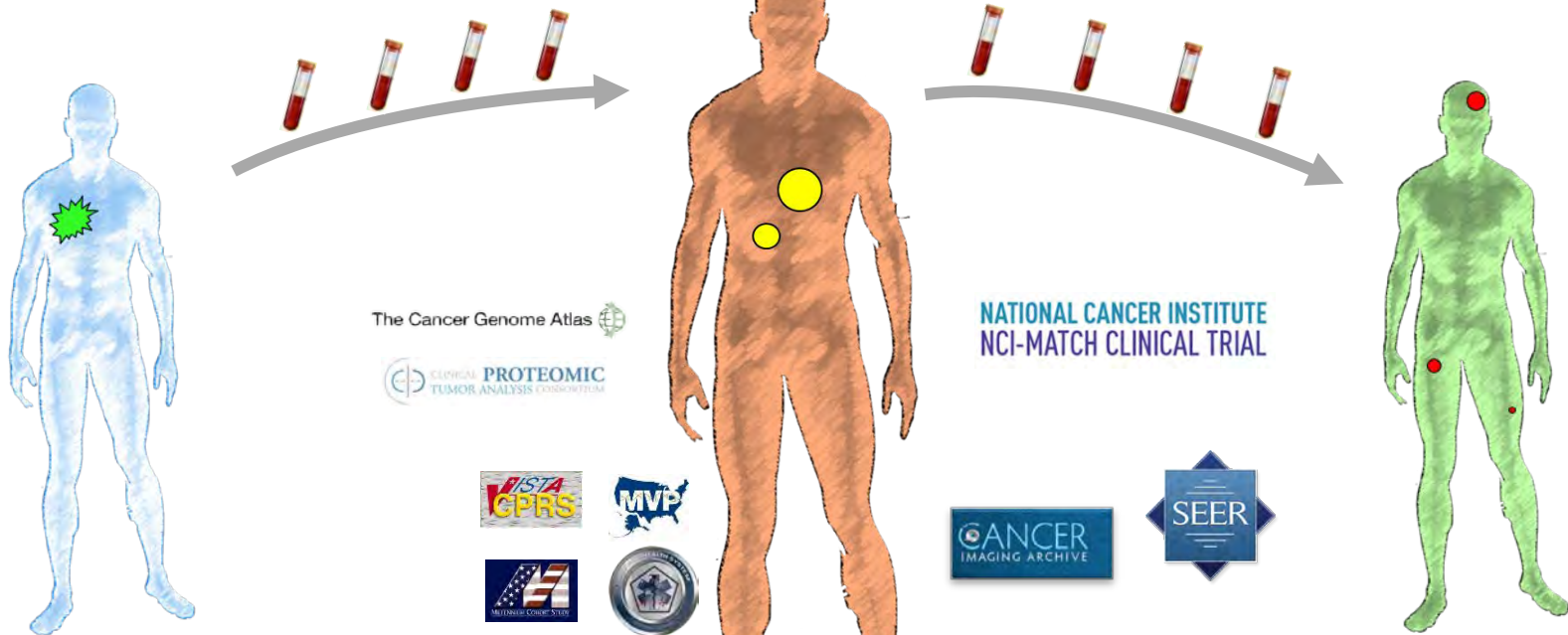
Phillip G. Febbo<sup>1,†</sup>, Anne-Marie Martin<sup>2,†</sup>, Howard I. Scher<sup>3,†</sup>, J. Carl Barrett<sup>4</sup>, Julia A. Beaver<sup>5</sup>, Paul J. Beresford<sup>6</sup>, Gideon M. Blumenthal<sup>4</sup>, Kelli Bramlett<sup>7</sup>, Carolyn Compton<sup>8</sup>, Ryan Dittamore<sup>9</sup>, David A. Eberhard<sup>1</sup>, Daniel Edelstein<sup>10</sup>, James Godsey<sup>1</sup>, Andrew Gruen<sup>11</sup>, Sean E. Hanlon<sup>12</sup>, James Hicks<sup>13</sup>, Daniel Hovelson<sup>14</sup>, Melanie Hullings<sup>3</sup>, Donald Johann<sup>15</sup>, Justin Johnson<sup>4</sup>, Anand Kolatkar<sup>13</sup>, Peter Kuhn<sup>13</sup>, Rebecca Levine<sup>16</sup>, Jean-Francois Martini<sup>17</sup>, Daniel P. Miller<sup>18</sup>, Carissa Moore<sup>19</sup>, Bryan Moy<sup>11</sup>, Anand Pathak<sup>20</sup>, Reena Philip<sup>20</sup>, David Reese<sup>21</sup>, Wendy Royalty<sup>19</sup>, Matthew Ryder<sup>10</sup>, Hakan Sakul<sup>17</sup>, Lea M. Salvatore<sup>22</sup>, Andrew Schade<sup>23</sup>, Angela Silvestro<sup>24</sup>, John K. Simmons<sup>25</sup>, Jonathan Simons<sup>16</sup>, Seema Singh Bhan<sup>26</sup>, Matthew D. Smalley<sup>27</sup>, Stella B. Somiari<sup>28</sup>, AmirAli Talasaz<sup>29</sup>, Muneesh Tewari<sup>14</sup>, Hsian-Rong Tseng<sup>27</sup>, Jake Vinson<sup>30</sup>, Walt Wells<sup>22</sup>, Allison Welsh<sup>26</sup>, Robert L. Grossman<sup>18,\*</sup>, †, Jerry S. H. Lee<sup>13,‡</sup> and Lauren C. Leiman<sup>31,‡</sup>





# BloodPAC

BLOOD PROFILING ATLAS IN CANCER



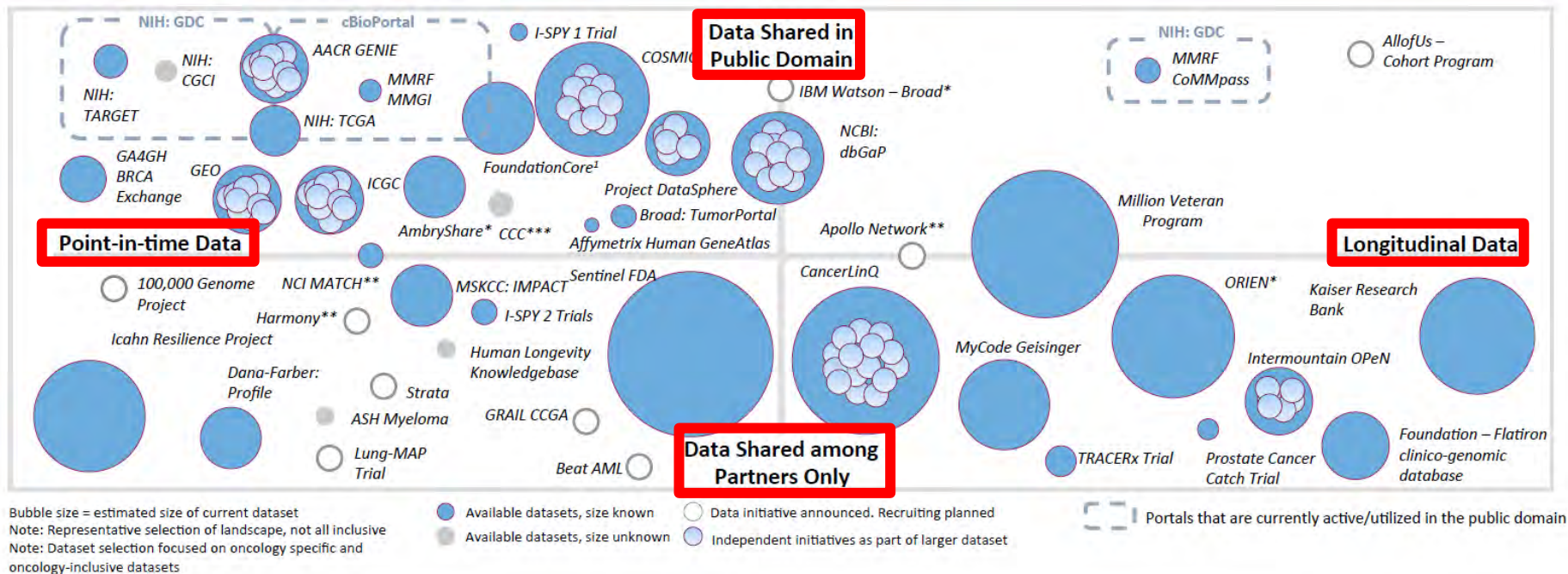
## APOLLO



# 2017 and beyond

# Oncology Precision Medicine Data Landscape:

## December 2016 Update



*Opportunity exists to generate publicly available longitudinal data to drive understanding of genetic mutations and find Precision Medicine cures*

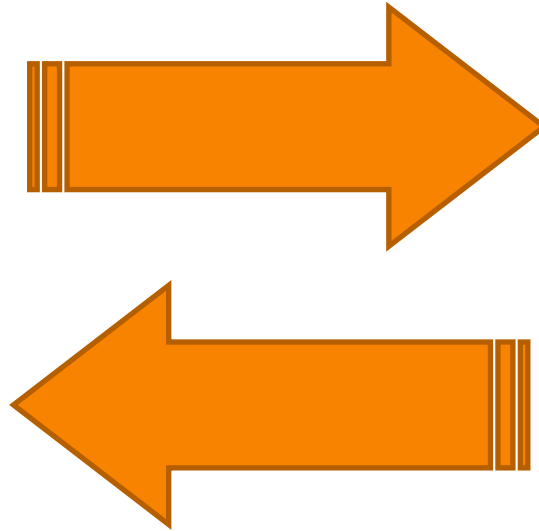
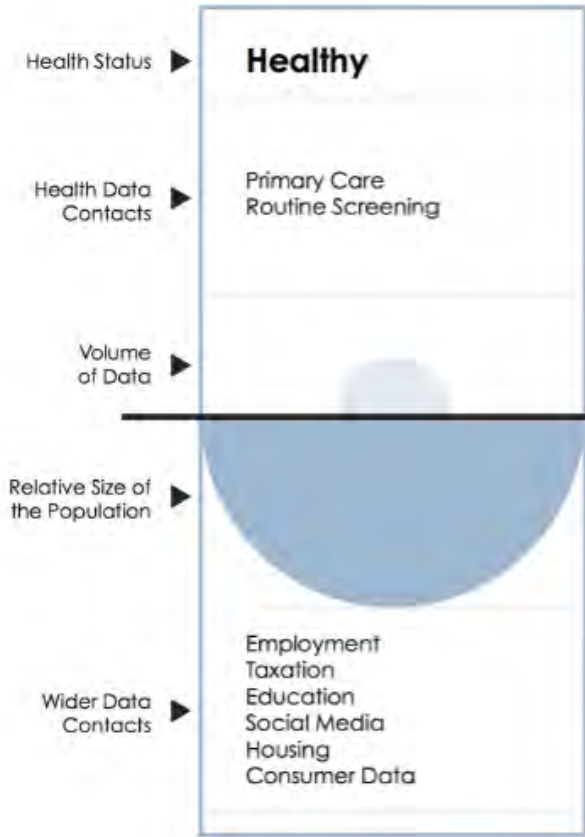
\*Datasets have potential to include longitudinal data in the future

\*\*Public/private information not available

† \*\*\*Serves as a portal also, has potential to include longitudinal data in the future

1. FoundationCore's pediatric cancer data has been made public

# Precision Health



# Precision Oncology



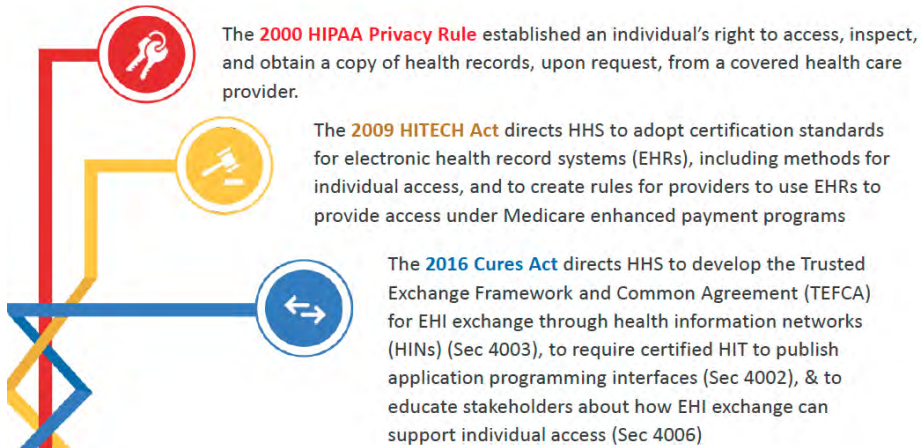
# Precision Health

# Reality

# Precision Oncology



# Promote **patient access** to their health information in a “**single, longitudinal** format that is **easy to understand, secure,** and **updated automatically.**”



## Supports two types of API-enabled services:

- » Services for which a **single patient's data** is the focus
- » Services for which **multiple patients' data** are the focus



<https://www.healthit.gov/NPRM>



# 2017

FDA News Release

## FDA approves first cancer treatment for any solid tumor with a specific genetic feature

This screenshot shows the top portion of the FDA website's news release page. The header includes the FDA logo and a search bar. The breadcrumb trail reads 'Home > News & Events > Newsroom > Press Announcements'. The main heading is 'FDA News Release' followed by the article title: 'FDA approves first cancer treatment for any solid tumor with a specific genetic feature'.

FDA News Release

## FDA announces approval, CMS proposes coverage of first breakthrough-designated test to detect extensive number of cancer biomarkers

This screenshot shows another news release from the FDA website. The breadcrumb trail is 'Home > News & Events > Newsroom > Press Announcements'. The main heading is 'FDA News Release' followed by the article title: 'FDA announces approval, CMS proposes coverage of first breakthrough-designated test to detect extensive number of cancer biomarkers'.

Newsroom

## CMS finalizes coverage of Next Generation Sequencing tests, ensuring enhanced access for cancer patients

This screenshot shows the CMS.gov Newsroom page. The breadcrumb trail is 'Home > News & Events > Newsroom > Press Announcements'. The main heading is 'Newsroom' followed by the article title: 'CMS finalizes coverage of Next Generation Sequencing tests, ensuring enhanced access for cancer patients'.

# 2018

FDA News Release

## FDA approves first treatment for breast cancer with a certain inherited genetic mutation

This screenshot shows a news release from the FDA website. The breadcrumb trail is 'Home > News & Events > FDA Newsroom > Press Announcements > FDA Approves First Treatment for Breast Cancer with a Certain Inherited Genetic Mutation'. The main heading is 'FDA News Release' followed by the article title: 'FDA approves first treatment for breast cancer with a certain inherited genetic mutation'.

Statement by FDA Commissioner Scott Gottlieb, M.D., on FDA's new steps to modernize drug development, improve efficiency and promote innovation of targeted therapies

This screenshot shows the 'News & Events' section of the FDA website. The breadcrumb trail is 'Home > News & Events > Newsroom > Press Announcements'. The main heading is 'Statement by FDA Commissioner Scott Gottlieb, M.D., on FDA's new steps to modernize drug development, improve efficiency and promote innovation of targeted therapies'.

FDA News Release

## FDA approves an oncology drug that targets a key genetic driver of cancer, rather than a specific type of tumor

This screenshot shows a news release from the FDA website. The breadcrumb trail is 'Home > News & Events > Newsroom > Press Announcements'. The main heading is 'FDA News Release' followed by the article title: 'FDA approves an oncology drug that targets a key genetic driver of cancer, rather than a specific type of tumor'.



# 2019



# 2020



# Patient Trajectory Comprised of All Relevant Cancer Case and Cofactor Trajectories

Cancer Case #1 Trajectory



Cancer Case #2 Trajectory



Comorbidity Cofactor Trajectory






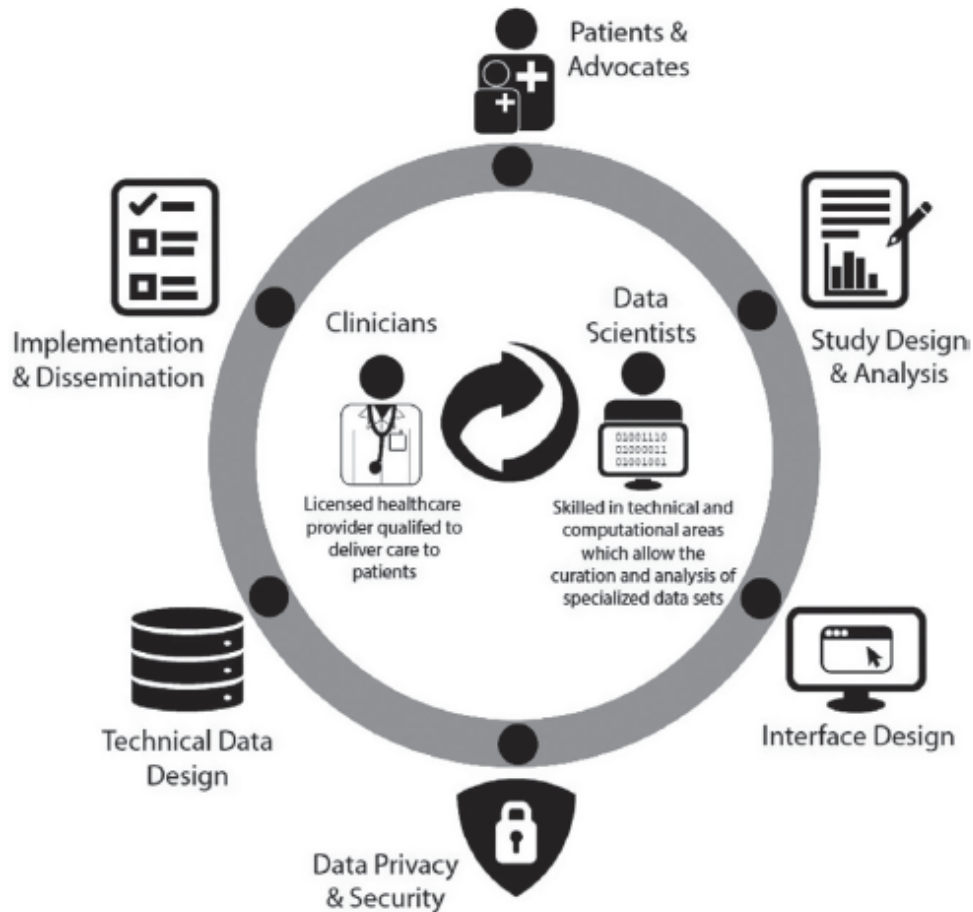
Residence / Geospatial Cofactor Trajectory



**Your Insights Matter  
and Are Critical**

# Harnessing the Power of Collaboration and Training Within Clinical Data Science to Generate Real-World Evidence in the Era of Precision Oncology

Donna R. Rivera<sup>1,\*</sup>, Jerry S. H. Lee<sup>2,3,4</sup> , Elizabeth Hsu<sup>5</sup> , Muin J. Khoury<sup>6</sup>, Frank Meng<sup>7,8</sup>, Ofelia Olivero<sup>9</sup>, Lynne Penberthy<sup>1</sup> and Georgia D. Tourassi<sup>10</sup> 

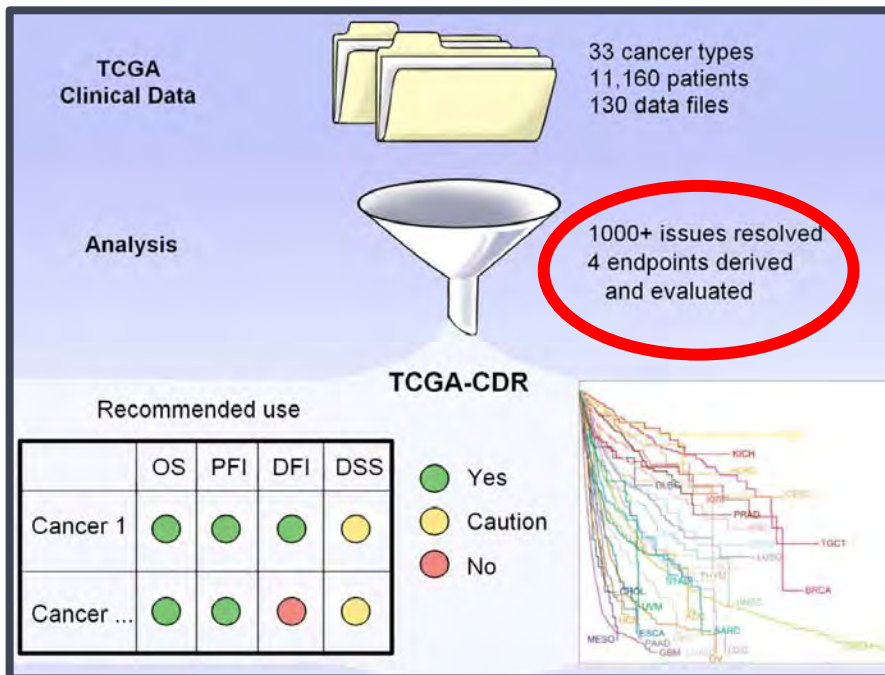


# Making Data FAIR & Transparent



Findable  
Accessible  
Interoperable  
Reusable

# An Integrated TCGA Pan-Cancer Clinical Data Resource to Drive High-Quality Survival Outcome Analytics



**Table 3. Assessment and Recommended Use of the Endpoints of OS, PFI, DFI, and DSS**

Type	N	OS (Accurately Defined)			PFI (Accurately Defined)			DFI (Accurately Defined)			DSS (Approximately Defined)			
		Use	Event	Censored	Use	Event	Censored	Use	Event	Censored	Use	Event	Censored	
ACC	92	Yes	34	58	Yes	49	43	Caution	14	39	Yes	app.	30	60
BLCA	412	Yes	181	231	Yes	177	235	Yes	32	157	Yes	app.	124	274
BRCA	1097	Caution	151	946	Yes	145	952	Yes	84	869	Caution	App.*	83	995
CESC	307	Yes	71	236	Yes	71	236	Yes	26	150	Yes	acc.	54	249
CHOL	45	Yes	22	23	Yes	23	22	Caution	10	18	Caution	app.*	18	24
COAD	459	Yes	102	357	Yes	123	336	Yes	24	166	Yes	app.	64	379
DLBC	48	No	9	39	Caution	12	36	No	4	24	No		4	44
ESCA	185	Yes	77	108	Yes	87	98	Yes	23	66	Yes	app.	51	132
GBM	596	Yes	491	105	Yes	506	90	No	2	1	Yes	app.	445	110
PCPG	179	No	6	173	No	21	158	No	4	156	No		4	175
PRAD	500	Caution	10	490	Yes	93	407	Yes	30	310	No		5	493
READ	170	Caution	26	144	Yes	39	131	No	7	41	Caution	app.*	15	149

# Tempus and Leidos Biomedical Research Inc. Launch Effort to Enhance The Cancer Genome Atlas

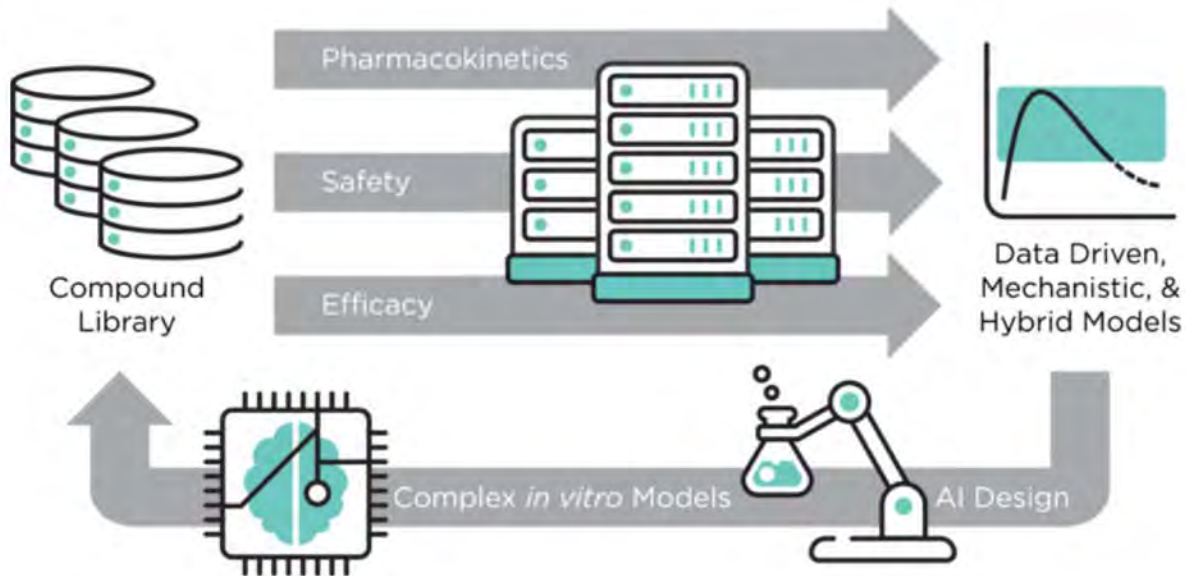
6/11/2019

CHICAGO, June 11, 2019 (GLOBE NEWSWIRE) — Tempus, a technology company advancing precision medicine through the collection and analysis of molecular and clinical data at scale announced today that it was selected by Leidos Biomedical Research Inc. (Leidos Biomed) to abstract and structure follow-up clinical data for The Cancer Genome Atlas (TCGA) Project for submission to the National Cancer Institute's Genomic Data Commons (GDC).

There are 230 distinct investigators that contributed samples to TCGA, with 10,000 cases represented by 100 contributing institutions. While the genomic data from TCGA has been transformative for research, continuing to enrich the dataset can increase its usefulness for researchers and clinicians. To make the TCGA resource even more useful, Tempus is working with Leidos Biomed on behalf of the NCI to obtain up-to-date medical records for clinical data abstraction.

As part of this collaboration, Tempus will reach out to these contributors and/or their institutions to obtain up-to-date medical records for clinical data abstraction. Then, using its Tempus O platform, which employs a sophisticated combination of technologies, such as optical character recognition and natural language processing algorithms, along with qualified healthcare professionals Tempus will clean and structure the collected data, which will then get paired with the corresponding molecular data. This 'upgraded' TCGA dataset will then be made publicly available for researchers around the world, as the current TCGA data is today.

# ATOM



## For more information:

- **Eric Stahlberg, Ph.D.**

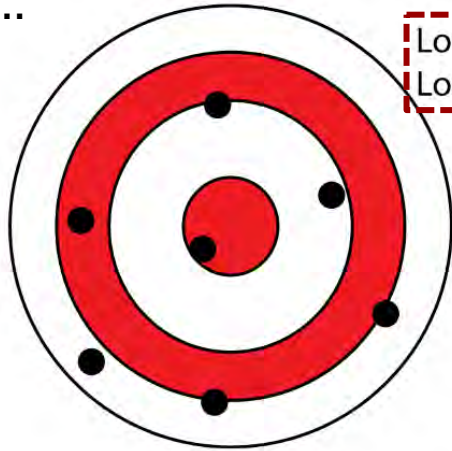
ATOM Co-lead & Director, Biomedical Informatics & Data Science

Frederick National Laboratory

[Eric.Stahlberg@nih.gov](mailto:Eric.Stahlberg@nih.gov)



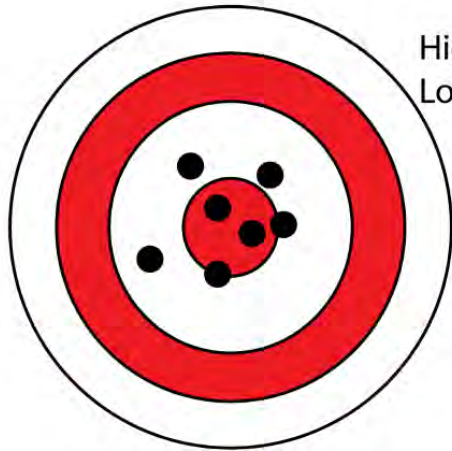
## Final thought...



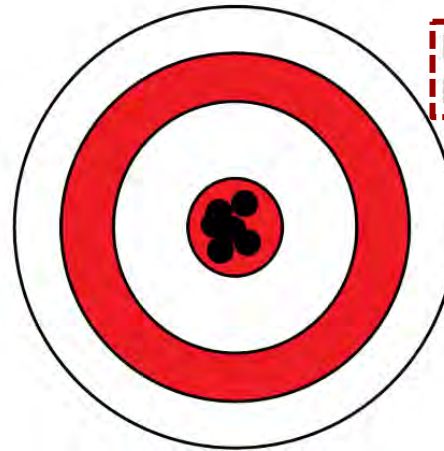
Low accuracy  
Low precision



Low accuracy  
High precision



High accuracy  
Low precision



High accuracy  
High precision

# Questions?



[dr.jerry@usc.edu](mailto:dr.jerry@usc.edu)



*Service to others is the rent you pay for your room here on earth* – Muhammad Ali



# Thank You!

**SC CTSI** | [www.sc-ctsi.org](http://www.sc-ctsi.org)

**Phone:** (323) 442-4032

**Email:** [info@sc-ctsi.org](mailto:info@sc-ctsi.org)

**Twitter:** @SoCalCTSI

**Cite us:** This work was supported by grants UL1TR001855 and UL1TR000130 from the National Center for Advancing Translational Science (NCATS) of the U.S. National Institutes of Health. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

## Recommended References

- ▶ Miller DT, Lee K, Chung WK, Gordon AS, Herman GE, Klein TE, Stewart DR, Amendola LM, Adelman K, Bale SJ, Gollob MH, Harrison SM, Hershberger RE, McKelvey K, Richards CS, Vlangos CN, Watson MS, Martin CL; **ACMG Secondary Findings Working Group. ACMG SF v3.0 list for reporting of secondary findings in clinical exome and genome sequencing: a policy statement of the American College of Medical Genetics and Genomics (ACMG).** Genet Med. 2021
- ▶ Roychowdhury S, Iyer MK, Robinson DR, Lonigro RJ, Wu YM, Cao X, Kalyana-Sundaram S, Sam L, Balbin OA, Quist MJ, Barrette T, Everett J, Siddiqui J, Kunju LP, Navone N, Araujo JC, Troncoso P, Logothetis CJ, Innis JW, Smith DC, Lao CD, Kim SY, Roberts JS, Gruber SB, Pienta KJ, Talpaz M, Chinnaiyan AM. **Personalized oncology through integrative high-throughput sequencing: a pilot study.** Sci Transl Med. 2011

# Innovation to Translation:

## Role of Genomics in Medical Product Development

Robert E. Pacifici, Ph.D.  
Chief Scientific Officer  
CHDI Foundation

**CHDI**Accelerating therapeutic  
development for  
Huntington's disease

## Innovation to Translation:

### *Role of Genomics in Medical Product Development*

# *Applied Genomics and Target Identification*

***Robert E. Pacifici, Ph.D.***

*Chief Scientific Officer*

*CHDI Foundation, Inc/ CHDI Management, Inc.*



# We all share the same genome, but...



...small changes can make a big difference!



Phenotypes go beyond appearance and can also dramatically impact health



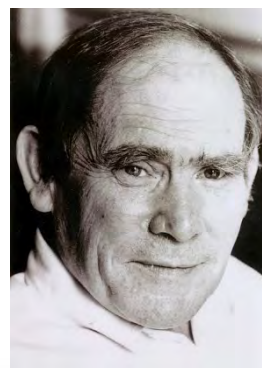
1932 -1984  
52 years

“Unlucky genes”



1874 -1965  
90 years

“Lucky genes”



Sydney Brenner

MB427: *Biotechnology and its Social Impact*  
Princeton Course ~1995  
“Uncle Winston’s Genome”

“Identifying Disease genes is fundamental—  
the opportunity for new medicines is finding  
the genes for luck”



**nature  
biotechnology**

## Analysis of 589,306 genomes identifies individuals resilient to severe Mendelian childhood diseases

Rong Chen<sup>1,2,12</sup>, Lisong Shi<sup>1,2,12</sup>, Jörg Hakenberg<sup>1,2</sup>, Brian Naughton<sup>3,11</sup>, Pamela Sklar<sup>1,2,4</sup>, Jianguo Zhang<sup>5</sup>, Hanlin Zhou<sup>5</sup>, Lifeng Tian<sup>6</sup>, Om Prakash<sup>7</sup>, Mathieu Lemire<sup>8</sup>, Patrick Sleiman<sup>6</sup>, Wei-yi Cheng<sup>1,2</sup>, Wanting Chen<sup>5</sup>, Hardik Shah<sup>1,2</sup>, Yulan Shen<sup>5</sup>, Menachem Fromer<sup>1,2,4</sup>, Larsson Omberg<sup>9</sup>, Matthew A Deardorff<sup>6</sup>, Elaine Zackai<sup>6</sup>, Jason R Bobe<sup>1,2</sup>, Elissa Levin<sup>1,2</sup>, Thomas J Hudson<sup>8</sup>, Leif Groop<sup>7</sup>, Jun Wang<sup>10</sup>, Hakon Hakonarson<sup>6</sup>, Anne Wojcicki<sup>3</sup>, George A Diaz<sup>1,2</sup>, Lisa Edelmann<sup>1,2</sup>, Eric E Schadt<sup>1,2</sup> & Stephen H Friend<sup>1,2,9</sup>

Genetic studies of human disease have traditionally focused on the detection of disease-causing mutations in afflicted individuals. Here we describe a complementary approach that seeks to identify healthy individuals resilient to highly penetrant forms of genetic childhood disorders. A comprehensive screen of 874 genes in 589,306 genomes led to the identification of 13 adults harboring mutations for 8 severe Mendelian conditions, with no reported clinical manifestation of the indicated disease. Our findings demonstrate the promise of broadening genetic studies to systematically search for well individuals who are buffering the effects of rare, highly penetrant, deleterious mutations. They also indicate that incomplete penetrance for Mendelian diseases is likely more common than previously believed. The identification of resilient individuals may provide a first step toward uncovering protective genetic variants that could help elucidate the mechanisms of Mendelian diseases and new therapeutic strategies.



# Innovation in DNA Sequencing technology is driving a revolution in Translation

- Comprehensive

- Unbiased whole genome sequencing
- Databases populated from large number of individuals

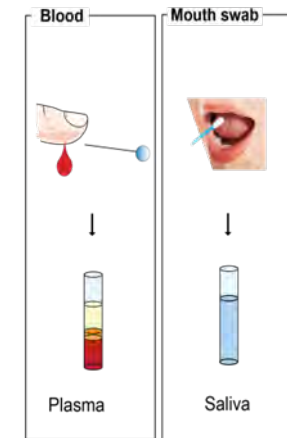


- Non-invasive

- Unlike other biopsies, very easy to obtain DNA
- A little bit goes a long way

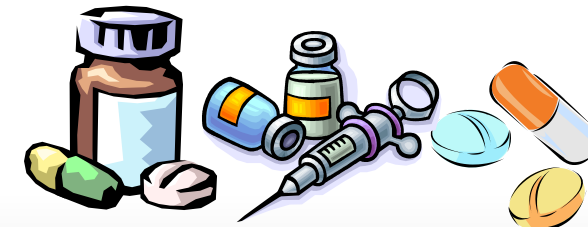
- Continuous improvement on all metrics

- ↓Cost, ↑Throughput, ↓Cycle-time, ↑Quality

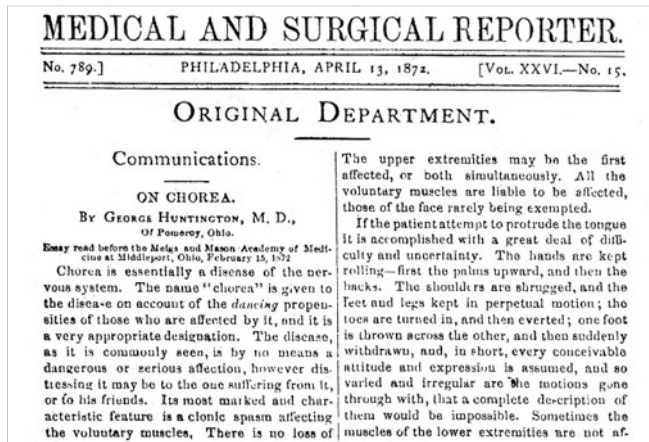


- Genomics can impact drug discovery & development at all stages in a myriad of ways

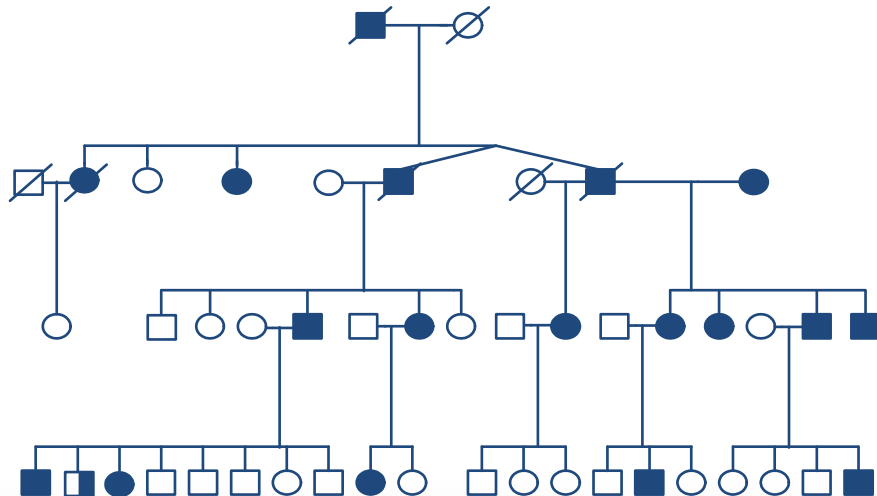
- Diagnosis
- Target Identification
- Prognosis
- Stratification



# Huntington's Disease: What I work on & a good example!



- **George Huntington**
  - Family doctor on Long Island
- **Publishes his one and only paper in 1872**
  - Describes the movement disorder as chorea
- **HD is a hereditary disease**
  - It runs in families
  - You don't "catch" it
  - You are not possessed by demons
- **Autosomal dominant**
  - Males and females have same chance
  - No "recessive" carriers
  - Children have a 50:50 chance



# The Hunt Begins to Clone the Causal Gene



Short Arm of  
Chromosome 4  
(4p16.3)

## A polymorphic DNA marker genetically linked to Huntington's disease

James F. Gusella<sup>\*</sup>, Nancy S. Wexler<sup>†</sup>, P. Michael Conneally<sup>‡</sup>, Susan L. Naylor<sup>§</sup>,  
Mary Anne Anderson<sup>¶</sup>, Rudolph E. Tanzi<sup>||</sup>, Paul C. Watkins<sup>||</sup>, Kathleen Ottina<sup>||</sup>,  
Margaret R. Wallace<sup>||</sup>, Alan Y. Sakaguchi<sup>||</sup>, Anne B. Young<sup>||</sup>, Ira Shoulson<sup>||</sup>,  
Ernesto Bonilla<sup>||</sup> & Joseph B. Martin<sup>||</sup>

<sup>\*</sup>Neurology Department and Genetics Unit, Massachusetts General Hospital and Harvard Medical School, Boston, Massachusetts 02114, USA

<sup>†</sup>Hereditary Disease Foundation, 9701 Wilshire Blvd, Beverly Hills, California 90212, USA

<sup>‡</sup>Department of Medical Genetics, Indiana University Medical Center, Indianapolis, Indiana 46223, USA

<sup>§</sup>Department of Human Genetics, Roswell Park Memorial Institute, Buffalo, New York 14263, USA

<sup>||</sup>Venezuela Collaborative Huntington's Disease Project<sup>\*</sup>

- Monogenic with 100% penetrance
  - Everyone that has the “bad” gene will get HD
  - Everyone that gets HD has the “bad” gene
- Relatively rare disease (1:10,000)
  - Meets with orphan designation
  - Familial link allows ID of concentrated cohorts
  - Second disease gene to be positionally cloned
- Linkage analysis finds the marker in 1983
- Full gene sequence in 1993



Cell

Vol. 72, 971–983, March 26, 1993, Copyright © 1993 by Cell Press

## A Novel Gene Containing a Trinucleotide Repeat That Is Expanded and Unstable on Huntington's Disease Chromosomes

The Huntington's Disease Collaborative  
Research Group<sup>\*</sup>



# Big deal, you know the gene...so what?

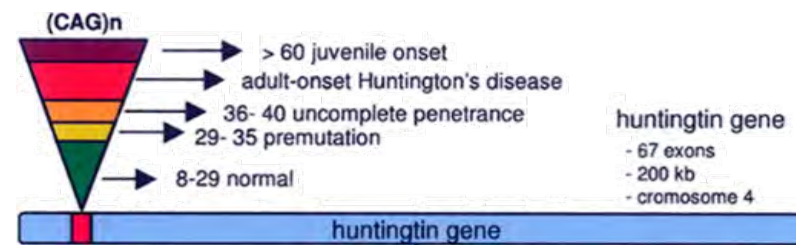


- Huntington's disease isn't caused by a point mutation

- Polymorphic expansion of  $(CAG)_n$
- Trinucleotide repeat or poly glutamine disorder
- (Still) unknown function
- Probably gain of tox versus loss of function
  - Heterozygotes (Dx and KO), Homozygotes

- Enables genetic testing (diagnostic)

- Predict who is going to get it
- Estimate age of onset



# Big deal, you know the gene...so what?

- Huntington's disease isn't caused by a point mutation

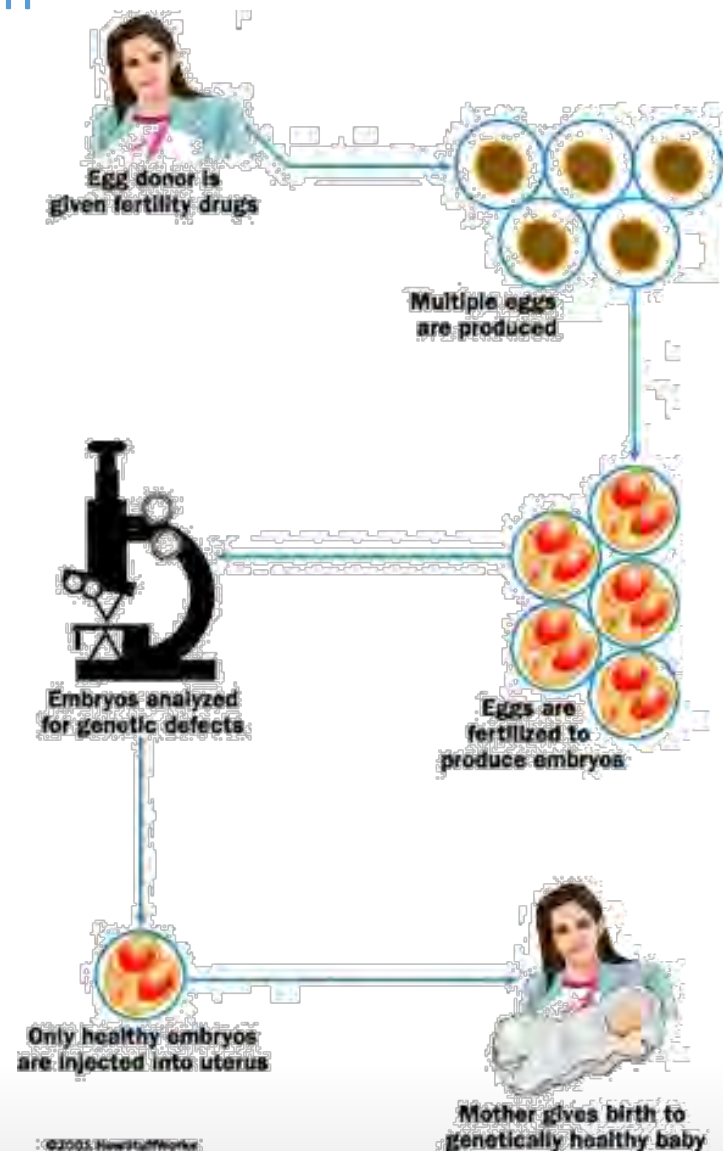
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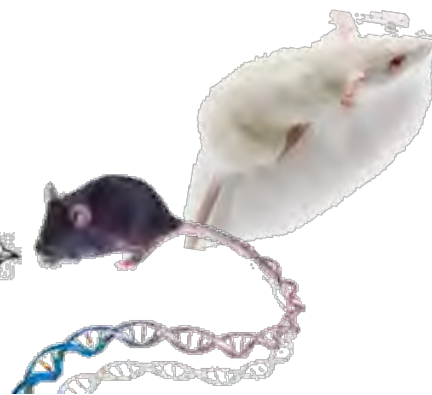
- You can do family planning

- Do I want to take a 50% risk?
- Pre-Implantation Genetic Diagnosis





# Big deal, you know the gene...so what?



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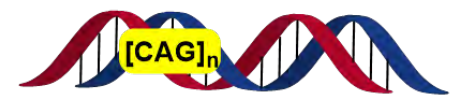
- You can do family planning

- Do I want to take a 50% risk?
- Pre-Implantation Genetic Diagnosis

- You can make animal models of HD

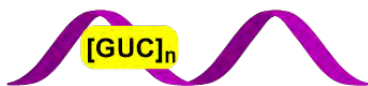
- Insert the "bad" gene
- All models are "wrong", some are "useful"

# Directly enable discovery of HTT lowering drugs



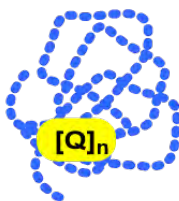
- AAV-ZFP
- CRISPR-Cas9
- Small molecule transcription blocker

Transcription



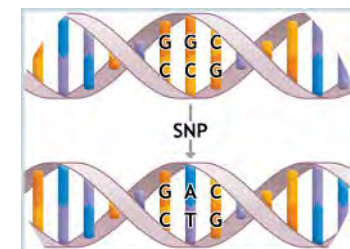
- AAV-miRNA
- ASO
- siRNAs
- Small molecule translation blocker

Translation



- Degradation enhancers

Aggregation



Neuron  
Article



## Sustained Therapeutic Reversal of Huntington's Disease by Transient Repression of Huntingtin Synthesis

Holly B. Kordasiewicz,<sup>1</sup> Lisa M. Stanek,<sup>2</sup> Edward V. Wancewicz,<sup>3</sup> Curt Mazur,<sup>3</sup> Melissa M. McAlonis,<sup>1</sup> Kimberly A. Pytel,<sup>1</sup> Jonathan W. Artates,<sup>1</sup> Andreas Weiss,<sup>4</sup> Seng H. Cheng,<sup>2</sup> Lamya S. Shihabuddin,<sup>2</sup> Gene Hung,<sup>3</sup> C. Frank Bennett,<sup>3</sup> and Don W. Cleveland<sup>1,\*</sup>

<sup>1</sup>Ludwig Institute for Cancer Research and Department of Cellular and Molecular Medicine, University of California at San Diego, 9500 Gilman Drive, La Jolla, CA 92093, USA

<sup>2</sup>Genzyme Corporation, 49 New York Avenue, Framingham, MA 01780, USA

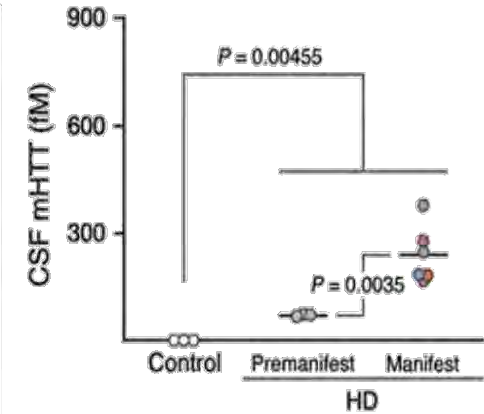
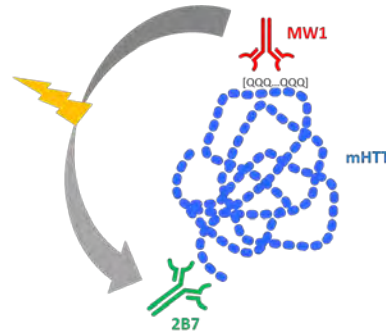
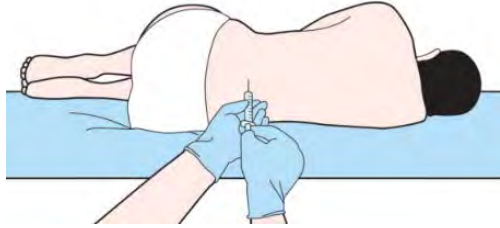
<sup>3</sup>Isis Pharmaceuticals, 2588 Gazelle Court, Carlsbad, CA 92010, USA

<sup>4</sup>Novartis Institutes for BioMedical Research, CH-4002 Basel, Switzerland

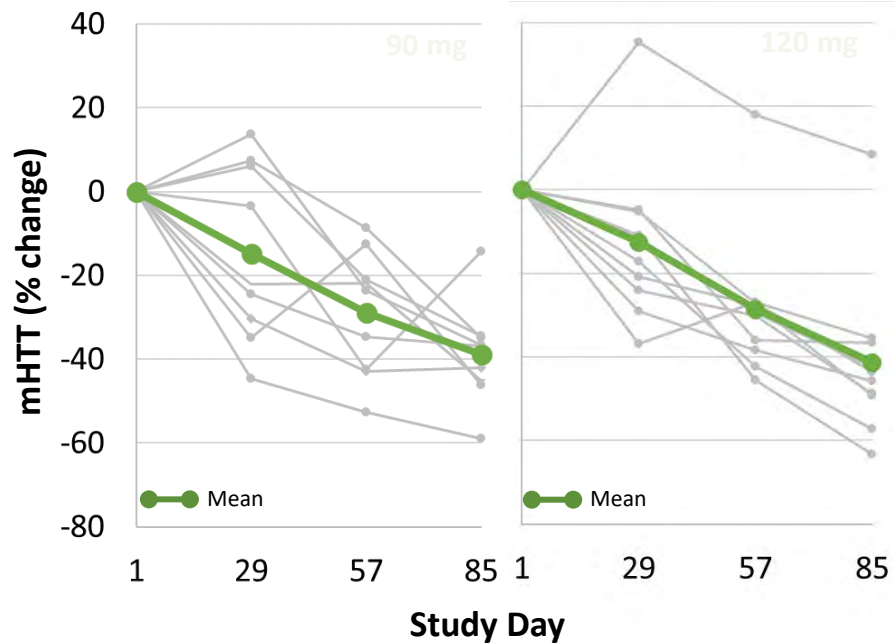
\*Correspondence: dcleveland@ucsd.edu

DOI 10.1016/j.neuron.2012.05.009

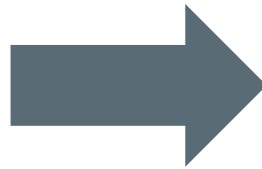
# Development of pharmacodynamic biomarkers



mHTT Protein Percent Change over Time  
90mg and 120mg



From 2018 HDSA Annual Convention



**Genentech**  
A Member of the Roche Group



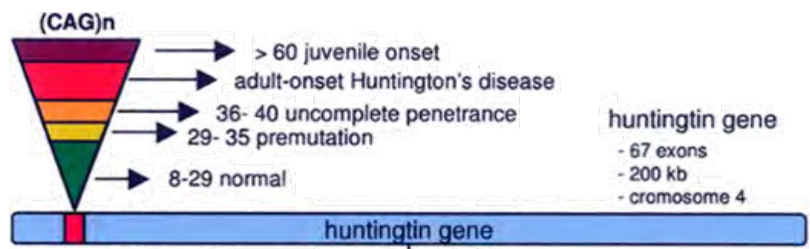
16 September 2018

Update on RG6042 (formerly known as IONIS-HTT<sub>Rx</sub>) Huntington's disease global development programme: Two clinical studies to begin by end of 2018

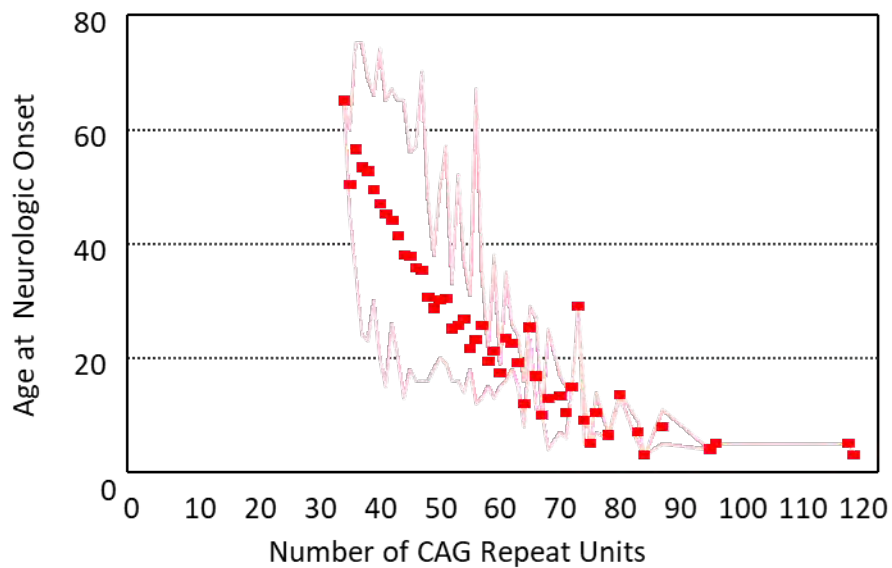




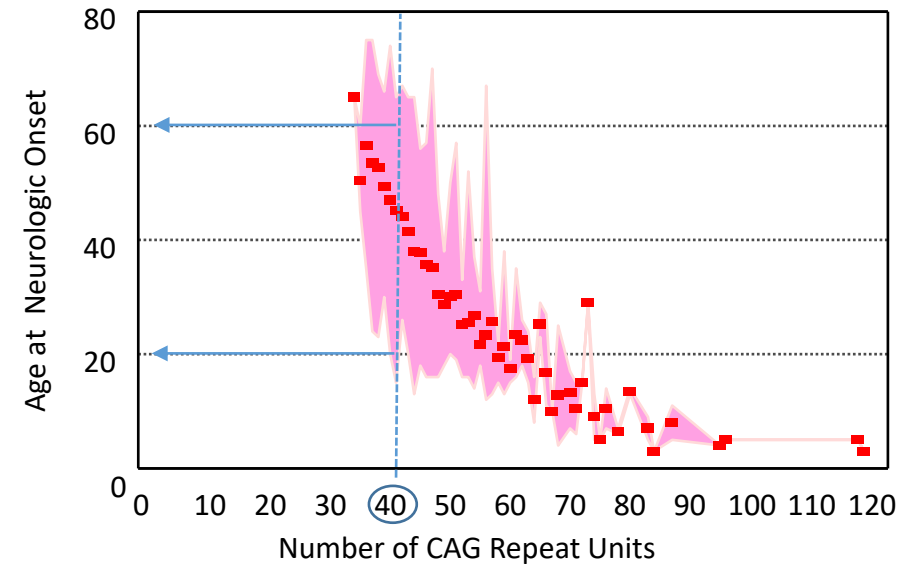
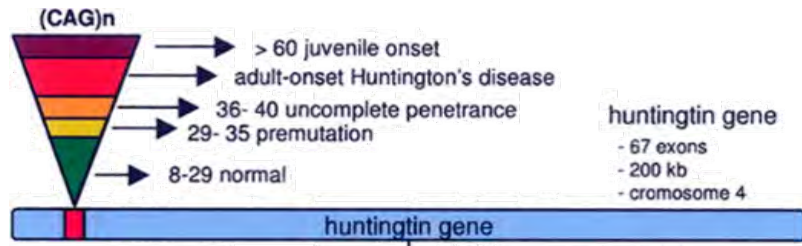
# Back to HD Genetics



- Huntington's disease isn't caused by a point mutation
  - Expansion of (CAG)<sub>n</sub>
- Inverse correlation between size and onset
  - Small = later / Bigger = earlier

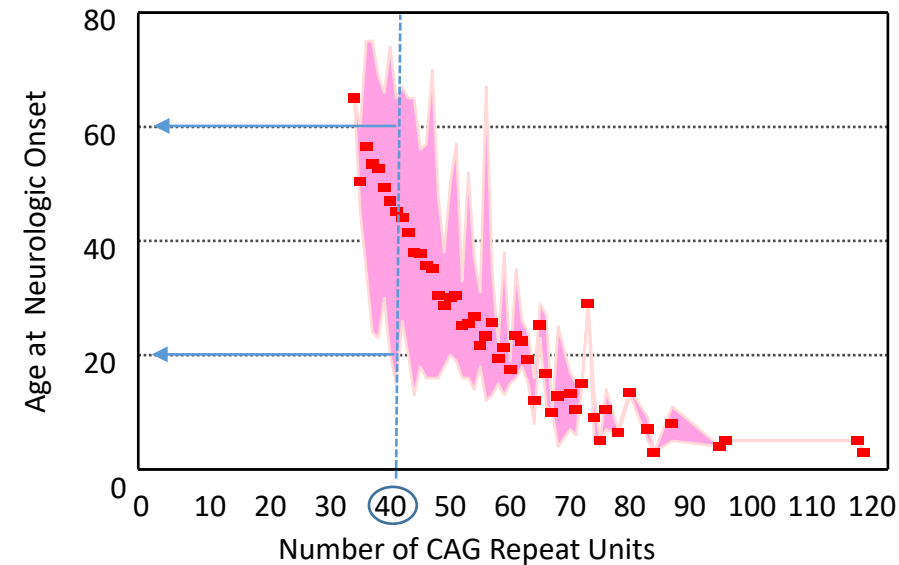
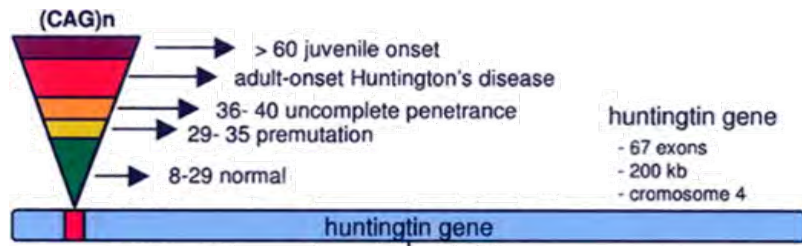


# Back to the patients & families and their genetics



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- $(CAG)_n$  accounts for about 2/3 of age of onset
  - There must be other factors in play

# Back to the patients & families and their genetics



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  - Expansion of  $(CAG)_n$
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  - Small = later / Bigger = earlier
- $(CAG)_n$  accounts for about 2/3 of age of onset
  - There must be other factors in play
- The propensity to be "late" or "early" is also heritable
  - Modifier genes!
  - Need big numbers
- Imagine a drug that delays onset for 40 years!



Review  
**Huntington's disease: the case for genetic modifiers**  
James F Gusella and Marcy E MacDonald



# Genome Wide Association Studies (GWAS): Can be used to identify causal genes which modify disease onset and rate of progression



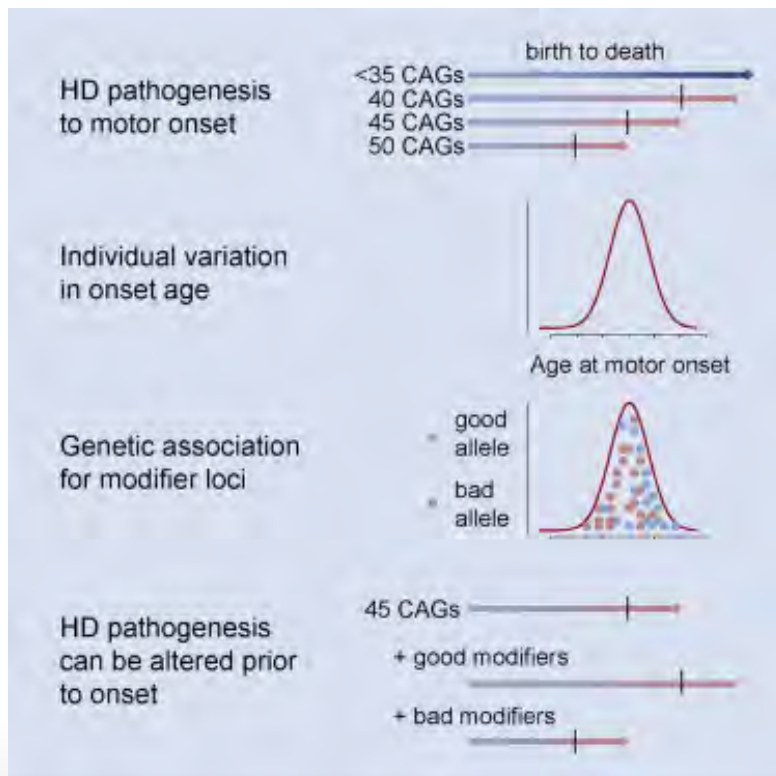
- A massive collaborative effort
  - 9000 patients and family members

## Identification of Genetic Factors that Modify Clinical Onset of Huntington's Disease

Genetic Modifiers of Huntington's Disease (GeM-HD) Consortium\*

\*Correspondence: [gusella@helix.mgh.harvard.edu](mailto:gusella@helix.mgh.harvard.edu)

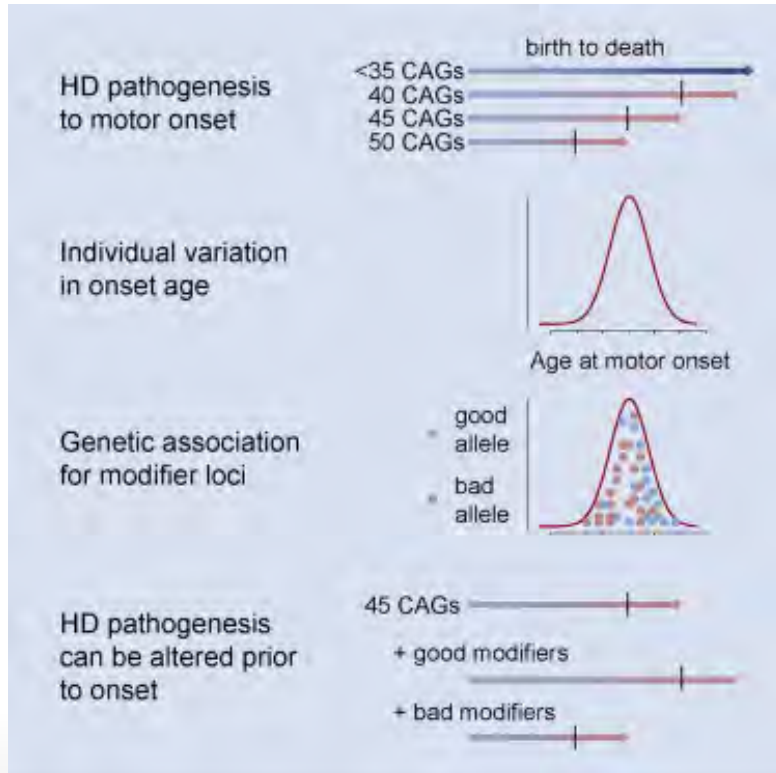
<http://dx.doi.org/10.1016/j.cell.2015.07.003>



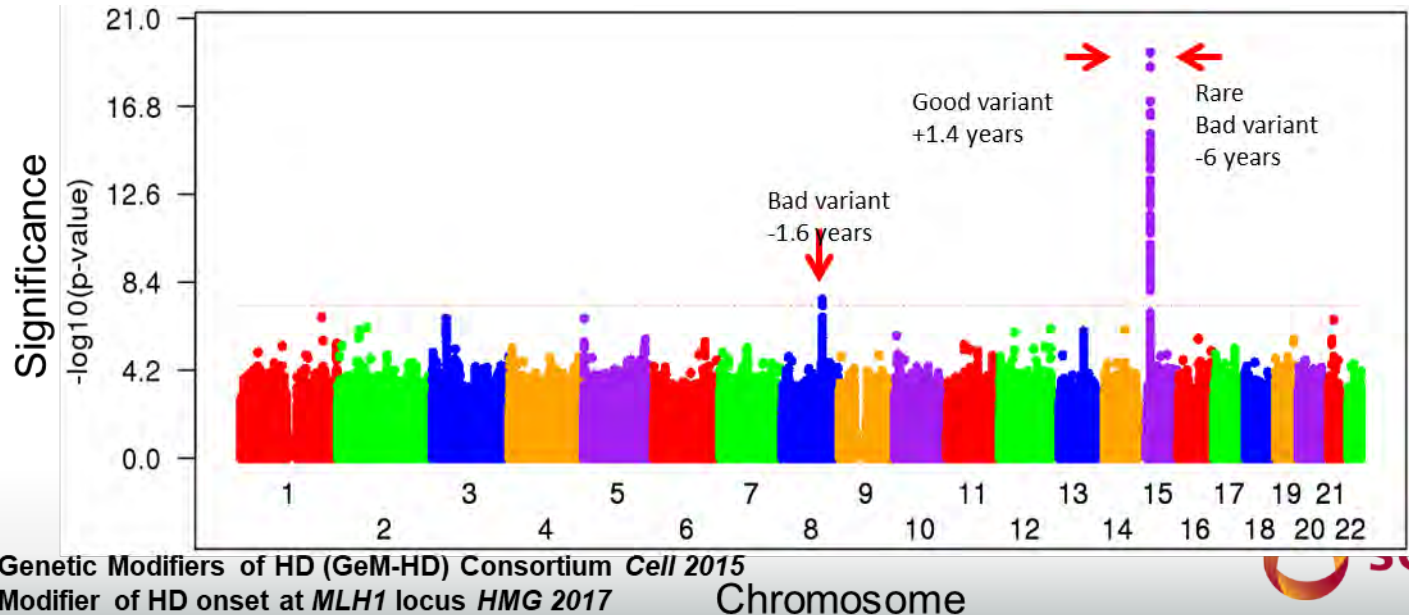
# Huntington's patients continue to provide the keys

## Identification of Genetic Factors that Modify Clinical Onset of Huntington's Disease

Genetic Modifiers of Huntington's Disease (GeM-HD) Consortium\*  
\*Correspondence: gusella@helix.mgh.harvard.edu  
<http://dx.doi.org/10.1016/j.cell.2015.07.003>



- A massive collaborative effort
  - 9000 patients and family members
- Several candidate causative genes identified
  - “Bad” accelerator variants
  - “Good” retarding genes
- Many genes related to DNA
  - Remarkable converging
  - Somatic instability theory

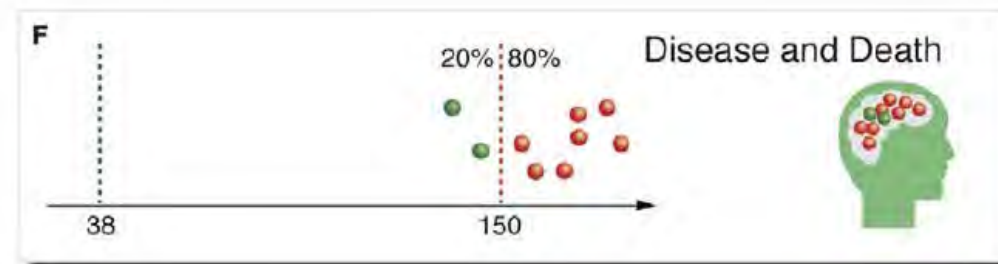
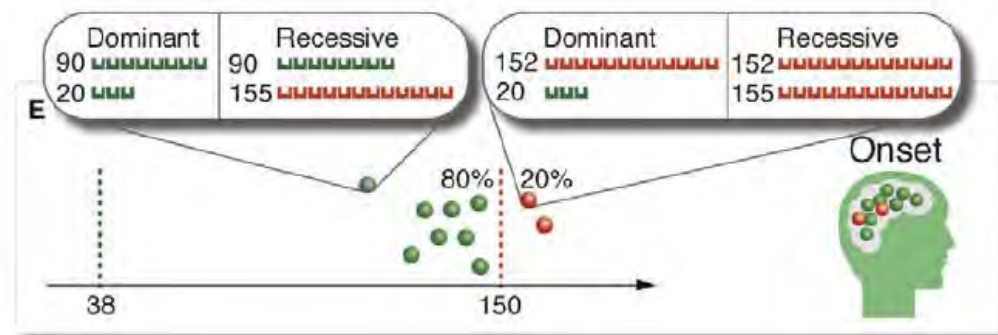
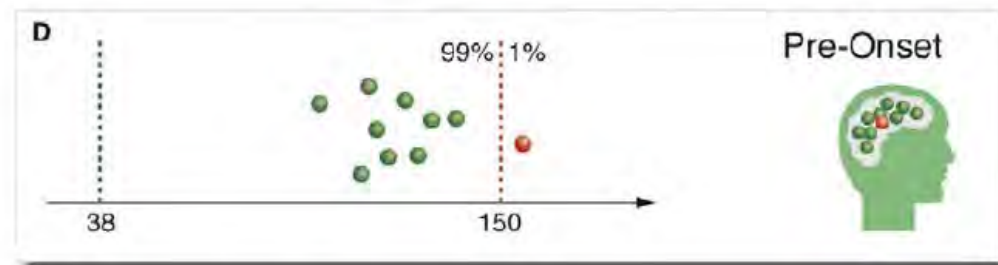
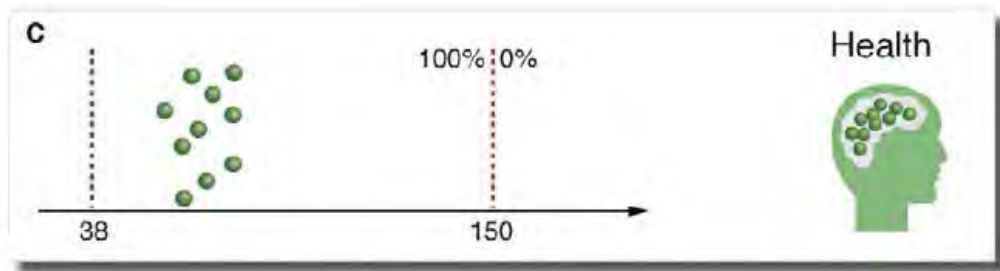
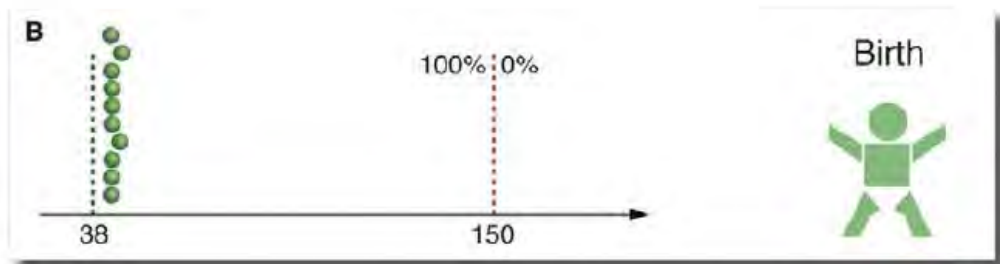
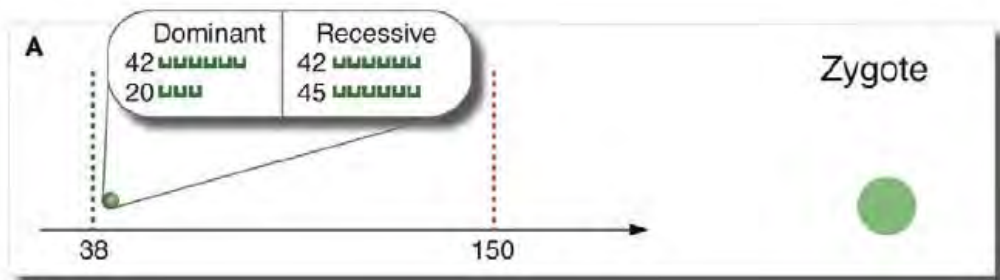


Genetic Modifiers of HD (GeM-HD) Consortium *Cell* 2015  
Modifier of HD onset at *MLH1* locus *HMG* 2017

Chromosome

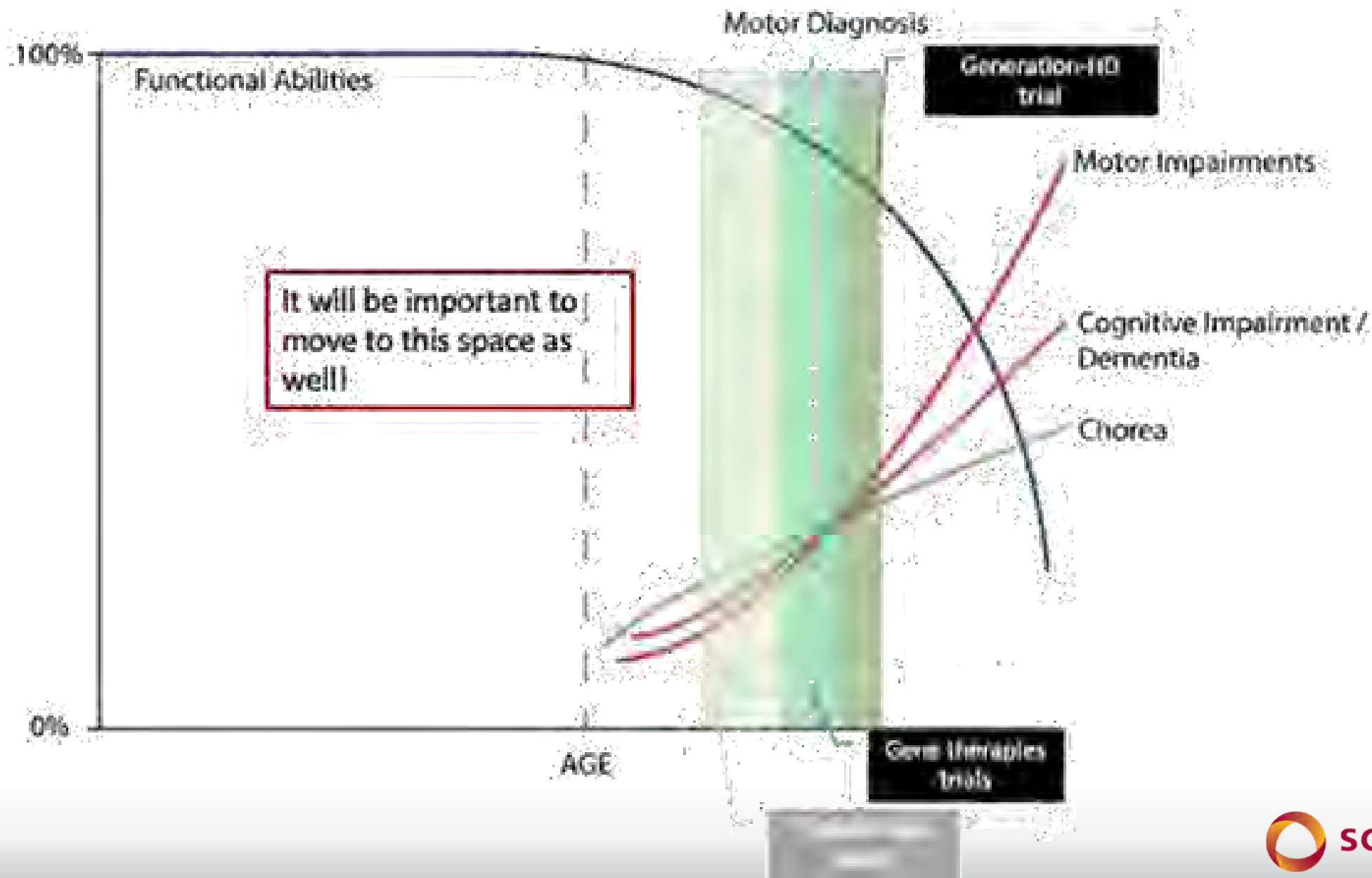


# Modulating rates of Somatic Instability





# Stratify (prodromal) Clinical Trial Populations: (CAG)<sub>n</sub> & Modifiers





# A Few Take Aways



- The revolution in genomics is having a profound impact on drug discovery
  - Diagnosis
  - Prognosis
  - Stratification
  - Target identification
  - Biomarkers
  - Therapeutic candidates
- Many additional examples beyond HD
  - across multiple therapeutic areas
  - PCSK9 → Repatha (Evolocumab) and Praluent (Alirocumab)





# Thank You!

**SC CTSI** | [www.sc-ctsi.org](http://www.sc-ctsi.org)

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**Email:** [info@sc-ctsi.org](mailto:info@sc-ctsi.org)

**Twitter:** @SoCalCTSI

**Cite us:** This work was supported by grants UL1TR001855 and UL1TR000130 from the National Center for Advancing Translational Science (NCATS) of the U.S. National Institutes of Health. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

# Regulatory Science Symposium

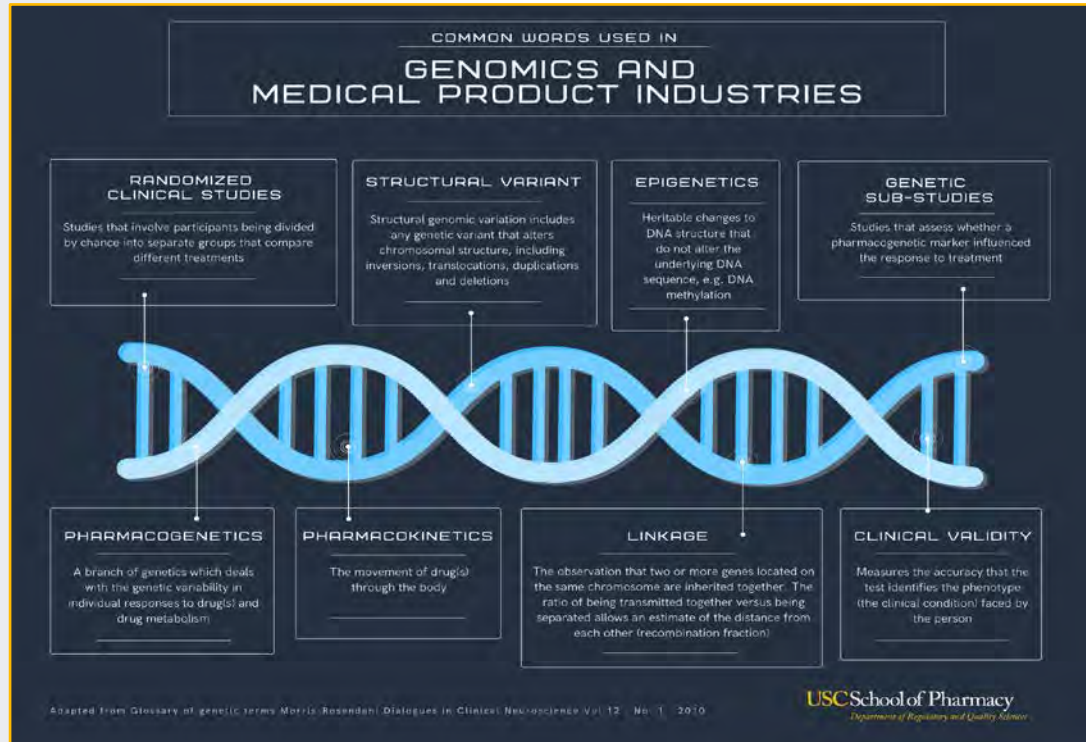
# Innovation to Translation: Role of Genomics in Medical Product Development

## Wrap-Up!

**Eunjoo Pacifici, PharmD, PhD**

Chair and Associate Professor, Regulatory and Quality Sciences  
Associate Director, DK Kim International Center for Regulatory Science

# Resource



Presented by the USC School of Pharmacy International Center  
for Regulatory Science and the Southern California Clinical and  
Translational Science Institute

*This certifies that*

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Director  
Southern California Clinical and  
Translational Science Institute

USC School of Pharmacy  
*DK Kim International Center for Regulatory Science*



# Thank You!



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